EXHIBIT 17

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Page 2 APPEARANCES 1 2 FOR THE CLAIMANTS: MCGARVEY, HEBERLING, SULLIVAN & MCGARVEY, P.C. By: Jon L. Heberling Attorney at Law 745 South Main Kalispell, Montana FOR W.R. Grace: KIRKLAND & ELLIS LLP By: Barbara Harding Attorney at Law 655 Fifteenth Street, N.W. Washington, D.C. 20005 10 And Scott A. McMillin 11 Attorney at Law 200 East Randolph Drive 12 Chicago, Illinois 60601 And DORIS MCCHINSKI 13 FOR THE OFFICIAL COMMITTEE OF UNSECURED CREDITORS: 14 Arlene Krieger 15 FOR THE PROPERTY DAMAGE COMMITTEE: 16 Matt Kramer 17 FOR THE FUTURE CLAIMANTS REPRESENTATIVE: Emily Somers 1.8 FOR THE U.S. ATTORNEY STATE OF MONTANA: 19 Kris McLean 20 FOR THE EPA'S CRIMINAL DIVISION: Robert Marsden 21 22 23 24

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ALAN C WHITEHOUSE M.D.

STOREY & MILLER 717 W. SPRAGUE AVE, STE 1520, SPOKANE WA

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IN THE UNITED STATES BANKRUPTCY COURT FOR THE DISTRICT OF DELAWARE

ALAN C WHITEHOUSE M.D.

WR GRACE BANKRUPTCY

In Re: Chapter 11 W.R. Grace & CO., et al, Case No. 01-01139 (JFK)

Debtors.

VIDEOTAPED DEPOSITION OF ALAN C. WHITEHOUSE, M.D. Deposition upon oral examination of ALAN C. WHITEHOUSE, M.D., taken at the request of the Debtors, before Osmund D. Miller, a Notary Public, RPR, CCR No. 2280, at the offices of Storey and Miller Court Reporters, 717 West Sprague Avenue, Suite 1520, Spokane, Washington, commencing at or about 9:00 a.m., on October 18, 2007 pursuant to the Federal Rules of Civil Procedure.

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Page 3 THIS IS A CONFIDENTIAL DEPOSITION 1 2 3 (Ex. Nos. 1 through 4, marked.) VIDEOGRAPHER: My name is Bonnie Hamada, NCRA Certified 4 Legal Videographer of LVS Productions. I am the videographer in the pending matter. It is Thursday, October 18, 2007. 6 The time is now 9:11 a.m. We are at the office of Storey & 8 Miller, 717 West Sprague Avenue, 15th Floor, Spokane, Washington. 9 10 We are here to take the deposition both stenographically 11 and by videotape of Dr. Alan Whitehouse, M.D., filed in the U.S. Bankruptcy Court, District of Delaware, Case Number 13 01-01139 JFK, entitled, W.R. Grace & Co., et al. 14 Notice of this videotaped deposition was given by Barbara 15 Harding. Would Counsel please now voice identify yourself and whom 16 17 you represent. 18 MR. HEBERLING: John Heberling for the Libby Claimants. 19 MS. HARDING: Barbara Harding on behalf of W.R. Grace. 20 MR. MCMILLIN: Scott McMillin also on behalf of W.R. 21 Grace. 22 MS. MCCHINSKI: Doris McChinski on behalf of W.R. Grace. 23 MS. KRIEGER: Arlene Krieger on behalf of the Official 2.4 Committee of Unsecured Creditors.

MR. KRAMER: Matt Kramer on behalf of the Property Damage

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Page 4 1 Committee. 2 MS. SOMERS: Emily Somers on behalf of Future Claimants Representative. 3 MR. MCLEAN: Kris McLean. I am an Assistant United 4 5 States Attorney for Montana. 6 MR. MARSDEN: Robert Marsden, I am a special agent with EPA's criminal division. 8 VIDEOGRAPHER: Present to make the official record of the proceeding is a Certified Court Reporter, Osmund D. Miller of 9 Storey and Miller, who will now swear the witness. 10 11 12 13 14 15 16 17 18 19 20 21 22 23 2.4

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Page 5 ALAN WHITEHOUSE, M.D. 1 called as a witness at the request of the W.R. Grace, having been first duly 2 sworn according to law, did testify as follows herein: 4 MS. HARDING: Mr. Heberling, I believe you have some new materials you want to produce, and we will put those on the 6 record 7 MR. HEBERLING: Okay. As discussed, the first thing we 8 brought is a large plastic box with charts used in the study 9 of 123 patients. The box is in somewhat disarray. The 10 charts are no longer in number order. So we can't assure that 123 are there. Dr. Haber was the last person to work 11 with the charts at Libby Clinic -- excuse me, the CARD Clinic 13 in Libby. So it's my understanding we will take that box to a copy shop today, and the one copy will be made for 14 15 W.R. Grace, and Dr. Whitehouse will retain the originals. 16 MS. HARDING: Okav. 17 MR. HEBERLING: Then Exhibit 2 is a CD marked Original 18 Spreadsheet. A couple weeks ago, we sent you, Barbara Harding, an e-mail attaching the original numbers used in the 19 study of 123 patients. This CD contains those same original 20 21 numbers, plus three or four spreadsheets where the individual 22 pulmonary function tests are analyzed, and there is a

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statistical table. So, for completeness, we have submitted

Exhibit 3 is a listing of body mass index values for, I

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Page 6 believe, 123 patients. This was found in a computer record 2 at the CARD Clinic. For what it's worth, we are bringing it in and it's marked as Exhibit 3. And Exhibit 4 is another computer listing, probably also 4 from this computer record at the CARD Clinic. This has been 5

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recently found, and it is basically notes of the readings of

the 123 x-rays by Dr. Teel and Dr. Whitehouse for the

Whitehouse 2004 paper.

9 MS. HARDING: Okay. And per our previous agreement, as I understand it, these are additional reliance materials for 10

11 Dr. Whitehouse in this case. And you have agreed to

possibly, if necessary, allow Dr. Whitehouse to sit for 12

further deposition in connection with these materials, as

14 well as the documents, to the extent that's necessary?

15 MR. HEBERLING: That's correct. And limited to those materials.

EXAMINATION 17

18 BY MS. HARDING:

19 Q. Dr. Whitehouse, in front of you -- excuse me. Good

2.0 morning. I am Barbara Harding with W.R. Grace.

21 In front of you is the expert report that you submitted

in this case, dated July 23, 2007. Do you recognize that? 22

Yes, I do.

Would this report contain -- with the exception of the 24

25 rebuttal reports that you have also submitted, does this

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contain your most current up-to-date summary of the opinions

that you intend to express in this case?

3 I believe so, yes.

this Exhibit 2.

4 The reason I ask is because you have two prior reports,

one in October and one in June. But as I read this report,

it appears to embody all of the previous opinions that you 6

had, with additional information and some modifications. Is

8 that right?

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9 A. That's correct.

So that it's fair, in terms of understanding and asking 10

11 you questions about your opinions in this case, that I should

refer to the July 23rd, 2007 report. Is that right?

13 Α. That's correct.

The other thing I think we ought to state on the record, 14 Ο.

I will be asking you some questions today about some medical

16 records that were produced pursuant to various court orders

17 in this case, as well as the case -- the Montana criminal

18 case, and there is one order, at least some of the records 19 are to be maintained confidentially. So we will mark this

20 deposition confidential so we make sure we are all in

21 compliance with the order. I would like to make sure the

record is clear on that.

23 I would like to ask you about Exhibit 3, first, in your

2.4 report. If you could turn to Exhibit 3. It's toward the

25 end. WR GRACE BANKRUPTCY

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Where would I find that in here? 1 Α.

I think it's about two thirds of the way through. It's

not a page number because it's not attached at the end.

Α. It's not marked as an exhibit on here, as far as anything 4

At the bottom right corner, most of the exhibits start Ο.

with an exhibit number.

Here we are. I found it. I think I found it.

And how was the group of people that's identified in this

exhibit -- how were they identified?

Hang on. I don't have three yet. I am still working to 11

find it. This must be it because that's four. Okay, now I

have it. Go ahead. Repeat the question. 13

Sure. How was the group of people identified, the group 14

of people that are set out in this exhibit, which is 12 pages

16 long, how were they identified?

17 Okay. Now, this is people that I had in that database

that you have. Is that where this list came from? 18

19 That's what I am asking you. I am asking you -- I don't

know. My question to you is, how was this list compiled?

21 Well, I mean, I recognize all the names, and I think

that's where it came from, but, unfortunately, this was not

23 my compilation.

24 Ο. So Exhibit 3 is not a list that you compiled?

It may very well be the list, but it's not the way that I

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Page 9 had put it together in my computer. So it's hard for me to recognize exactly, you know, whether it's the same list or

not. I think it probably is, because I recognize most of the

- names here
- Well, if you didn't compile the list, who did? 5
- I am not certain whether you -- because you had a disk, a
- computer disk that was given to you -- or was given to
- Mr. McLean, I know, and I think Mr. Heberling had it also --
- 9 that had a database of about 500-some odd patients on it, and
- I think that that's what this represents. Although, I don't 10
- know for sure because I don't recognize it when I compare
- that with what I know of my database looked like. 12
- So this is obviously something that was printed -- it may
- 14 have been printed off of this.
- 15 Q. Well, this is a list that is attached as an exhibit to
- your expert report as material upon which you rely in
- 17 formulating your opinions, correct?
- 18 Α. Okav.
- 19 Ο. My question --
- 20 A. At the time I wrote this I didn't --
- 21 I want to finish my question.
- 22 A. T am sorry.
- Q. That's okay. I am trying to understand if it's an
- exhibit that you are relying on for your opinions in this
- 25 case. I am trying to understand, who prepared it?

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A. I think that this is probably a printed off copy. It may

- have been Mr. Heberling that did this, made the copy of this.
- When I have reviewed my report, I did not see this attached
- to it, this particular one. It looks to me like the list of
- the 500 or so patients that I had seen in my office in
- Spokane that I had been keeping a database on.
- Okav. Ο.
- So I think that's probably what it is. Although, the way
- it's printed is different than the way I had printed out
- previously when I used it for my own computer, if you 1.0
- 11 understand what I mean.
- MR. HEBERLING: Counsel, you might refer to the place in 12
- his report where the exhibit is referenced.
- MS. HARDING: I will ask my question, John. I object to 14
- the -- if you have an objection, that's fine. 15
- (BY MS. HARDING) Are the people on this list in
- Exhibit 3, are they all patients of yours? 17
- Yes, they are. They are all patients that I have seen,
- and that, for the most part, I think they are patients at the
- 2.0 CARD Clinic in Libby.
- 21 And are they all -- the categories at the top says, last
- name, first name, birth, diagnosis date and "ex cat." I 22
- believe that means, exposure category. Is that right?
- 24 Right.
- 25 Q. Are these categories that you have set up in an Excel

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- spreadsheet in your office? Is that right?
- I set it up in my own -- basically, in my home computer
- that I utilize both in Libby and at home that identifies
- whether there are environmental exposures, they're family of 4
- Grace workers, or whether they were people that were working
- for W.R. Grace.
- And in this particular one, if you go through this, you
- 8 see it has community and family member, and community,
- basically, is equivalent to the environmental category I have
- 10 in my computer records.
- 11 And then the last is listed as worker, which is people
- that had worked for W.R. Grace, mostly miners.
- 13 Q. So the determination of whether somebody on the list was
- 14 placed into a category of community, family member or worker,
- was something that you made on the -- in the records in your
- 16 Excel spreadsheet: is that right?
- 17 Yes.
- Q. The top of the -- the title of it is, Client Sort By
- 19 Exposure. Do you see that?
- 20
- When it says "client," are those -- does that mean 21 Ο.
- clients of yours, or are those clients of Mr. Heberling?
- Those are clients of Mr. Heberling's. In my own database 23
- has people in it that were not his clients at all. So I have 2.4
- got -- it's a mix of his clients and other patients that I

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have seen over the years, and that database, in particular,

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- was started very early on.
- And there are people in this -- I think, in this client
- sort here, that are not people that I have ever scene. There
- is a few of them I have never seen, looking through here a
- little bit, and I think -- and this list, I suspect, is --
- was put together in order to list all of the clients. There
- is so much crossover there, I have to look to find out,
- sometimes, whether it's a patient of mine that has never -that's not a client of Mr. Heberling's or Mr. Lewis or
- anybody else. Do you understand? 11
- Yes. So, actually, I think you previously said that the
- people on the list were all your patients, but you are saying 13
- they are not all your patients? 14
- A. They may not all be. In fact, I looked through here and
- there is at least one name I don't recognize. And the way
- it's been put together makes me think it's their total client
- list 18
- 19 O. It's the Heberling --
- I would have to look back in the report as to how that
- 21 reads, because I haven't looked at that particular part of it
- very carefully recently.
- On what basis are you relying upon this exhibit for your
- 2.4 opinions in this case?
- Oh, this -- I am relying on this because the vast

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majority of these people are people that I have personally

seen and have taken care of in my office, here or at the CARD

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- 4 0 But there are people on the list that you have not seen?
- I think there are. And I -- let me go back to the
- original point on here where it's referenced in the original
- report. Let me look at that for just a moment.
- Ο.
- 9 Can you tell me what page that is? Α.
- Q. I don't have that written here, I don't think. 10
- 11 MR. HEBERLING: Page 13, Paragraph 31.
- THE WITNESS: These are the Libby claimants from his 12
- office, from Mr. Heberling's office, but the majority of
- 14 those are people that I have seen, and there are a -- a few
- in here that I have not, but very few. 15
- Q. Do you know how many patients on the list that are not
- patients of yours? 17
- The exact number? I don't believe so, unless I reference
- 19 them, or we reference them, in this thing. And I don't think
- 2.0 we did. No. I don't know the exact number.
- 21 So, was this list derived from a computer program in
- Mr. Heberling's office or a computer program in your office? 22
- A. No. This was this from a computer program in his office.
- I got confused when you first brought this up because I
- 25 thought this was a re-copy of my database that you have a

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- copy of, of the 500 or so patients that I have seen, and
- there are some of those that are not on this list, and there
- are some on this list that are not in my database.
- 4 Q. As I understand it, in this litigation, you produced
- paper copies of the records of the patients in your database,
- but W.R. Grace has not been provided a copy of the database,
- correct?
- No. I believe they do have a copy of the database. I
- know they have a copy of it because it was given both to
- Mr. Heberling and to Mr. McLean. 10
- 11 The Excel spreadsheet?
- The Excel. 12
- Q. The spreadsheet, the actual computer program itself?
- 14 No. It was a spreadsheet on a computer disk. It's the
- 15 same thing, basically.
- What criteria were used to either include people on the
- list or exclude them? Was it just whether or not they were a 17
- client of Mr. Heberling?
- No. When I was assembling that database, it was every
- 20 patient I had seen in the office, or in Libby, that I had
- 21 data on, that I knew personally.
- No. I am sorry. I am asking you about the list, Exhibit 22
- No. 3 to your report. The criteria used to produce this
- 24 list
- 25 This list was produced by Mr. Heberling's office of all Α.

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- their clients. Okav.
- Q. And, so, it's all of their clients from your database; is
- that right?
- Well, there are some in here that are not in my database, 4
- I don't believe. There may be a few of them but not very
- many. But I recognize one name in here that's not in my
- 8 So the designation of community household or worker Ο.
- would, then, come from a computer program in Mr. Heberling's
- office, not from your office?
- Well, it came from a number of places. Exposure 11
- histories were obtained on all of them. I obtained them in
- my office. His office obtained exposure histories. CARD 13
- 14 Clinic obtained exposure histories. EPA and ATSDR have --
- it's a compilation of all exposure histories that go into
- this community versus family member versus worker. 16
- 17 My question to you is, who, ultimately, made the
- decision, with respect to Exhibit 3, of how to designate an 18
- 19 individual: you or Mr. Heberling?
- 20 I am not sure I can answer your question. I think it's a
- combination of things, because I had designated a lot of them 21
- and have exposure histories in these people. They have very
- complete exposure histories that they obtained. I think it's
- 2.4 probably a combination of both.
- Q. Have you reviewed the exposure histories that

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- 1 Mr. Heberling's firm has compiled for the individuals on the
- 2 list?
- 3 A. Ves
- ο. And are you relying upon those exposure histories as 4
- Yes. You know, in places where they may disagree with
- something that I have, which I really haven't come up with,
- then I would say so.
- Do you know whether or not though the exposure histories
- that were compiled by Mr. Heberling's law firm have been
- produced in this case? 11
- They are in the charts in Libby, so they are produced.
- 13 His exposure histories were given to us, and I reviewed them
- and put them in the charts. 14
- So the exposure histories that are in your patient charts
- 16 come from the information gathered by Mr. Heberling's law
- 17
- No. They come from several places, as I indicated. In 18
- 19 those charts are exposure histories that I did. Dr. Black
- did, the nurses did, in taking histories when the patients
- came in. Exposure histories that were obtained by ATSDR 21
- during the screening. Mr. Heberling's exposure histories.
- There is a large -- there is multiple sources concerning 23 24 those exposure histories.
 - O. The exposure histories compiled by Mr. Heberling's firm,

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are they in a particular format that you recognize them?

Yes. 2 Α.

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- What do they look like?
- Basically, it's a boxed-in exposure history that has 4
- dates on one side and where they worked during that period of
- time and what they were doing. A lot of it relates to things
- like that, installation, playing in piles of vermiculite.
- They are quite complete.
- 9 ο. With respect to Exhibit 3 and the exposure categories,
- again, I want to make sure I understand. The final -- this 10
- 11 list, as I understand it, was generated by Mr. Heberling from
- 12 his computer program?
- A. Yes. I think this is entirely his client list, and that
- 14 is one of the reasons why there is a few in here I don't
- 15 recognize. But there is very few that are not included in
- 16 this, because of all these people go to the CARD Clinic and,
- 17 so. I have seen them, and at one time or another, they were
- in my database.
- 19 Q. Have you reviewed and verified the classification of the
- 2.0 exposure categories that appear from Mr. Heberling's computer
- on Exhibit 3? 21
- Well, yes, in fact, I have. Because in the process of 22 Δ
- looking at each of those exposure histories, when I have one
- that -- when I have the chart there and I am looking at the
- exposure history, I go back and look at what I have in there 25

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- as well, and we are always looking in various exposure
- histories, to see if there is anything different, and when I
 - see it, I initial it.
 - You have independently identified the exposure categories
- that are in Mr. Heberling's database?
 - Oh, yes. Certainly.
- There is a designation of AD on the list, and as I
- understand it, that means alive or dead. Is that right?
- 9 That's right.
- Q. As I look through the list, it looks like approximately 10
- 11 83 percent of the current clients, or the clients that are on
- this list, are alive, and 17 percent have died. Is that 12
- right? Have you done any calculations on that?
- MR. HEBERLING: Objection. Foundation. 14
- 15 THE WITNESS: I think there is -- I will have to look up
- the exact number, but I think it's 82 that have died that are
- on this list of their clients. I think it's 82. Maybe 83. 17
- So, that would be -- I would have to count it again, but I
- think that's about what it is.
- 2.0 (BY MS. HARDING) You can use the designation you have
- 21 for A over D, and calculate that percentage, correct?
- Sure. I can calculate that pretty easily. 22
- With respect to the patients that aren't yours on the
- 24 list, you have confirmed their exposure categorization as
- 25 well?

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at all, ever, I would not have confirmed it myself, no.

I may not have. If they are not one that I have not seen

- Do all of the patients -- do all of the clients listed on
- this Exhibit 3 have asbestos-related disease?
- To the best of my knowledge they do. Yes.
- But you can't confirm that for the people that aren't 6 Ο.
- your own patients, right?
- 8 Δ That's correct.
- But the people who are your patients on this list all
- have an asbestos-related disease that was diagnosed by you? 10
- They may have originally been diagnosed in the CARD 11
- Clinic, but, for the most part, I have seen them myself at
- one time or another. So if they showed up on my database, 13 14 which I stopped doing a number of years ago, then they will
- be in here. There are some probably some of his clients that
- are new on this that I don't know about. 16
- 17 So, did you suggest that you stopped doing a database?
- 18 Well, I didn't need to anymore. I had a number of
- 19 reasons for stopping doing the database. First off, I
- 20 retired from my practice in Spokane in 2004, and I was just
- working part-time up at the CARD Clinic, and we were 21
- preparing a database up there that is going to be much more
- extensive. And it was sort of superfluous doing it.
- 2.4 O. So the CARD Clinic now has a database?
- A. We don't have it totally completed, but we have a lot of

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data and variety of things. We are about to put them into a

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- large database.
- What's the status of that compilation of that database?
- How many patients are in it now?
- Well, it's not in the form in the clinic as a database.
- It's basically a compilation of typed and various things that
- have lists of various patients in and various problems that
- they have, so that we can refer to that if we need to find
- anything. And when it goes into a database, then it will be
- on computer.
- At this point in time, it's not on computer. 11
- What percent of the clients that are on Exhibit 3 have
- 13 interstitial fibrosis caused by asbestos; do you know?
- I don't have -- let me look. I think we have got that in 14
- here somewhere, but I don't think I know for sure, right off
- the top of my head, what number they are. The majority of 16
- these are people with pleural disease.
- So the majority of people with pleural disease and not 18
- asbestosis, have you -- what's the purpose of this list as an 19
- exhibit and reliance materials for your report?
- Basically, to indicate the -- sort of the breakdown of 21
- the patients, as to whether they are environmental

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- exposures -- which they have listed as community -- or 23
- 24 whether they are family members, where they had exposure to
- workers that were bringing home a fair amount of asbestos

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contamination, versus the workers.

- 2 And as time has gone by, more and more of the patients
- that are appearing now are environmental exposures.
- The other thing is that I haven't actually counted the 4
- number of interstitial in any of these, but I understand it 5
- fairly well reflects the Piepins article about one percent
- interstitial disease.

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- Are all the people on this patient list from the Libby
- 9 area or Lincoln County?
- I think there are some that are outside. Yes, there are. 10
- 11 There are people that have moved away. There is people that
- were here for recreation or hunting -- they spent a fair 12
- amount of time in Libby -- that are not residents, never were
- residents, but also -- but came away with asbestos disease of 14
- 15 one sort or another.
- 16 Q. So, included in the list are people who live in Libby and
- Lincoln County. Any other surrounding counties in Montana? 17
- I would guess other, you know, a fair number of these
- 19 probably live in Sanders County, which is nearby. You know,
- 20 we have a scattering of people from Missoula, Troy. We have
- 21 some people from Bonners Ferry, Idaho. People from Spokane
- that used to live -- the majority of these lived at one time 22
- or another in Libby, and met the criteria for Grace's health
- plan of having lived there for six months or a year, whatever
- 25 the case may be, and -- but there are some that do not, that

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have not, lived there for -- as a permanent resident.

- Have you done any analysis on the distribution of age at
- diagnosis of the people that are on Mr. Heberling's list?
- Yeah, we have, and I think -- I am not sure whether we put the numbers in here or not. I am trying to remember. I
- have seen those numbers. I can give you a rough idea of what
- they are. Let's see if it's in here. It's not in there. I
- probably don't have exact figures. We have patients as low
- as their 30's, at this point in time, and as high as original
- diagnosis in their 80's. 10
- 11 So, people --
- Excuse me. Go ahead. 12
 - Go ahead. I didn't mean to interrupt you.
- 14 As time has gone by, we are seeing more and more younger
- 15 people currently, and we are seeing a fair number of people
- that are in their late 40's, early 50's, who were presenting
- 17 with disease, somewhat severe disease; and probably seeing
- 18 less people that are older, because we probably already have
- seen the majority of those because of the latency periods 19
- 20 until they develop disease.
- 21 MR. KRAMER: Could I just request that the witness please
- speak up when answering questions? 22
- THE WITNESS: You turned up my mic?
- VIDEOGRAPHER: It doesn't make any difference for that, 24
- 25 for the telephone.

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- (BY MS. HARDING) Dr. Whitehouse, you would agree there
- is a large spike in diagnoses in the years 2000 and 2001,
- 3 correct?
- That's certainly true. 4 Α.
- In fact, it looks like over 90 percent of all the
- diagnoses were made in 1997 or later. Does that sound right?
- That's probably correct, yes. Α.
- 8 ο. You would agree that increase in diagnoses in 2000 and
- 2001 was substantial, correct?
- It was, and it correlated with the screening that was 10
- 11 done.
- Ο. This represented a marked change from the number of
- 13 diagnoses you made previously on an annual basis, correct?
- 14 Well, yes. Although, I had seen -- I had a large influx Α.
- of patients in 1999, and both '98 and 99, well before that.
- 16 You previously testified that the increase in diagnoses
- 17 in 2000, 2001, were as a result of the ATSDR screening,
- correct? 18
- 19 A. The diagnoses were made because of the screening, ves. I
- 20 mean, they were recognized at that point in time.
- 21 Ο. Well, the screening screened for abnormalities, and then
- the diagnoses were made by you and your colleagues at the
- CARD Clinic, correct? 23
- 2.4 Α. That's correct.
- O. You would agree that there is no epidemiological reason

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- why there would be a large increase of this size in people
- becoming sick in 2001, right?
- No. But I think it's multifactorial. If you look at the
- incidents of environmental cases that have occurred, they
- began to start to occur about 1996-'97, but really
- significantly in 1998. So I think we are looking also at
- latency period making available a lot more people that had
- identifiable disease. It's a combination of things. You are
- right. A lot of those cases were from the ATSDR screening,
- the majority.
- You previously testified, I believe, that you have been 11
- seeing patients in Libby since the 1970's. Is that right?
- 13 A. Yes. Although, I think there is a few scattered ones;
- although, I was not able, really, to localize any records on 14
- those, but I do have records on probably everybody I have
- 16 seen since 1980.
- Since 1999, it looks like, as far as I can tell, that
- almost 90 percent of the people that were diagnosed are still
- 19 alive. Does that sound right to you?
- I would guess that's -- I don't know for sure, actually,
- when you -- probably, because later on, in the more cases we 21
- have seen since then, we are seeing cases that are not as
- 23 sick as the ones we had seen previously. That would make
- 24 sense.
- You can calculate that from the record here, because you

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have a diagnosis date and you have an indication about

- whether they are still alive or not, correct?
- That's true. We could calculate it from the CARD data
- 4 too
- 5 With respect to the category community that's listed on Ο.
- Exhibit 3 --
- Uh-huh. Α.
- 8 ο. -- what's the criteria for being included in the
- 9 community category?
- 10 Well, to begin with, that means that there were no
- 11 identifiable family members that they lived with or had close
- association with, that were cases. They weren't workers at 12
- the plant. There are a few here that worked as contractors
- 14 for Grace that were included as workers.
- 15 Can I interrupt you really quickly, I want to ask, if Ο.
- 16 somebody was a contractor for Grace, they were included as a
- 17 Grace worker, not in community?
- I believe so. Yes. At least, that's how I would have
- 19 included it
- 2.0 The community ones are people that lived in Libby that
- 21 worked elsewhere, other than at the mine, or for Grace, and
- had the myriad of exposure histories that we obtained to 22
- vermiculite. Playing in piles. Running on the track.
- Playing Little League ball. Living in certain areas where we
- 25 knew that there were high concentrations of airborne fibers.

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- We suspected that there were along the railroad tracks,
- things like that. Those were all part of what we considered
 - community exposures.

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- Q. If a worker -- if a client of Mr. Heberling's on this 4
- chart had other exposure, other occupational exposure to
- commercial asbestos, were they included in the category
- community?
- What do you mean? Are you referring -- why don't you
- clarify that for me? I am not sure I understand exactly what
- 10 your question is.
- 11 Sure. If an individual client of Mr. Heberling had
- exposure to commercial asbestos in his occupation, for 12
- instance, in shipyards or in the Navy or in construction,
- things like that, were they -- but did not work for 14
- W.R. Grace and was not a household member of somebody who did 15
- work for W.R. Grace, were those individuals included in the
- 17 exposure category community?
- 18 Yes. They might have been. And, in fact, we have -- a
- number of those people, we tried to apportion the severity of
- 2.0 their exposures when that happens.
- 21 When you say apportion the severity of their exposures,
- 22 explain that, please.
- Most of the people have had exams at the V.A., and the
- 24 V.A. asked us to apportion what their -- they know about the
- 25 exposure histories. And, so, we try to make some

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- apportionment, depending on what the Navy exposure was. Most 1
- of the time it winds up being 50/50.
- So you have -- you have a separate analysis for the 3
- people that are in the community category in Exhibit 3 that 4
- identifies the people that, in addition to community
- exposures at Libby, also have other occupational -- or
- non-Grace occupational asbestos exposures?
- 8 We don't do it as a separate category. We know about it,
- and we take it into account. And Grace knows about it,
- Health Network America knows about it, because they have the 10
- records, and some of our judgment calls are made on the 11 severities of exposures that they had elsewhere. And you are
- 13 right, there are some people that have had fairly significant
- 14 exposures elsewhere, and there is some that is very minimal
- 15 and transient.
- 16 Ο. In this litigation in the bankruptcy, are you aware of
- 17 anyplace in connection with your reports where that analysis
- 18 and that information has been produced?
- 19 A. No. It hasn't been produced and it hasn't been collated
- 20 or put together as a database or anything else. It's on
- individual charts. And, so, you have those charts and you 21
- have those exposure histories already. So, I don't know
- whether you put it together or not, but we have not. 23
- 2.4 Ο. So we have individual patient charts which some have --
- that have various levels of information about exposures?

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- Yes. 1 Α.
- Okay. With respect to your analysis of how the exposures
- are apportioned, or how they should be apportioned, has that
- been produced, do you know? 4
- No, you don't know? Or, no, it hasn't been produced?
- Well, it's been produced because it's in the charts. I
- mean, it's not -- if there is an analysis that's been done,
- it will be in the progress notes, in the charts of the
- individual patient, because -- at least, that's what I do,
- always. I make a notation, or I make a note in the chart, 11
- originally, also about their various exposure histories and
- 13 whether I thought it was significant or not. Or at some
- point, there may be, later on, the same sort of a note. But 14
- you should see something or other, if I thought it was a
- 16 significant exposure.
- Naval vessel and is above decks all the time, and it's a
- 19 fairly modern vessel, more modern vessel, then there may not

You know, to give you an example, if somebody is on a

- 2.0 be much of an exposure.
- If they worked in the Bremerton Shipyards, refitting 21
- Naval vessels, you know, it's a significant exposure. There
- 23 is all gradations in between.
- 24 It sounded like you said earlier that you actually have
- analysis where you have provided to the V.A. or to the Libby

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medical plan something where you have apportioned exposures.

- 2 Is that what you were saving earlier?
- No. We are asked by the V.A. -- not a lot; I mean I have
- probably done, maybe, three or four of these altogether -- to
- apportion their exposures. And we do it the best we can with 5
- that. It's hard to do because we don't have really
- significant exposure at that time in either case. But we
- know about the latency when they were exposed, and we know
- 9 what they have now and what's developed as we watched them.
- So we make -- I don't want to use an educated guess, because 10
- 11 it's more than that. But we basically look at it and try to
- make an apportionment that's fair to Grace, fair to the V.A. 12
- and fair to the patient.
- 14 Q. With respect to any of these exhibits that you have --
- that you or Mr. Heberling has compiled in connection with any 15
- 16 of your reports in this case, where you analyze your patient
- data and you synthesize the information and you provide your 17
- opinions about the totality of the circumstances concerning
- 19 your patients, is there anything that you produced in this
- 2.0 case that reflects the exposures and the relative
- 21 contribution of exposures from commercial asbestos in
- non-Grace occupational settings? 22
- 23 MR. HEBERLING: Objection. Compound, overbroad.
- 24 THE WITNESS: Specifically related to producing any kind
- 25 of a database or anything like that, we have not. And I have

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- not done so.
- 2 O. (BY MS. HARDING) Indeed, in Exhibit 3, for instance.
- there is no indication whatsoever in the community category
- of anybody who had other asbestos occupational exposures,
- correct? 5
- No. Not in this particular database, no. Nor in my own
- database is there anything.
- Is there anything in your reports in this case -- or in
- 9 the criminal case, for that matter -- that indicates the
- 10 degree to which some of your patients have had
- 11 occupational -- non-Grace occupational asbestos exposure?
- No. Not in these reports. 12
- If you -- you also have another exhibit, Exhibit No. 5,
- 14 that's attached to this report, correct?
- Uh-huh. 15 Α.
- Q. It's part of our Exhibit 1 in this case? Your report?
- 17 Right.
- 18 But it's Exhibit 5 to your report. And as I understand
- it, that is a summary of deceased clients' charts, and then a 19
- spreadsheet of deceased clients. Is that correct? 2.0
- 21 Yes, it is.
- 22 Again, are these clients of Mr. Heberling?
- These are clients of Mr. Heberling's, yes.
- So these, again, include people, individuals who are not 24
- 25 your patients, correct?

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- Inevitably, yes. I think. But let me take a look at it
- again and see how many. It would be only a few that I have
- 3 not seen
- And I take it, also --4 Ο.
- Some of these dates are way back. Like, I obviously
- haven't seen somebody that died in 1960. That was before I
- even went to medical school.
- 8 So, include -- this Exhibit 5 includes people who are not Ο.
- your patients, and, I take it, it does not include all of the
- individuals that you have seen with asbestos disease in Libby 10
- that are your patients, correct? 11
- A. That is correct.
- 13 Ο. Because it's Mr. Heberling's list?
- 14 Yes. Α.
- Have you -- if you cross reference Exhibit 3 with
- Exhibit 5, have you looked at the age of distribution at 16
- 17 death for the people that are the same?
- 18 Δ No
- 19 Ο. That's something that could be done, correct?
- 20
- So if you cross reference Exhibit 3 with Exhibit 5, you 21 Ο.
- could get a list of the people that, according to Exhibit 5,
- have died that were Mr. Heberling's clients, correct? 23
- 2.4 A. I would believe so.
- Q. And because you have the age at death for the 34 people,

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- you could understand the distribution of their age at death,
- MR. HEBERLING: Objection. Unclear as to 34 people.
- THE WITNESS: Where did three come from? 4
- (BY MS. HARDING) The 34 were the -- I cross referenced
- Exhibit 5 and Exhibit 3, and I come up with four, so you can
- just take my word for it. That's the number of people that
- match from Exhibit 3 to Exhibit 5.
- MR. HEBERLING: Objection. Foundation.
- THE WITNESS: I am not sure how to answer your question,
- because how are you getting -- what are you using to -- for 11
- the 34? You are talking about this sheet here.
- (BY MS. HARDING) I am talking about Exhibit 5, which, as 13
- 14 I understand it, is a list of clients of Mr. Heberling's that
- have died, which is a subcategory of people that are listed
- in Exhibit 3, which are all of his clients. Correct? 16
- This deceased client list, though, my understanding is, 80-some odd. There may be the whole 113 in this list. I
- would have to look through it. I see we have got -- this is, 19
- I believe, the 84 that are listed as asbestos deaths in the
- 21 second part of that, or 82.
- You know what I will do --
- I can count them, but I don't know where the 34 number 23
- 24 comes from.
- O. I have a bunch of questions about Exhibit 5, and maybe I

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There are now -- there is some more on there that could

pulmonary function and rapid x-ray changes -- mostly

- 2 radiographic issue -- over a period of five years or less.
- which has not been described in the literature previously.
- be on this list now, that have been added since, and a couple
- removed; mostly because of the fact that I had trouble being
- able to demonstrate good x-rays on them. And one in
- particular, which I couldn't get an x-ray repeated. It was a
- 9 lousy copy, so I removed it from the list.
- 10 So it's rapid progression shown in x-ray changes over
- 11 five years?
- Or less. 12 Α.
- Or less?
- 14 Some of them only in a couple years.
- As well as rapid progression of lung function decline 15
- over five years as well?
- A. Yes. Or less. 17
- 18 Or less?
- That was the criteria that originally set out -- that put 19
- 20 them in here was a five-year benchmark period.
- 21 And this was -- did you review your database to come up
- with that list, your computer database, or did you review 22
- your patient files to come up with the list?
- Actually, that's a list that I have been accumulating 24
- 25 for, probably, the last seven or eight months in patients I

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will just ask you the question about the comparison after we

- establish the foundation for Exhibit 5.
- Okay. How is that? That will make it a little easier. 4 Ο.
- But before we get there, I would like to turn to 5
- Exhibit 4, please.
- Okay. Well -- there we go. Okay. Α.
- Okav. So you see Exhibit No. 4, correct? Ο.
- 9 I do. I have it right in front of me. Α.
- And this Exhibit 4 to your July 2007 report is titled, 10 ο.
- 11 Rapid Progression and Pleural Deaths. Correct?
- 12 That's correct. Α.
- How was this group of people identified? Is this a
- list -- that's two questions. Is this a list that you 14
- generated or Mr. Heberling generated? 15
- No. I generated this.
- O. What criteria were used to include or exclude individuals 17
- on the list?
- 19 A. Well, there is two groups of people in here. One are
- 20 groups of people that have had very rapid progression of
- 21 their disease. I have actually removed a couple from there
- in the process of writing these up and getting it all 22
- together. But I don't have anything that I can really show
- 24 anybody at this point, except the names and the x-rays.
- 25 The criteria for rapid progression was rapid loss of

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- have seen, or Dr. Black has seen, and then I had seen them 1
- subsequently to confirm what's going on.
- So, are the -- there is 22 people on the list, correct? 3
- Right now there is 21 people on the list that I am going 4
- to use. And I don't have a -- current names for you in the
- others, so I think that most of them are on here. I think
- there is -- is a couple that are missing.
- 8 This list says -- the list we have in Exhibit 4, which is Ο.
- the most current, your reliance materials that you provided
- in this case in connection with your July report, has a list
- of 22 individuals. Do you see that? 11
- That's what I had at the time. But if you look at the
- 13 whole list, you will find that the last four had died a
- fairly long period -- had died long enough ago, and they are 14
- not listed under the rapid progression. There is eight --
- there is actually 18 listed under rapid progression, and then 16
- I have taken two out, and I guess I added four or five more
- to it since that time that you don't have on that list.
- 19 O. Okay. So, do you have a copy of the current list?
- 20 I do not have it with me.
- 21 Ο. Are you relying on the current list in connection with
- your testimony in this case?
- 23 Α.
- 2.4 O. The one that I don't have, or the one that's in this
- report?

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- Page 36 A. No. I am going to rely on the ones in the report here.
- It's obviously not fair to give you things you don't have --
- use things you don't have. I only accumulated those since
- July, so those are more recent. So I am not going to rely on
- those on -- in -- particularly, although I could -- we could
- produce it as further evidence if it's need be.
- Is there anybody on this list, Exhibit 4, that you have
- since taken off the list, you are no longer relying on for
- this proposition?
- Yes. 13 and 14. Well, actually, I have taken them off
- the list because I am in the process of reviewing all these 11
- for a paper, and I wasn't satisfied with the quality of the
- 13 radiograph stuff that I have got in order to do it. So I
- just took them off the list. And that relates to a paper. 14 And that's where some of this originally came from to begin
- 16 with, because I have been accumulating that list now for
- probably over a year, I guess, overall. 17
- Q. In the fall of 2006, you had, as I count, five
- 19 individuals that were on this list that are no longer on the
- list. Correct? I have Carol Girard, Tom Harvey, Lerah
- 21 Parker, FM and Ruben Fellenberg were on your list that you
- produced in October, but are no longer on the list in the
- 23 July exhibit, correct?
- 24 Well, the list also had some other -- that original list
- also had the names of some people that are on a PowerPoint

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- 1 presentation, and that really were not either rapid
- 2 progression, except Ruben Fellenberg is one of the ones on my
- 3 current list.
- 4 What are those names again? Run them by me.
- 5 Q. So, Mr. Fellenberg is now back on the list?
- A. Yes. He is currently on the list.
- 7 O. Carol Gerard?
- 8 A. No. She was a mesothelioma patient that died, and I had
- 9 that on the list for the purposes of demonstrating just the
- 10 case itself.
- 11 And Tom Harvey, I don't have enough information yet to
- 12 consider him rapid progression. Originally, I thought that
- 13 because, from the history, he had a -- some fairly sudden
- 14 events, but we weren't able to get the old fears.
- 15 O. Lerah Parker?
- 16 A. No.
- 17 O. She is not on the list now?
- 18 A. She is not on the list of rapid progression, no.
- 19 Q. Why was she taken off?
- 20 A. I am not so sure why. The list was sort of a compilation
- 21 of things that I was doing at one time, x-rays that I wanted
- 22 to save, things that might be useful if I give a lecture,
- 23 something like that. It probably shouldn't have been on the
- 24 list in the first place.
- ${\tt 25} \quad {\tt Q.} \quad {\tt Who} \ {\tt is} \ {\tt identified} \ {\tt as} \ {\tt initials} \ {\tt FM?} \quad {\tt Do} \ {\tt you} \ {\tt know} \ {\tt why} \ {\tt that}$

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- 1 individual is no longer on the list?
- 2 A. I am not sure why I took him off. He actually did fairly
- rapidly progress. Partly, it was because of the fact it was
- almost all hard copy films, and I couldn't deal with the hard
- 5 copy films very well, as far as, I would have to get them
- photographed and all if I was going to use them for a paper.
- 7 Whereas, everything else is on the digital films at the
- 3 clinic.
- 9 $\,$ Q. Any reason why the individual FM was listed with initials
- 10 as opposed to a name?
- 11 A. He was not a client, as far as I know.
- 12 Q. Not a client --
- 3 A. Anybody that's on this list that's initials is not a
- 14 client of Mr. Heberling's or Mr. Lewis's.
- 15 Q. Okay. But he is a patient of yours?
- 16 A. He is dead.
- 17 Q. Who has since died?
- 18 A. Yes
- 19 Q. And then, Ruben Fellenberg we already talked about?
- 20 A. Yes. And there is a couple more that will probably wind
- 21 up getting provided to you at some point.
- 22 Q. Do you have their names? Are they clients of
- 23 Mr. Heberling's you can reveal their names, or not?
- 24 A. I don't know. I am sorry. I can't even -- I don't have
- 25 the complete -- the list of what I added to that

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- 1 subsequently. I don't necessarily need to rely on that.
- 2 Okay? But I have added a couple other people that, more
- 3 recently, I have seen this same sort of abnormalities occur
- $4\,$ $\,$ in, since, and they have come up since July, which is why you
- 5 don't have them on this list.
- 6 Q. Now, is Francis Cole the same person as Bud Cole?
- 7 A. Yes.
- 8 MS. HARDING: They are running out of tape, so we will
- 9 take a couple-minute break.
- 10 VIDEOGRAPHER: This will conclude Tape No. 1. The time
- 11 is now 10:07 a.m.
- 12 (Recess taken from time 10:07 to time 10:14.)
- 13 VIDEOGRAPHER: This is the continued videotaped
- 14 deposition of Dr. Alan C. Whitehouse, Volume 1, Tape 2. The
- 15 time is now 10:14 a.m. The date remains to be October 18,
- 16 2007.
- 17 Q. (BY MS. HARDING) Dr. Whitehouse, we were talking about
- 18 Exhibit 4 to your July 2007 report. In your fall 2006
- 19 report, the column that is now labeled, Rapid Progression,
- 20 previously had been labeled, Progressive, not rapid
- 21 progression. I just want to take -- did the inclusion
- 22 criteria for that category change, or did you just change the
- 23 category name?
- 24 $\,$ A. Well, no. I removed some that were not rapid
- 25 progression, but they were progressive. So, let's put it

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- that way. I think that's probably the best way to handle it.
- 2 So I removed them for a variety of reasons, but they were
- 3 there for the use for PowerPoint presentations, if I gave a
- 4 lecture.
- 5 And they were progressing, I thought, but I wasn't really
- 6 able to document it as well as I would like, and it wasn't
- 7 rapid, concerning the criteria that I put on this list,
- 8 except for Ruben Fellenberg.
- 9 Q. Who -- although he is not on the Exhibit 4 in your
- 10 July 2007 report, he is back on your current list now, which
- 11 we don't have yet, right?
- 12 $\,$ A. Yes. If you would like to have that list, I can get it
- 13 $\,$ to you. Although, that may not be complete, either, because
- 14 I will add to it as time goes by.
- 15 $\,$ Q. Okay. We would like to get a copy of the current list
- 16 when -- maybe after the deposition, okay?
- 17 In your July report, you state the following, with
- 18 respect to Exhibit 4, in your report, and I quote -- it's

 19 from Paragraph 35. Would you like me to wait so you can read
- 20 along?
- 21 A. Yes. I would appreciate that. Okay.
- 2 Q. It says, "Rapid progression in the Libby cohort can also
- 23 be seen radiographically. Exhibit 4 is a Chart and CD
- $24\,$ titled, 'Rapid Progression and Pleural Deaths,' which
- 25 presents a collection of 18 cases of rapid progression

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secondary to pleural disease. See also chart, Exhibit 4. To

Paragraph 36: "Pleural disease from exposure to Libby

my knowledge, progression of pleural disease of this nature

asbestos appears to be far more severe than asbestos pleural disease reported elsewhere. Exhibit 4 is a CD in which

presents seven cases of pleural disease progression leading

And we have already discussed its rapid progression of

How much progression did you require to be included in

A. It's a qualitative basis. It had to be very observable.

It was presented to a number of people who had looked at it

and said, my goodness, I have never seen anything like that.

That was -- basically, one of the real indexes was that

previously. And they had to have significant pulmonary

people had not seen that kind of change that quickly

to death. None of the seven deceased patients had

this list, for somebody to be included in this list?

has not been reported elsewhere."

significant interstitial disease."

You did.

A. Yes.

function loss.

Ο.

Did I read that correctly?

lung function and x-ray changes, correct?

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time. And there were a variety of cases. Since then, I have

got a couple of interstitial ones in there also that have

also rapidly progressed. Most -- but they are mixed as well.

I mean, so they have both pleural disease and

interstitial disease, but the interstitial disease appears to

is have rapidly changed as well.

We said 22. Actually, I have 21 now, because of the ones

we removed. I had forgotten that.

9 I had been working with 22 for a long time, and then I

took one out, so I have 21 now. The list you will get will 1.0

have 21 in it. If you add Ruben Fellenberg and take out two

of the other ones, you have --12

14 A. I think you will wind up with three or four new ones that

you don't have now. Okay? 15

Okay. I will come back to that. -

17 A. I am not trying to confuse.

18 That's okav.

This is all ongoing, and I get spurts where I work on 19

20 some of this stuff and look at it, and then it sits for a

21 while.

22 I want to ask you a couple questions about what you just

said, because I am a little bit confused. In the report, in

your July 27 report, which, you said, summarizes the opinions 24

that you are going to provide in this litigation, you said 2.5

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As it turns out, the pulmonary function losses were

large, like, 20, 30, 40 percent, over fairly short periods of

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Page 43 none of the seven deceased patients had significant

interstitial disease. And those are the seven patients that

3 are --

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That's correct. 4 Α.

Let me finish the question. Those are the seven patients

that are marked under the pleural death box in Exhibit 4.

correct?

8 A. Yes. That was my opinion, based upon reading the x-rays.

9 Q. Okay.

10 And knowing the patients.

That the seven patients listed in the pleural death 11

category did not have asbestosis, correct?

A. I did not think so. They were confusing x-rays, and I 13

14 would be the first one to admit that. They had so much

pleural disease that it overlay much of the lung fields.

And, so, under those circumstances, you always worry a 16

17 little bit whether there is any interstitial disease

18 underlying that, that you can't see. But to the best of my

knowledge and from watching the progression, until their 19

20 death, I was looking at pleural disease

O. You mentioned people that looked at the charts with you. 21

What other individuals have you consulted with, with respect

to the creation of Exhibit 4?

Well. I haven't consulted with them at all. It wasn't 2.4 Α.

the charts. It was x-rays that I have shown to a number of

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people that have looked at it, and they have been seen by

Steve Levin in Mount Sinai, Dr. Frank, who I know you know at

this point, and by Jack Ruckdeschel at Karmanos, who is the

CEO of Karmanos in Detroit.

Q. What was last name?

A. Jack Ruckdeschel.

Where is he from?

He is the CEO of Barbara Karmanos Cancer Institute in

Detroit. And we have been involved with Karmanos at the

10 clinic.

Who else? Anyway, all of them had the same impressions, 11

all three of those, about they had not seen anything like

that previously, and encouraged me to go ahead and get it 13

written up, ultimately, which I will do. 14

Q. In connection with the x-ray changes of the -- of all of

16 the people listed on Exhibit 4?

17 Not all of them. I think that I showed them probably

about 12 or 14 or something like that at the time. See, most

19 of that happened about a year ago, and I have been working on

a compilation of that, writing up case histories and things

21 like this. And they did see the pulmonary functions that

went along with them. They saw a summary of it. They didn't

23 see all of them.

2.4

Do you have a list of the actual individuals that you

showed these people?

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Α. No.

Can you -- looking at the list, can you tell me which 2 Ο.

ones you showed them?

Probably. No. 4, 5, 6, 7, 8, 9, 11, 12. Probably 15 and 4

16 and 18. I may not have shown all of them to everybody.

So you have to -- you have to take that list as not

necessarily being absolutely accurate.

Okay. I would like to ask you a question about the

9 individuals in the pleural death column. Mr. Wright, who is

listed as No. 4 on Exhibit 4? 10

11 Α. Yes.

I understand from the Exhibit 3 to your report. 12 Ο.

Mr. Heberling's client list, that he was a worker for

W.R. Grace. Is that correct? 14

15 A. Yes, he was.

Q. And he worked at the W.R. Grace from 1957 to 1963. Do

you know that? 17

I don't have that data with me right here. I probably do

19 actually have it here in the deceased -- and the death list.

20 And the death list is by year, and he died a long time ago.

21 I have written down he was 78 years old at the date of --

Date of death, yes. I am not finding that. Do you see 22 Α.

it on the deceased client list?

O. He is on there. He is on --24

MR. HEBERLING: 5-13-01. 25

STOREY & MILLER 717 W. SPRAGUE AVE, STE 1520, SPOKANE WA (509) 455.6931 What date?

2 MR. HEBERLING: 5-13-01.

(BY MS. HARDING) If you look at No. 30 -- oops.

A. I had forgotten. I thought he died a little bit longer

ago than that. That's correct, I am sure.

(BY MS. HARDING) He also is listed, as I understand it,

on his death certificate as having asbestosis, correct?

In effect, we might as well get that clarified right now,

9 while we are at it. I basically use the terminology Selikoff

uses, and a lot of people are currently using, referring to 1.0

pleural disease as pleural asbestosis. And I think the

knowledge base of the amount of subpleural fibrosis these 12

people were having with pleural disease, I don't make the

differentiation. It's all the same disease. 14

15 If they die of it, they can die of pleural disease and

die of interstitial disease, and they are all part of a

continuum, in my opinion. 17

18 Did you sign Mr. Wright's death certificate?

I probably did. I am not sure. 19

20 I don't believe I have it. Ο.

21 Do you have a copy of it there? I might not have. I am

22 not sure.

Although his death certificate says asbestosis, he did

24 not have asbestosis, or he did?

25 It depends on how you define it, like I just said.

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Well --1 Ο.

I use the terms interchangeably, and you are probably not

going to shake me from that because I have dealt with so many

of these people, and I looked at so many CT's of people that 4

have pleural disease, that have interstitial disease

underlying, low DLCO's, and they technically have asbestosis.

and the two, almost invariably, coexist in our patient

8 population. Not always. We have pure pleural disease.

If you look at it as a continuum, over time, you see

interstitial disease you can't see on the plane chest x-ray. 10 We have the advantage of having one of the largest series of

HRCT's -- that's high resolution CAT scans -- of anyplace in

13 the world

11

14 Q. Since you are being frank, I will be frank as well. I --

it would not be unusual, medically or scientifically, to see

a patient with asbestosis, with interstitial fibrosis caused 16

17 by asbestos, to rapidly decline and to die from asbestosis,

18 correct?

19 A. It would not be unusual?

It has been documented in the literature for asbestos 20

cohorts, people that are highly exposed to asbestos, that 21

people that develop interstitial fibrosis from asbestos and

have it seriously, can have rapid progression and die from

2.4 it? Correct --

A. There are definitions of rapid progression. If you look

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at the interstitial stuff I have in here, we are looking

about a year or two. And I don't think that's reported. I

defined the pleural disease as about five years.

But in reality, the ones in here that look like there is 4

a significant loss of interstitial -- or significant change

in interstitial disease is a lot quicker than that.

But in your Exhibit No. 4, you have classified the

individuals that have died under pleural disease?

16

You noted in your report, none of the seven deceased 10

patients had significant interstitial disease. As I 11

understand your testimony and your reports, it is the loss

13 and rapid progression associated with pleural disease that is

significant and different in this population, correct? 14

That's correct. And these were -- these did not have any 15 evidence of significant interstitial disease. But in that

other list of rapid progression, there is a couple people

with interstitial disease who rapidly progressed over a very

19 short period of time. A couple years.

20 Given the importance that you place on what you are

seeing with respect to pleural disease change, I would think 21

that you would think it would be important to attempt to

23 determine whether or not your patients have interstitial

24 fibrosis or pleural disease, and to make that distinction in

your records. But you don't, correct?

5

9

10

11

12

14

15

16

17

19

2.0

21

22

24 25 Α.

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Unfortunately, except for two of them that I know of off

But I also have a number of other ones that were older

the top of my head, the rest of them did not have HRCT's. So

I have two of them in there that did, that had absolutely no

deaths, for one thing. They died before 2000. They did not

Dr. Whitehouse, with respect to six of the seven

their death certificates, they are all listed as having

and I would have put that diagnosis down on their death

much prefer to use Selikoff's terminology of pleural

certificate, even with pleural disease, for the reasons that

I already told you. It's because the patients -- you know, I

asbestosis, that the differentiation of interstitial disease

into how that came about. It's slowly being eliminated, or,

at least, doctors currently are thinking about these things

in much different terms. And I have thought about them in

different terms for many years, and I think that's a false

separation. As a matter of fact, I know it's a false

from pleural disease is a false one. I don't want to get

individuals that are listed in the pleural death column, on

That's correct. And I would have listed them that way --

evidence of interstitial disease

have HRCT's, to my knowledge.

asbestosis, correct?

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of off 1 Exhibit 4, pleural deaths for these individuals, then.

- · •
- 2 Correct?
- 3 A. Not necessarily. I am referring to the fact that they
- 4 had pleural disease with no evidence of interstitial disease
- 5 that I could find at the time. Okay? That's not mutually
- 6 exclusive.
- 7 Q. Do you believe, then, today, that these individuals died
- from a combination of pleural disease and interstitial
- 9 fibrosis, or just pleural disease?
- 10 A. As far as I could tell, they died of just pleural
- 11 disease, or if they had any interstitial disease, it was very
- 12 minimal, and they died of pleural disease and restrictive
- 13 lung disease from that.
- 14 Q. So, then, the listing on their death certificates would
- 15 be inaccurate as to asbestosis, then. Correct?
- 16 A. Not in my opinion.
- 17 Q. Well, in the opinion of the American Thoracic Society,
- 18 would you agree they would disagree with categorization of
- 19 somebody as having asbestosis on their death certificate if
- 20 they indeed did not have interstitial fibroses?
- 21 $\,$ A. $\,$ I am not sure they would. If you read the 2004 ones,
- 22 there is a lot of hedging about -- I can't give you the exact
- $\,$ 23 $\,$ quotes on that, but there is now, I think, significant debate
- $24\,$ $\,$ about that, and I think the debate about trying to separate
- $\,$ 25 $\,$ two things out, when they are both due to the same process,

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Q. So, then, it would also be a false separation to list, on

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and they are in a continuum from one end to the other is a

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2 very false assumption.

separation.

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- 3 And it doesn't -- it doesn't go along with Halstead's
- 4 principles of disease, which dates back years, about
- 5 causative factors and end results. It's a false way of
- 6 looking at a disease process that starts with the inhalation
- 7 of an asbestos fiber, usually starts with pleural changes,
- 8 then develops interstitial disease.
- 9 And, so, you call it asbestosis. I prefer to call it
- 10 interstitial disease, pleural disease, or combinations of the
- 11 two, but I call them all asbestosis, and I have for a long 12 time.
- 13 Q. So, for the individuals listed on Exhibit 4 under the 14 pleural death column, all of -- of the individuals in your
- records would be listed as having asbestosis, correct?
- 16 A. They might be, yes.
- 17 Q. With respect to the individuals listed under pleural
- 18 death, none -- as I can tell from cross-referencing to
- 19 Exhibit No. 3 -- none of those individuals are classified as
- 20 community exposure, correct?
- 21 A. No. 21, Greg Shockley, worked for the railroad. He
- 22 worked in Libby. So he would be classified as community,
- 23 probably. I don't believe he ever -- by my recollection, I
- 24 don't believe he ever worked for Grace.
- 25 Clarice Hack, were family members of Grace workers. Don

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- 1 Riley was an employee of Grace. Dean Adkins, Jack DeShazer
- $2\,$ $\,$ and Andy Wright were all employees of Grace.
- 3 So there may be one that was community, and that's Frank
- 4 Shockley.
- 5 Q. Is he not a client of Mr. Heberling?
- 6 A. He is a client of Mr. Lewis's. I don't know whether he
- 7 would be on that list or not.
- 8 Q. That's why he isn't on Exhibit 3, correct?
- 9 A. That might be the reason.
- 10 MS. KRIEGER: Excuse me. I hate to interrupt. Could we
- 11 ask for Dr. Whitehouse to speak up?
- MS. HARDING: We will move the phone down a little
- $\,$ 13 $\,$ closer, because we can all hear him fine in here.
- 14 MS. KRIEGER: Fine.
- MS. HARDING: No problem.
- 16 Q. (BY MS. HARDING) I would like to ask you a couple of
- $17\,$ $\,$ questions about the individuals on Exhibit No. 4 that I
- 18 understand have been classified under the community exposure
- 19 category in Exhibit 3. Okay?
- 20 A. Okay.
- 21 Q. The first person is Ron Masters.
- 22 A. Yes
- 23 Q. Is he somebody that's still on your current list?
- 24 A. Yes.
- 25 O. And if you go back and look at Exhibit 3, under

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- community, on Page 4, about a third -- a quarter of the way
- 2 down, you will see Ron Masters and he is listed as a
- community exposure, correct?
- 4 A. Yes.
- Now, do you know if Mr. Masters worked in the lumber Ο.
- yard? Do you recall?
- A. You know what? I am not going to trust my memory to
- recalling about the individual exposures of those and the
- 9 environmental exposures. I would be happy to go and look
- through a chart, if you have their chart. I know you do have 10
- 11 those.
- 12 I think I do have those. I don't have extra copies. Ο.
- though, because they were medical records, and we were -- I
- think -- unclear to me which ones we are supposed to have 14
- 15 only one copy, so we only kept one copy.
- A. I will give it right back to you. I can use that to
- 17 recollect things, and that will take care of it.
- I think, if you see a Page No. 5 of that group of
- 19 documents
- 20 Yes, he did work in the lumber yard. A.
- 21 He worked at the plywood plant; is that right?
- 22 Δ That's correct
- O. He also worked at other mines?
- Played in the piles, vermiculite at home, garden. 24
- Lived -- home insulated. Lived three miles downstream from 25

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the conveyor and the loading.

- O. Those are the reasons you would have included him in the
- community exposure; is that right?
- Yes. And the lumber -- and people that worked in the
- lumber yard are included in the community exposures.
- And you also have a note in your records that you
- question whether some of his pulmonary function decline is
- due to asthma. Is that fair?
- Show me where that is.
- Q. I believe it's at Page 89, toward the end. I don't think 10
- 11 it's marked, though.
- 12 You treated him with steroids that helped?
- Oh, I guestioned whether it was due to it, although he
- was wheezing at that time. Was having some broncho spasm, 14
- 15 and I gave him some steroids, yes.
- Q. And the steroids actually helped him a great deal?
- It has helped him. Although, it didn't -- I think, on 17
- that particular occasion, it didn't help him very much. Let 18
- me see the date -- is there another note in there?
- 2.0 There may be. I don't know. Ο.
- 21 Yeah. There is one from 7-12-06. I always see people
- back. It did not help him and he was still wheezing, and we 22
- agreed that we were just going to continue with what he was
- 24
- 25 Ο. Thank you. The next thing I want to ask you about is

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- Clinton Hagen. 1
- 3 Looking back at Exhibit 3, Mr. Hagen is also classified
- as a community exposure, correct? 4
- He is. That's correct.
- THE WITNESS: Can you hear me now? 6
- MS. KRIEGER: Yes, I can. Thank you very much.
- 8 (BY MS. HARDING) I will show you the records we have for Ο.
- Mr. Hagen that were provided by you.
- I know him well. 10
- And looking at Page 61 --11 Ο.
- 13 ο. -- Mr. Hagen, in addition to the vermiculite exposures
- that you list at Page 61 of that -- of his medical records, 14
- he also worked at the lumber yard. Correct?
- 16 A. Yes, he did.
- And he worked at the plywood plant. Correct?
- That's sort of one in the same. Α
- 19 Ο. He has done mechanical repair work on insulated pipes.
- 20
- 21 Α. I need to look back in here, whether that was at the
- lumber yard or not. It might have been.
- 23 O. And he was a welder?
- 2.4 Α. He was a welder.
- Q. Those are all the questions that I have with respect to

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- Mr. Hagen. 1
- With respect to Mr. Martin. Is he somebody that is still
- on the list or not on the list?
- He is not on the list anymore, and I -- actually, the
- reason was -- so you understand why -- I had two films on
- him, and the second film, I thought, probably had shown
- worsening. I took him off the list because the film, I thought, was of really poor quality, and I asked the hospital
- to re-take the film, and they refused to do so.
- So, as of right now, you don't have the current film? 11
- No. I don't have another film on him. So I just -- you
- know, this list -- I want this list to be accurate. Okay? 13
- 14 And demonstrative of what I am seeing. So I took him off the
- 15
- 16 Depending on what you see on the next film, he may or may
- not be back on the list, correct?
- Probably not, because I will have written things up by 18 Α.
- 19 then.
- Okay. With respect to Larry Hill --
- 21
- -- he is another individual that is on your list, and he
- is also, as I understand it, listed as a community exposure
- 2.4 on Mr. Heberling's list in Exhibit 3. Is that right?

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- Page 57
- Q. Do you recall the other occupational asbestos exposure of
- Mr. Hill, or would you allow me to show you the medical
- Show me the medical records. He is a very sad case 4 Δ
- because he has had fulminant disease in the last three or
- four years associated with severe chest pain.
- O. In addition to information regarding his exposure in
- Libby to vermiculite -- which I understand to be, played in
- 9 piles and worked around the conveyer belt area, the screening
- plant, lived in a home insulated with vermiculite --10
- 11 Where is that listing in here?
- Actually, it looks like it's on Page 1 --12 Ο.
- On Page 1?
- 14 ο. -- with respect to the Zonolite exposures. Then on
- Page 2, it indicates that he worked as a contractor, 15
- subcontractor, to W.R. Grace?
- I see an X mark there, and I don't know what that part 17 Α.
- is. I did not take that history myself.
- 19 O. Who took the history?
- 20 A. I think Dr. Black saw him, originally. In fact, he is
- 21 actually Dr. Black's patient.
- This is Dr. Black's patient. Mr. Hill also worked in a 22 Ο.
- Naval ship yard, correct? On Page 2, does it indicate that?
- 24 Α. Yes
- 25 And he also worked in a foundry and as a plumber, Ο.

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- A. Yes.
- correct? 2
- Based on my understanding of the classifications in
- Exhibit 3, I originally -- my original question was going to
- be, this individual will be miss-classified as a community
- exposure. But as I understand your testimony, he is not
- miss-classified as community, because you have not, in your
- community designation, removed people who had other asbestos
- occupational non-Grace exposure, correct? 9
- That's correct. He had very high exposures levels in 1.0
- 11 Libby, too, basically, by taking the history from him, and I
- have done that myself subsequent to that time. I don't know 12
- where it is in the chart. And it may not be in the chart.
- 14 But I have talked to him at length at least one time about
- 15

24

- As I understand your previous testimony, when you say he
- had very high levels of exposure to -- at Libby, you are not 17
- indicating that you have any knowledge whatsoever about the
- actual level of exposure of Mr. Hill, but that he had a 19
- 2.0 number of potential pathways for exposure at Libby, correct?
- 21 That's partly that. But it's also information that I
- have developed by talking to a lot of people as to what their 22
- exposure histories are. As the areas that have come to the
- fore when you work -- when you see over a thousand people in
- 25 the clinic and you know what they were exposed to and where

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- they were exposed to it, then you begin to draw some
- conclusions about the severity of some of the exposures.
- 3 But the other problem is that it's not possible -- we
- don't know what the levels were, as you know, and there is a 4
- very marked difference in susceptibility to disease from
- individual to individual, and whether that's genetic.
- familial, we don't know what it is for sure.
- 8 At any rate, the things he were exposed to were things I
- associate with high levels, and particularly around the
- conveyor belt across the river. 10
- 11 I want to make sure I understand. When you say high
- levels, you are not corresponding that to any particular
- quantitative number. It's just that you see it as being 13
- 14 exposure that has been more serious than, potentially,
- others, correct?
- A Correct 16
- You also just mentioned the issue of the individual
- susceptibility, which I have seen you discuss in the cost
- 19 recovery deposition. And I want to make sure I understand
- 20 it.
- 21 As I understand it, it's a relatively new theory -- I
- guess is the way to say it -- that you and -- I don't know --
- perhaps, others, have developed with respect to the potential 23
- 2.4 for asbestos exposure to be more important in some
- individuals as opposed to other individuals, correct?

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- I think that's true. Dr. Black and I have talked about
- that extensively, because we see whole families. Now, maybe
- they just had high exposure more than other people, but a lot
- is not explainable. 4
- For example, two people that live -- I had this
- experience. Two people that worked side by side in the dry
- mill, at the same time, almost exactly the same dates, and
- were friends, and one is dead, and the other one has no
- evidence of disease, period. I don't know how to explain that, except genetics. They both were nonsmokers. I will
- give you Larry Hill's backup. 11
- O. Thank you.
- 13 If we could take a break for a minute, I would appreciate
- 14 it. I need to stand up and straighten my knee out for a
- 15
- 16 O. Ten-minute break or longer?
- 17 I don't need too much time. I will head to the bathroom.
- O. Let's shoot for five or ten minutes. 18
- VIDEOGRAPHER: We are going off the record at 10:49 a.m. 19
- 2.0 (Recess taken from 10:49 to 10:56.)
- VIDEOGRAPHER: We are back on the record at 10:56 a.m. 21
- Q. (BY MS. HARDING) Dr. Whitehouse, it's fair to say that
- 23 you have opined in this litigation, as well as in the
- 2.4 criminal case --
- 25 MR. MCLEAN: Excuse me. We can't have any questions

16

21

24

25

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                                                                                                                                               Page 62
     relating to the criminal case in the deposition. There will
                                                                                       then that is what I am going to do. Correct.
     be none that is related to this criminal case, and I will
                                                                                   2
                                                                                            MS. HARDING: When you say information supplied in the
     instruct Dr. Whitehouse not to answer questions that have any
                                                                                       criminal case, what do you mean? What information did you
                                                                                       supply to the witness in the criminal case that is not --
    relation to the criminal case.
          MS. HARDING: On what basis?
                                                                                       what information did you provide to the witness in the
          MR. MCLEAN: Because it's not allowed under the Federal
                                                                                       criminal case.
    Rules of Criminal Procedure, the judge's protective order.
                                                                                            MR. MCLEAN: What I am talking about, really, is the
          MS. HARDING: You are saying Dr. Whitehouse's opinions he
                                                                                       criminal discovery process and Judge Mulloy's protective
 9
     reached in the criminal case and their relevance and what
                                                                                   9
                                                                                       order saying that the information supplied by the United
     they say don't bear on his credibility and our ability to
                                                                                       States to the defendants in their criminal case could not be
10
                                                                                  1.0
11
     understand what he is saying in this bankruptcy case?
                                                                                       used for any other purpose, including bankruptcy or civil
          MR. MCLEAN: I am telling you. I will not let him answer
12
                                                                                  12
                                                                                       cases. And, so, that's what I am referencing.
    any questions that relate to the criminal case.
                                                                                            As well as, this witness should not be cross-examined
         MS. HARDING: Mr. Heberling?
14
                                                                                  14
                                                                                       about information contained in his criminal expert witness
15
          MR. HEBERLING: I don't take a position on that.
                                                                                  15
                                                                                       report, because that, in a criminal case, is only done at
          MS. HARDING: Despite the fact the witness has offered an
                                                                                  16
                                                                                       trial, and there is no such thing as a deposition in a
    expert report as an expert in the criminal case and has also
                                                                                  17
                                                                                       criminal case unless there is a court order allowing that
17
18
     offered an expert report in this case, and the fact that
                                                                                  18
                                                                                       under Federal Rule of Criminal Procedure 15. We don't have
19
     there may or may not be differences with respect to what the
                                                                                       that in place here.
                                                                                  19
2.0
     witness has said in the criminal case and what the witness
                                                                                  2.0
                                                                                            MS. HARDING: Well, as I understand it, the information
     said in this case, and any of the other myriad of issues that
                                                                                  21
                                                                                       that -- the discovery that's been provided in the criminal
    may be in play, you are instructing the witness not to answer
22
                                                                                  22
                                                                                       case is some of the very same discovery that's been provided
    questions?
                                                                                       in the bankruptcy case. Indeed, it provides essentially the
         MR. MCLEAN: If questions relate to information supplied
                                                                                  24
                                                                                       foundation of Dr. Whitehouse's opinion in the bankruptcy
25
    in the criminal case or to his criminal case expert report,
                                                                                  25
                                                                                       case.
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Page 63 Are you telling me he can't testify about the medical 1 records and documents that were supplied by the U.S. government in the criminal case in this bankruptcy case? 3 MR. MCLEAN: I think we just need to be clear that the 4 government has no idea what was supplied by claimants in the bankruptcy case, and that was the point of the protective order was to separate the government, disclosure in the 8 criminal case, from whatever might occur in the bankruptcy 9 case. 10 That's the distinction I am making is that you can ask 11 him whatever questions you want about the bankruptcy discovery, bankruptcy expert witness reports. It's only when 13 you get into asking questions about the criminal expert 14 report and whatever -- and there is overlap, I am guessing. MS. HARDING: There is significant overlap. 15 MR. MCLEAN: I don't want you to ask questions that ask 16 17 about criminal discovery. Just stick to the bankruptcy 18 discovery and we will be fine. 19 MS. HARDING: Well, the criminal discovery included the production of his medical records. That's basis. That's the 20 21 predominant issue that we are intending to ask him about today. So, are you instructing him not to answer questions 23 about the medical records that were provided in the criminal 2.4 case?

MR. MCLEAN: That's what Judge Mulloy's protective order

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Page 64 says. You are not allowed to ask about or use those criminal records -- medical records -- in any proceedings other than the criminal case. MS. HARDING: So Dr. Whitehouse is not permitted to talk 4 about the 123 records that were produced in connection with his progression study, then. That would be an area he is not allowed to talk about here? 8 MR. MCLEAN: If he provided it in the bankruptcy case, you can ask him whatever questions you want. MS. HARDING: He provided it in both, in the criminal 10 case and in this case. 11 MR. MCLEAN: Okay. I am not aware what he provided in 13 the bankruptcy case. 14 MS. HARDING: I think let's go off the record for one 15 second, please. VIDEOGRAPHER: We are going off the record at 11:01 a.m. 16 17 (Discussion off the record.) 18 VIDEOGRAPHER: We are back on the record at 11:09 a.m. 19 MS. HARDING: The proposal on the table is to essentially defer further discussion of the issue about the criminal case

MR. MCLEAN: It is.

21

23

24

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until after lunch, if that's amenable to all the parties?

the criminal case by the government that you think that we

MS. HARDING: The only question I have of counsel is, do you have identification of documents that were produced in

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ALAN C WHITEHOUSE M.D. October 18, 2007 Page 65 should not be asking questions of Dr. Whitehouse about? 2 MR. MCLEAN: I don't have them with me today, but they are a matter of record in the criminal case and the protective order issued by Judge Mulloy. 4 MS. HARDING: And it's your position that any records 5 that were produced by the government in connection with the protective orders issued out of the criminal case, that you are instructing Dr. Whitehouse not to answer questions about 9 those documents. Is that right? MR. MCLEAN: To the extent -- I guess the basic answer 10 11 is, yes. And what I am trying to indicate is that, if those same records were disclosed in the bankruptcy process. I have 12 no problem with asking and answering questions about those. It's just a matter of the source. And if there is 14 15 duplications -- there has got to be duplications in this 16 case. To the extent there is duplications, my only objection is to referencing discovery in a criminal case. 17 18 So if you have documents that were provided in the 19 bankruptcy proceedings, bankruptcy disclosures, and they just 20 happened to have been provided in the criminal case, I have

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process in the bankruptcy case -- and I say that that way

because some of the orders with respect to discovery in the

that were not produced -- that were not part of the discovery

MS. HARDING: Well, the only documents that I am aware of

bankruptcy case actually ended up being issued by Judge

2 Mullov. So, that's where I am concerned.

The only medical records that I am aware of that were a

part of the criminal production, that were not part of the

kind of request for documents in the civil litigation, are

the documents in connection with the victim witness -- victim

witnesses in the criminal case.

And, you know, I don't want to be asking questions about

9 medical records and have you come back later and tell me I

10 have somehow done something wrong or committed misconduct or

11 something like that. So I want a clear statement, with the

exception of those records, that we are permitted to ask him 12

questions about all of the other patient records and

documents that he has provided. Is that fair? Is that a 14

15 fair assessment of your understanding as well?

MS. HARDING: And I am not agreeing to this issue. 17

18 That's how we will proceed at this point.

19 MR. MCLEAN: Yes.

MS. HARDING: All right. 2.0

MR. MCLEAN: Yes.

21 MR. HEBERLING: I should add one thing about the

documents in the big plastic box. Not all of the 123 are our 22

clients, so as to those who were not clients, we can't cause

24 those to be copied without redacting.

MS. HARDING: Okay. I think we can deal with that off 25

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1 the record.

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got no problem with that.

21

22

24

25

3

MR. HEBERLING: We can supply a list of people who are

clients, and the others can be excluded and not copied.

MS. HARDING: Okay. For my position, it depends on the 4

reliance that Dr. Whitehouse places on the records, and if he

is relying on the records to form his opinions, then they

should be produced in some form. And, so, we can discuss the

8 form that they are produced after, off the record.

MR. HEBERLING: You have all the records and all the data

10 anyway. It's not a major issue I can see.

11 MS. HARDING: We have computer data that pick up some of

the information on the records, correct?

13 MR HERERLING: Yes

14 MS. HARDING: But we don't have copies of the records

right now, correct?

16 MR. HEBERLING: Well, we think you probably do.

MS. HARDING: Why don't we talk about it off the record,

18 then.

17

2.4

19 THE WITNESS: May I say something off the record now?

20 MS. HARDING:

VIDEOGRAPHER: Did you want to go off the record? 21

MR. HEBERLING: It's agreeable to our side.

MS. HARDING: It's agreeable. 23

VIDEOGRAPHER: We are going off the record at 11:13 a.m.

25 (Discussion off the record.) Page 68

VIDEOGRAPHER: This is the continued videotaped 1

deposition of Dr. Alan C. Whitehouse and Volume 1, Tape 3.

The date remains to be October 18, 2007. The time is now

11:16 a.m. 4

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(BY MS. HARDING) Dr. Whitehouse, I would like to ask you

about Exhibit 5 to your July 23rd -- July 2007 report in the

bankruptcy case.

Я A. Yes. I have it.

At Paragraph 37, you discuss and -- start to discuss the

Exhibit 5, and you say, "It is observed that 82 out of 108,

76 percent, deceased clients of McGarvey, Heberling, Sullivan 11

& McGarvey have died of asbestos disease, excluding those of

yet undetermined cause." And you reference Exhibit 5. 13

14 Α. Yes.

15 Do you see that?

16 A. T do.

17 And then you state, "When asbestos-related disease does

not appear on the death certificate, determination is made by

19 best evidence review of medical records."

20 Do you see that?

21 Α.

As I understand it, that means, that if the death

certificate itself did not list an asbestos-related cause of

24 death, you reviewed the information on the patient and, at

times, changed the cause of death for the individual. Is

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I .

1 that right?

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- 2 A. Correct.
- 3 Q. And it would be -- your assessment would then be
- 4 sometimes different than the assessment of the doctor who
- 5 signed the death certificate, correct?
- 6 A. Yes. By way of explanation --
- 7 Q. Actually, because we are running out of time, I think
- 8 you -- we will get to that later. I want to kind of get to
- 9 some questions about the exhibit. I just want to understand
- 10 what you --
- 11 MR. HEBERLING: He is entitled to explain his answer if
- 12 he chooses to.
- 13 MS. HARDING: That's fine, if you want to explain your
- 14 answer, go ahead.
- 15 THE WITNESS: To -- I am aware from long-standing that
- 16 the physicians in Libby, in coding death certificates, coded
- 17 almost any respiratory death as COPD. Sometimes it's
- 18 pulmonary fibrosis. And when you reviewed the records, you
- 19 got obvious other information. That's basically the
- 20 explanation for doing it the way we did it.
- 21 Q. (BY MS. HARDING) Okay. So it's your opinion that
- 22 physicians in Libby, with the exception of you and Dr. Black,
- 23 perhaps?
- 24 $\,$ A. And currently, they are not doing that. They are doing
- $\,$ 25 $\,$ it more correctly. This was for older deaths.

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- 1 $\,$ Q. At what time did you feel like all the other physicians
- 2 in Libby started to get the death certificates right, from
- your perspective?
- 4 A. I don't know. I mean, it's not -- it's fairly reasonably
- 5 recent, and even that's not uniform.
- Q. So, in the last five years?
- 7 A. Probably since the -- since the turn of the century.
- Since 2000.
- 9 Q. You also state, "While these numbers are representative
- 10 of Libby claimants only" -- by that you mean Mr. Heberling's
- 11 clients, right?
- 12 A. Yes.
- 13 Q. You state that they are indicative of the probability of
- 14 death due to asbestos disease?
- 15 A. That's true.
- 16 Q. So the -- as I understand it, you have got -- the
- 17 probability that you are referring to is 76 percent?
- 18 A. Of dying of asbestos disease? 76 percent you are talking
- 19 about is the total percent of the deaths in this group.
- 20 Q. I am asking you what probability you are talking about. 21 You say that, while these numbers are representative of the
- 22 Libby claimants only, they are indicative of the probability
- 23 of death due to asbestos disease.
- 24 A. Yeah. That's basically, if you established asbestos
- 25 diagnosis, that the probability of death due to some --

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- either asbestosis or to one of the cancers that's commonly
- 2 associated with asbestos disease, that that number, then,
- 3 is -- that's where that number comes from.
- 4 Q. The 76 percent?
- 5 A. Yes
- 6 Q. So, if you are diagnosed with any kind of disease related
- 7 to asbestos, then you have a 76 percent chance of dying from
- 8 that disease. That's your opinion?
- 9 A. I am talking about lung disease now. But, yes. At least
- 10 in the Libby population, based upon the deaths that we have
- 11 looked at carefully and the death certificate reviews.
- 12 $\,$ Q. And the percentage -- the way that you get the percentage
- $\,$ 13 $\,$ is, the enumerator is the number of people who have died, and
- 14 the denominator is the number of people that are
- 15 Mr. Heberling's clients, correct?
- 16 $\,$ A. That have asbestos disease, correct.
- 17 Q. And you make that determination even though you have no
- 18 indication whatsoever in your calculation what the exposed
- 19 population is of the people that actually ended up with
- 20 asbestos disease in Mr. Heberling's client list, correct?
- 21 A. I am not sure I understand your question.
- 22 Q. Well, first of all, let me ask you this. You don't know
- 23 how many other people have asbestos-related disease that
- 24 aren't Mr. Heberling's clients, correct?
- 25 A. No. There is probably -- I know how many we have in the

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- 1 clinic, but I don't know how many other cases there are out
- 2 there in Libby that we haven't seen yet.
- 3 Q. Right. And, actually, based on other information in your
- 4 reports and other things that you have done, you suggested
- 5 there are many, many more cases of asbestos-related disease
- 6 in the Libby area, correct?
- 7 A. In the Libby area and around the country, too, I suspect,
- 8 because we take care of a fair number of people that are out
- 9 of state that come back to Libby.
- 10 Q. Right. So the denominator, with respect to the
- percentage of people that die from asbestos-related disease, should include all of the people that have asbestos-related
- 13 disease, right?
- 14 MR. HEBERLING: Objection. Unclear as to which
- 15 denominator.
- 16 THE WITNESS: The denominator we are using is the number
- 17 of deaths, the percentage that are asbestos related, not
- 18 necessarily the entire population of asbestos disease.
- 19 Because, obviously, if you have a pleural plaque at age 40,
- 20 you know, you wouldn't be included as a death -- if you are
- 21 living and working and everything else. You wouldn't be
- 22 included as a death until you actually died and then we
 23 reviewed the death certificate and we know that you have had
- $24\,$ $\,$ asbestos disease and we can document that in some form or
- 25 another, or be highly suspicious that's what it was when we

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- looked at the death certificates.
- 2 And I use the same criteria Selikoff did for death
- 2 review
- 4 Q. (BY MS. HARDING) The ratio you get, the way you get your
- 5 percentage, is, you have a number of people with disease and
- a number of people who die, correct?
- 7 A. No. The ratio we are talking about, 76 percent --
- 8 O. Yes.
- 9 A. -- is the number that died and the ones that had asbestos
- 10 disease at the time of the death.
- 11 Q. In Mr. Heberling's client list?
- 12 A. In his client list, right.
- 13 Q. Do you have any information whatsoever to let you believe
- 14 or lead you to believe or provide a foundation that
- 15 Mr. Heberling's client list includes all of the people in the
- 16 Libby area that have some form of asbestos-related disease?
- 17 A. No. Obviously, not. There is 667 total clients. There
- 18 has been over -- well over 15 or 1800 identified. I don't
- 19 know the exact number now. We are still seeing two or three
- 20 new ones a week.
- 21 O. So, if the actual number -- let's just speculate. If the
- 22 actual number of people in Libby with asbestos-related
- 23 disease were 1,000, and the number of deaths associated with
- 24 asbestos were the number that you have here, which is 82 --
- 25 correct?

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- 1 A. Correct.
- 2 O. -- then the probability from death that you would
- calculate from those two numbers would be significantly
- 4 different than 76 percent, correct?
- 5 A. Yes. But they haven't died yet.
- 6 MR. HEBERLING: Objection. Misstates the record. Go
- 7 ahead.
- 8 THE WITNESS: You are talking about when you die, whether
- 9 your death was due to asbestosis or lung cancer or some
- 10 asbestos-related disease, as opposed to, say, you stepped in
- 11 front of a bus.
- 12 Q. (BY MS. HARDING) I understand that. And you are saying
- 82 of 108 of Mr. Heberling's clients have actually died from
- 14 something that you consider to be asbestos-related disease,
- 15 right?
- 16 A. Correct.
- 17 Q. And the point that I am making is that the percentage
- 18 that you get is based solely on the denominator that you use,
- 19 which is 108, which is the clients?
- 20 A. Yes. The ones that died.
- 21 Q. Of his clients?
- 22 A. Of his clients, yes.
- 23 Q. And you don't --
- 24 A. This isn't related to anybody except his clients, this
- 25 particular chart.

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- Q. Except that you say that that is indicative of the
- 2 probability of death due to asbestos disease, correct?
- 3 A. I think that his client list is pretty representative of
- $4\,$ $\,$ what we see in the CARD Clinic. I think it's quite
- 5 representative.
- 6 And, in addition, we send cards to families of everybody
- 7 that dies, and I see all those because I sign all those,
- 8 along with the rest people in the clinic. I am up there
- 9 twice a month, and I sign, probably, two every time I am up
- 10 there.
- 11 And I know who those people are, and I know what they die
- of, and I think the percentage -- I don't have any statistics
- on this, but I think it's going to be a reasonable percentage $\,$
- $14\,$ $\,$ in Libby that, if you die, that your asbestos disease, if you
- 15 $\,$ had it, was going to be a significant contributing cause to
- 16 your death, if not the sole cause.
- 17 Q. I think you just said that the CARD Clinic has a number
- 18 of other individuals currently diagnosed with
- 19 asbestos-related disease, correct?
- 20 $\,$ A. Oh, yeah. We have two, two and a half, maybe three times
- 21 what his client list is.
- 22 Q. Okay. I think, as I understand what you are saying, you
- 23 are saying that the -- you believe that the ratio that's
- $\,$ 24 $\,$ observed in Mr. Heberling's client list is the same as the
- 25 ratio that would be observed if, for instance, you took all

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- $1\,$ $\,$ of the people, individuals in Libby, who currently have
- 2 asbestos-related disease, and the deaths that have occurred
- 3 in that group?
- 4 A. I think it's going to be -- I don't have the statistics.
- 5 I will be the first one to admit I don't have the statistics,
- 6 but I would very much suspect that it's very close.
- 7 Q. But you don't have any -- you don't have any data to
- 8 support that, correct?
- 9 A. No, I don't, at this point. ATSDR has a fair amount of
- 10 data, but I don't have any data concerning all of our current
- 11 patients or anything. We are dealing here with his client
- 12 list, predominantly.
- 13 $\,$ Q. So, for the opinion that 76 percent of people that will
- $\,$ 14 $\,$ die from asbestos-related disease, you are relying on the
- 15 ratio you derived from Mr. Heberling's client list, correct?
- 16 A. Basically, yes.
- 17 Q. You also state that -- in the same area, I believe --
- 18 that a patient diagnosed with asbestos disease from
- 19 predominantly chrysotile exposure has a much lower likelihood
- 20 of death. Correct?
- 21 A. That's correct.
- 22 Q. As I understand it, you have indicated previously that
- 23 you have examined many patients in the past that are just
- 24 $\,\,$ exposed to chrysotile asbestos. Is that right?
- 25 A. Those were patients that were in $my\ office\ in\ Spokane.$

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- They are not part of the CARD Clinic population at all.
- 2 Q. And what -- as I understand it, in previous testimony,
- 3 you have indicated that the kinds of work histories of those
- 4 individuals that are exposed just to chrysotile are people
- 5 that were in the shipyards, that were insulators, pipe
- 6 fitters, the traditional occupational asbestos exposures.
- 7 Correct?
- 8 A. Yes. Some that you are not aware of, but we are in the
- 9 State of Washington because they were almost all from the
- 10 State of Washington.
- 11 Q. So, almost all of the individuals that you had previously
- 12 seen that have only chrysotile exposure were almost all from
- 13 the State of Washington?
- 14 A. Most of them were. There were maybe a few other ones
- 15 outside, but not very many.
- 16 Q. And it's your opinion that the occupational exposures
- 17 that individuals -- that you were talking, these other 500
- 18 group of people you have seen that were only exposed to
- 19 chrysotile?
- 20 A. Yes. And that 500 is an estimate, because I did not keep
- 21 an accurate database on them. It's probably more than that,
- 22 actually.
- 23 Q. But the occupational exposures that we just discussed,
- 24 the types of occupations that they did and the asbestos
- 25 exposures they received, it's your opinion those were solely

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- 1 chrysotile asbestos exposures?
- 2 A. They were solely chrysotile. They may have had some
- 3 amosite exposures also, because it was mixed in frequently
- 4 with chrysotile. And I can tell you all the areas that were
- 5 predominant in the State of Washington, if you are interested
- 6 in that information.
- 7 Q. I am interested in what you just said. You said that
- 8 they did have amosite exposure as well. So they weren't
- 9 solely chrysotile exposure, then?
- 10 A. I think it's well known, in some instances, there was
- 11 some amosite mixed in with chrysotile. Not necessarily a
- 12 lot. There is hardly anything as pure chrysotile. There is
- 13 also some mixtures of various things. For the most part, it
- $14\,$ $\,$ was chrysotile they were exposed to. And sometimes you don't
- 15 know what all was there.
- 16 Q. You based a number of your opinions in previous reports,
- 17 as well as in this report, on the distinctions that you see
- 18 $\,$ in the group of workers that you diagnosed with what you have
- 19 termed chrysotile only exposures, as opposed to the group of
- $20\,$ $\,$ individuals that you have -- that you have seen that have
- 21 exposure to asbestos from Libby, correct?
- 22 A. That's correct.
- 23 MR. HEBERLING: Objection. Misstates the report.
- 24 Q. (BY MS. HARDING) And you have indicated on numerous
- 25 occasions that the -- that the distinction that you are

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- drawing is between groups of workers that have only
- 2 chrysotile exposure and groups of workers that have exposure
- 3 at Libby, correct?
- 4 MR. HEBERLING: Objection. Misstates the report.
 - THE WITNESS: Probably you need to read to me what I
- 6 wrote about that, at this point in time.
- 7 Q. (BY MS. HARDING) The one place that I see is in -- I
- 8 don't have a paragraph right here, but you have, a patient
- 9 diagnosed with asbestos disease from predominantly chrysotile
- 10 exposure has a much lower likelihood of death?
- 11 A. That's correct.
- 12 $\,$ Q. $\,$ And as I understand your testimony today, though, you are
- $\,$ 13 $\,$ saying that that group of workers that you have seen in the
- 14 $\,\,$ past, they didn't just have chrysotile exposure, they also
- 15 had other exposures. Correct?
- 16 A. I didn't say that. What I said was that many of the
- 17 chrysotile -- that was used had some small amounts of amosite
- 18 in it. That's a known fact. That's not anything that I know
- 19 specifically. And I don't even know for sure, particularly,
- $20\,$ $\,$ where that -- which of the exposures that these people had,
- 21 had any other kind of asbestos associated with it.
- 22 But I know they were not predominantly amphiboles at all,
- 23 like we have in Libby, which is a very different type of
- 24 asbestos.
- 25 Q. In your July 2007 report, on Page 1 you say, "Since 1980

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- 1 I have evaluated or treated over 700 patients for asbestos
- 2 disease from Libby asbestos. Since about 2000, patient data
- 3 has been tracked on a database. Since 1980, I also evaluated
- 4 or treated over 500 patients with asbestos disease from
- 5 predominantly chrysotile exposures."
- 6 A. That's correct.
- 7 Q. As I understand the testimony you gave in the cost
- 8 recovery action, you stated that, with respect to these 500
- 9 patients that were predominantly exposed to chrysotile, that
- 10 workers from -- these were workers from furnaces, pipe
- 11 fitters, Navy workers; those were the types of workers that
- 12 you are talking about, correct?
- 13 A. Nuclear power plants, paper mills, beet factories, all
- 14 kinds of things.
- 15 Q. Insulators?
- 16 A. Insulators. Not many insulators, actually, except at
- 17 Hanford. I used the word predominantly, if you notice there.
- 18 Q. What's your foundation for your opinion that the diseases
- 19 that resulted from these exposures in this other group of 500
- 20 people were as a result of chrysotile exposure, and not
- 21 exposure to other amphiboles that were present?
- 22 A. My history was that of, you know, getting an exposure
- 23 history that was very extensive, and every one is where they
 24 were exposed. And most of them were related to industrial
- 25 claims in the State of Washington. And not only did I get

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- that history, but they came frequently with a very long
- detailed list of every place they ever worked.
- So, I don't know. I mean, as far as I know -- not as far
- as I know. They never worked in the Libby asbestos expansion 4
- plant or Grace expansion plant. They -- most of them had 5
- been shipyard workers, workers in -- at Hanford that were
- insulating the nuclear power plants and the paper plant down
- in Wallula. That's where the majority of them came from.
- 9 Ο. What was the range of exposure dates for all those
- various patients? 10
- 11 Everything from World War II up until the present.
- 12 O. Until today's present?
- A. Yes. I think that they were -- their exposures were,
- really, very less, or negligible, after about 1985, because 14
- they were mandated to have protection. 15
- 16 Q. Okay. But up until 1985, at least some of the
- individuals in groups of people in your -- that group of 500, 17
- had exposures to chrysotile and amosite, and possibly
- 19 crocidolite as well, correct?
- 20 A. Maybe in something else in there. I don't know for sure.
- 21 I don't know what all they were individually exposed to. I
- mean, we don't -- you don't get that information. I don't 22
- know what all they used at Hanford in various areas. There
- 24 was tremendous asbestos exposures in Hanford.
- 25 What I am saying is, I can only know of three deaths in

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- any of those people that I saw, and some of them were pretty
- old at that time, and most of the stuff that I was seeing
- from chrysotile was relatively minimal disease.
- Q. What is it that makes -- or what foundation do you have,
- that you were seeing -- let me ask you this.
- What percentage of the diseased population in that area
- do you believe that you were seeing during that time?
- 9 And your testimony is that those workers were being
- 10 exposed at their jobs to, predominantly, chrysotile, but
- probably also amosite as well, correct?
- From what I understand about the commercial uses of 12
- chrysotile over the years, that there probably was some
- amosite in it, but not a high percentage. But that was true 14
- in New York and in the shipyards as well. 15
- So if they were working on pipes that were insulated with
- both chrysotile and amosite in 1985, they would be exposed to 17
- 18 both, correct?
- A. Yeah. And I usually ask people about the color of the 19
- 20 asbestos that they were exposed to. You don't get any
- 21 histories really very much of brown asbestos or blue
- asbestos. It's all white asbestos, for the most part. 22
- Would you have -- what do you think that the -- well, I
- 24 will come back to that later.
- 25 Have you ever investigated or researched the literature

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- to determine the percentage of asbestos -- various types of
- asbestos in different types of occupational asbestos
- exposures?
- No. I mean, I have seen them in various literature, but A.
- I never investigated it per se.
- Q. Have you ever reviewed the paper by Balzer and Cooper
- from 1968, discussing the composition of insulation material?
- 8 I have actually seen that, and I am trying to -- I don't
- remember details about it, though. In fact, I know I read it
- at one point. Do you have a copy? 10
- I have a copy of it. Yes. If you look at -- I am 11
- showing you a copy of an article, Balzer and Cooper, 1968, on
- 13 Page 223, insulating materials used.
- 14 Do you see that?
- A. I do.
- 16 Q. And under -- for amosite blankets, it suggests that
- 17 100 percent of those are made of amosite. Is that right?
- A. They are referring to them as amosite blankets, so I 18
- 19 think that, obviously, they were amosite then. It doesn't
- relate to the mixture in the blankets.
- Q. Is the mixture maybe defined? What do you think that 21
- means, then?
- Well, I assume they are 100 percent amosite if they
- 2.4 indicated that. But I don't know how frequently amosite
- blankets were used. I have no knowledge of that.

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- O. Do you see -- have you reviewed this article in the past? 1
- MR. HEBERLING: Objection. Asked and answered.
- THE WITNESS: I vaguely recall it. I don't recall
- specifically reading it. 4
- (BY MS. HARDING) One other article I would like to ask
- you if you have seen before or read before. It's an article
- by Dr. Nicholson and Dr. Landrigan, a status report out
- of Mt. Sinai, published in 1996.
- Have you seen this article before?
- I don't think I have.
- If you could turn to Table 2. Do you see Table 2? 11
- A. I have it.
- 13 Do you see the heading, "Risks of lung cancer and
- mesothelioma in workers exposed to various asbestos 14
- minerals." And then there is a listing of asbestos exposure
- 16 and location, and then type of asbestos.
- 17 Do vou see that?
- 18 A. Yes.
- 19 Ο. And do you see -- for instance, under insulation
- application under United States, do you see that?
- 21 I do see that.
- It's got 60 percent chrysotile and 40 percent amosite.
- 23 Right?
- 2.4 Α. T do.
- Under asbestos products, United States; do you see that?

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Yes. A.

- 2 Ο. 80 percent chrysotile, 15 percent amosite, and five
- percent crocidolite. Correct?
- T do T see that 4 Δ
- So the question that I have for you is twofold. The
- first question is that you don't know the extent of the
- amphibole exposures of your group of 500 workers that you
- said were predominantly exposed to chrysotile, correct?
- 9 Δ That's correct.
- 10 Ο. Additionally, in connection with any of your reports or
- 11 exhibits in this case, particularly Exhibit No. 3, you
- haven't indicated anywhere in your reports or in your 12
- exhibits that the workers that are -- not the workers -- the
- individuals that are listed under community exposure, the 14
- 15 potential exposures that they had to other asbestos,
- 16 including chrysotile or other amphiboles, right?
- MR. HEBERLING: Objection. Confusing. 17
- 18 MS. HARDING: Fair enough. I will ask the question
- 19 again.
- 20 THE WITNESS: It is.
- 21 (BY MS. HARDING) With respect, specifically, to Exhibit
- No. 3 to your July 2007 report --22
- 23 A. Okav.

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- -- there is nothing on that exhibit, or anywhere in your 24
- report, that would give the reader of the report or the 25

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- 2 exposure category also potentially had exposures to
- chrysotile and other amphibole in other occupations, correct?
- A. No, there is nothing on there about that.
- MR. HEBERLING: Could we keep a copy of the Nicholson? 5
- MS. HARDING: Sure.
- MR. HEBERLING: Thank you.
- (BY MS. HARDING) On Paragraph 42 of your report --
- 9 Α. Okay.
- 10 -- you indicate that deaths in the Libby cohort are
- 11 summarized on the attached chart, Libby cohort deaths per
- source. Correct? Do you see that? 12
- Which exhibit are you talking about, five? We are
- talking about that. 14
- 15 Yes, Exhibit 5. Ο.
- A. I see that.
- 17 O. And you say, at the very beginning of Paragraph 42, among
- 18 the clients of McGarvey, Heberling, Sullivan & McGarvey, an
- additional 26 miners have died from asbestos-related disease 19
- since 2001, and a total of 35 non-mineworker Libby residents 2.0
- 21 have died of asbestos-related disease to date. Correct?
- Correct. 22 Α.
- And then you state, the above conservatively totals 215
- 24 deaths in the Libby cohort due to asbestos-related disease?
- 25 That's correct.

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And the Libby cohort you are referring to is the -- is 1 Ο.

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- Mr. Heberling's clients?
- We are talking about all Libby deaths here. 3
- So Exhibit 5 is not just a list of individuals who are 4
- from Mr. Heberling's client list, they are all individuals in
- Libby who have died have asbestos-related disease, is that 6
- 7 right?
- 8 MR. HEBERLING: Objection, misstates the record.
- THE WITNESS: No. 9
- 10 MS. HARDING: That's not right?
- 11 THE WITNESS: This list is all clients. There is --
- (BY MS. HARDING) This list meaning which one?
- 13 Δ Exhibit 5
- 14 Ο. Exhibit 5 is just clients --
- That number came from a total of Sullivan's article on
- deaths, plus what he -- what we enumerated here in 42. 16
- 17
- 18 And we think it's a very conservative number. Δ
- 19 Ο. Okay. But the cohort that you are referring to then is
- 20 all people in Libby?
- 21 A. All the people that have asbestos disease in Libby.
- Q. And all the people that have ever been exposed. The
- cohort itself --23
- 2.4 Α. You guess you could look at it that way, yes.
- O. That's your opinion?

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We are looking at the number of people that have died in

- Libby due to asbestos disease that we know about that died
- from it
- By cohort you are just talking about the number of people 4
- that you identified?
- We are just talking about the number of people in Libby
- due to asbestos.
- Я ο. Okay.
- With respect to the statement that an additional 26
- miners have died from asbestos-related disease since 2001,
- and a total of 35 non-mineworker Libby residents have died of 11 asbestos-related disease to date. How are non-mineworker
- 13 Libby residents defined?
- 14 Α. Non-mineworker refers to family and environmental.
- Family and environmental. 15
- Dr. Whitehouse, I would like to ask you about your 16
- 17 calculation that you make starting at paragraph 43.
- 18 Α. Okay.
- 19 You state that, based on a review of available medical
- records, asbestos-related disease is determined to be a
- significant factor in death. 21
- Where are you reading from, 43? Paragraph 43 starts with
- 23 ATSDR 2002.
- 24 Ο. I think it's down a little further.
- 25 Okay.

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- Well, just --Ο.
- 2 Α. Go ahead and repeat what you were saving.
- Let's go right to -- look on page 20?
- 4 T have 20 Α
- And it's got you are referring to ATSDR table ten, 5 Ο.
- correct?
- 7 Α. Okav.
- 8 Excuse me one second. I am sorry. You state that, Ο.
- 9 starting at the top of page 20 under ATSDR, you are
- explaining that table ten shows 12 deaths in Category 501, 10
- 11 asbestosis.
- 12 Right. Α.
- Q. And then going down, then you start talking about your
- 14 review, based on a review of available medical records?
- 15 Α. Yes.
- 16 Ο. When you start talking about that, you are talking about
- a separate independent review that you have done, correct? 17
- 18 Right.
- 19 Ο. Not something ASDTR did?
- 20 A. No.
- 21 You are saying that those deaths that you identified by
- your re-review of their death certificates, correct? 22
- A. That's correct.
- That they would be placed in Category 501 asbestosis in a 24 Ο.
- best evidence study even if they weren't classified as such 25

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- on the death certificate, correct?
- A. Yes. I would agree with that, yes.
- O. And you have also said there is no ICD9 category for
- asbestos related disease or asbestos pleural disease?
- I am wrong about that. That's a recent category. I
- don't know when it started being used. Pleural thickening is
- now a category. It's sort of a garbage bag of things under
- 511 that are other asbestos diagnoses, plaques, pleural
- thickening, blunting of the angle. A number of things.
- 10 O. That is actually --
- 11 That was a misstatement.
- That -- I wanted to ask you about that because I wanted 12
- to find out, do you know when that classification started?
- No, I don't. Because I know in my office, I closed my 14
- office in December of '04, I think it had just become 15
- available sometime shortly before that that my builder told
- me about that I don't know when it became available 17
- 18 What were the ranges of the death certificate dates that
- you reviewed, do you know? 19
- 20 That was in 2001 on. I think we are talking about that Α.
- 21 one, aren't we?
- They were all of the death certificates were from 2001 22 Ω
- No, I reviewed them from way back as well, but I think 24
- 25 that 26 deaths that he is referring to there.

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- Right? 1 Ο.
- Summary of deceased clients charts. Those were the ones
- we were referring to back here under Paragraph 42, additional
- 26 miners. That's 2001. 4
- You would agree with me that there is, at the time that
- you were writing then -- so you don't know when it was
- actually started. I think I have it here somewhere. I
- 8 believe it was 1997 or 1998?
- A. Was it that early? I was not aware of it. So --
- So it's fair to say that to understand the relationship 10
- of that number of deaths to a general population, you would 11
- need to use the correct ICD9 code, right?
- A. Well, I am not quite sure. To begin with, a lot of those 13
- were things I did not code. Obviously I didn't code most of 14
- those charts at all. So I can't even answer that question
- 16 for you. I think they probably were all asbestosis judging 17
- by how things are coded in Libby by the physicians, but I can't quarantee that to you. Some of those could have been 18
- pleural deaths. See, I may not have seen every one of those 19
- patients or seen them individually at the time of their 20
- death. 21
- But you have gone back and reviewed the death certificate ο.
- and made what you call a best evidence determination, 23
- 2.4 correct?
- A. I have. And that's based upon charts and what was

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- available to me. So -- yes, I have made a best evidence
- determination, but, on the other hand, some of those could
- have been pleural deaths as well. They are coded as
- asbestosis. But you actually haven't seen the patient
- yourself necessarily, although most of them I had seen, then
- the death certificates came to me later, then I think it's
- correct. You have to realize that asbestos related pleural
- disease, it could have been a cause of death in some of
- those. It's not possible for me to know that for sure. All
- I can tell you is I know they were asbestos death.
- Let me try to short circuit this. Would you agree with 11
- me that in doing the kind of what's fair to call back of the
- 13 envelope calculation you have done in your report?
- Uh-huh. 14
- On page 20 and 21, that your observed number, enumerator,
- 16 has to have the same criteria for inclusion as your
- 17 denominator, correct?
- A. Run that by me again. 18
- Q. If you are going to compare -- let's say you are going to 19
- compare -- let's pick something other than asbestos. Let's
- say you want to understand the rate of death from lung cancer 21
- in Libby compared to the rate of death of lung cancer in the
- 23 general population. Okay?
- 24 Α. Uh-huh.
- Whatever you use to count lung cancer cases in Libby, you

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- should use the same thing to count lung cancer cases in the
- 2 general population, right, the same definition?
- 3 A. But the definition includes people with asbestos disease.
- 4 Okav.
- 5 Q. I am trying to ask you a complete unrelated asbestos
- question. I am trying to ask you a question about math, and
- 7 calculate it in a proposed SMR?
- A. I see what you mean.
- 9 Q. If you are going to use, whatever the disease is, and
- 10 $\,$ let's say the disease is classified as ICD9 code 10, and
- 11 that's what you use in your enumerator. In your denominator
- 12 when you looking at a general population, you should use only
- 13 ICD9 code 10? Correct?
- 14 A. That's correct.
- 15 O. You shouldn't use ICD9, 10, plus 10 and 14, if you don't
- 16 use -- if you didn't use that enumerator, right?
- 17 A. I understand that. Except in this particular situation
- 18 you have to realize that the codings are problematic
- 19 sometimes.
- 20 O. But you would also have to in your denominator to make
- 21 your a calculation at all kind of, at least in terms of math,
- 22 correct, then you have to also review all the death
- 23 certificates in the denominator to see if he they met your
- 24 same criteria, correct, because you could reclassify a bunch
- $\,$ 25 $\,$ of people in the denominator as well, correct?

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- A. The denominator is the number of people that have
- 2 asbestos disease. Unfortunately, we don't know how many
- 3 people have asbestos in Libby. We do not. We don't know how

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- 4 many will develop asbestos disease. We know there is a lot $\,$
- 5 more occurring since the late 1990's. More and more cases
- $\,$ 6 $\,$ are showing up. And they are not as sick. So they are not $\,$
- $7\,$ $\,$ dying at this point in time. We look at all the evidence
- 8 concerning progression of pleural plaques to severe disease,
 9 that's where they are headed. So in a sense in a sense
- 9 that's where they are headed. So, in a sense, in a sense
- 10 it's apples and oranges. We are talking about just the
- 11 specific number of deaths that have occurred in that period
- 12 of time since 2001.
- 13 Q. Dr. Whitehouse, I am really trying to get at the
- 14 methodology that you used to arrive at your calculation on 20
- 15 and 21, and you have attempted to compare the number of
- 16 deaths related to asbestos in Libby to the number of deaths
- 17 in the general population. Correct?
- 18 $\,$ A. Yes. And ATSDR has already done that in their report
- 19 which you see at the top of the page.
- 20 Q. Correct?
- 21 A. Forty to eighty times higher than expected.
- 22 Q. Right. As you are well aware, that table that reports
- 23 that is the table that includes all of the W.R. Grace
- 24 workers, correct?
- 25 A. Yes.

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- 1 Q. And, indeed, when the ATSDR did the calculation where
- 2 they didn't include the W.R. Grace workers, there was no
- 3 significant elevation of risk for that category, correct?
- 4 A. For asbestosis?
- 5 Q. Yes
- 6 A. I don't believe that's the case. You better show that to
- 7 me then because I don't think that's correct.
- 8 $\,$ Q. $\,$ Dr. Whitehouse, I am showing you what is the health
- 9 consultant ATSDR study that you cited in your report that you
- 10 are referring to at the top of page 20. Correct?
- 11 A. Uh-huh.
- 12 Q. Let me ask you a question. Do you recognize that study?
- 13 A. Yes, I do.
- 14 O. And is that the study that you are referring to on page
- 15 20 of your report?
- 16 A. Although you are getting into the -- some complexities of
- 17 this that, frankly, if you understand it, you are a better
- 18 person than I am because it's difficult to understand.
- 19 Q. I really just have a question --
- 20 A. What all did you want to talk about because I wanted to
- $21\,$ look at all the pages in this thing because as to where you
- 22 are looking at it, which one you are looking at, because what
- 23 you said was, if I understood it correctly, that there wasn't
- 24 any increased rate. Is that right?
- 25 Q. My question to you is, that when the ATSDR removed the

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- 1 $\,$ W.R. Grace workers from their calculations, that they did not
- 2 find any statistically significant increase in any of the
- 3 categories that they were studying.
- 4 A. All right.
- 5 Q. It's table eight. And it's -- the heading is combined
- 6 respiratory mortality excluding former workers in central
- 7 Lincoln County using the Montana and U.S. population
- 8 references.
- 9 A. I do see that. I do know one thing for a fact is that
- 0 their information concerning mesotheliomas is very wrong and
- 11 does not include -- it does not include all of the
- 12 mesotheliomas by a long shot.
- 13 MR. HEBERLING: Objection, misstates the report, the
- 14 question relates to table eight.
- 15 Q. (BY MS. HARDING) Dr. Whitehouse?
- 16 MR. HEBERLING: It says 3.3 three for SMR. That's
- 17 elevated.
- 18 MS. HARDING: I object to counsel testifying.
- 19 MR. HEBERLING: I object to the misstatement of this
- 20 report. You mischaracterized it.
- 21 MS. HARDING: I will ask the witness a question to see if
- 22 I mischaracterized it.
- 23 Q. (BY MS. HARDING) Doctor, with respect to table eight, do
- 24 you see it?
- 25 A. Yes, I see table work.

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- With respect to each of the categories that were examined
- in table eight, there is lung cancer, mesothelioma, COPD.
- asbestos, cancer, other respiratory and combined causes. Do
- you see that?
- 5 Α. Yes.
- And you see there are confidence intervals reported for
- each of the statistical SMR's that are reported, correct?
- 9 ο. And for any of the categories in table eight, is there a
- statistically significant relationship reported? 10
- 11 Absolutely. SMR for asbestosis has been well known that
- one case is too many. If you see part way down there. 12
- What's the confidence interval for asbestosis reported on
- 14 table eight of the ATSDR study?
- The confidence interval they have is .04 to 18.55. And I 15 Α.
- am not sure I know exactly what that means, but I will take
- 17 the SMR number.
- 18 The question I asked you though was not what the SMR
- 19 number was, the question I asked you was, in table eight is
- 20 there any statistically significant relationship reported by
- 21 the ATSDR?
- Frankly, I would have to look at a P value for that. I 22 Α.
- don't see it.
- Does the ATSDR report any confidence interval that does 24 Ο.
- 25 not include one, on table eight?

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- That does not include one? What do you mean, like 1.0?
- Dr. Whitehouse, do you understand confidence -- I believe
- that you understand confidence intervals, correct?
 - I do, but I don't use confidence intervals, I use P
- values for all of my statistical stuff, so you need to
- interpret that part of it.
- You can't interpret table eight? Ο.
- I can interpret confidence interval, which is very wide.
- which means it's not very probable. But I don't know what
- that means as P value. I don't know how to convert it just 1.0
- 11 looking at it. If you have a confidence interval of .04 to
- 18.55, that's extremely wide. I understand that. But I 12
- don't know what the P value is in that. And I think that's
- important. That's not the probability of it occurring by 14
- chance, which is far more important to a physician when you 15
- 16 are looking at those kind of numbers.
- So you disagree, you believe that there is a 17
- 18 statistically significant relationship reported in table
- eight. That's your testimony? 19
- 20 Well, what I believe is that probably the data is wrong
- 21 to begin with because I know very well that there are nine
- environmental mesotheliomas since 1996 in Libby. Okay. And 22
- there is one reported here, and excludes former workers in
- 24 central Lincoln County. And, basically, I think it's sort of
- 25 a garbage in garbage in to tell you the truth. I think there

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- is a whole lot more aspestosis than this, than reported in
- this study, which I think is probably incomplete. And that's
- an opinion based upon looking at this and knowing what was
- done at the time and knowing what subsequently has been done. 4
- And, so, we are taking one point in time that probably has
- inadequate reporting. And they report reference 1979 to 1998
- and you are asking me about mortality, excluding former
- 8 workers in a period of time that goes back, and I am talking
- about current, because I have been working up there since '04
- on a regular basis, and, currently, I know there is a whole 10 heck of a lot more deaths associated with this. And, so,
- it's difficult -- we commented about this study in here but
- 13 when you really come right down to it, the more important
- data is what is coming out right now. 14
- Q. I guess I will ask you one final time. My question was,
- 16 is there a statistically significant relationship in any
- 17 category reported in table eight?
- 18 Probably not. Α.
- 19 O. Dr. Whitehouse, were we able to reach agreement with
- 20 respect to when you are calculating an SMR and you are using
- 21 an ICD9 code to do it, that whatever ICD9 codes you include
- in your enumerator you should include in your denominator.
- Can we agree on that? 23
- 2.4 Α. Basilically you should, yes.
- O. With respect to the table that's the first page of

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- Exhibit No. 5. 1
- Uh-huh.
- Who compiled or who drafted that table?
- Mr. Heberling took the numbers that we talked about and 4
- put them into the table, or his people did.
- When you say the numbers that we talked about, what
- numbers did he use to -- if you know --
- Я He took a combination of numbers. The numbers that were
- appropriate death certificates and the ones that I had reviewed. And, you know, there are death certificates that
- clearly stated asbestosis, and there is other ones in 11
- reviewing them it didn't seem like think were most probable

cause. And people that I knew that the death certificate was

- wrong. It's a combination of everything. 14
- Was there any -- with respect to the yes/no category in
- 16 the first part of the exhibit, was there some protocol or
- 17 methodology that you used to classify somebody under yes or
- no? 18

13

- 19 Well, basically I looked -- if I didn't know the patient.
- then I would look for things like pulmonary fibrosis, which
- was one of the common things that the physicians had used in 21
- Libby to diagnose asbestosis. And when you went back you realized it was asbestosis. That was one of the big ones. 23
- 24 There were ones that were coded as COPD, and then you had
- to go look at all the records to see whether or not that was

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- really the case in pulmonary functions and thing likes that
- and you found out they weren't COPD.
- Is there a written protocol --
- 4 Δ No
- 5 -- where you describe what you did? Ο.
- A.
- O. And the description you just gave -- strike that.
- 8 On the chart that's behind the first page of Exhibit
- 9 No. 5 that begins, Deceased Client Death Date Order.
- A. Uh-huh. 10
- 11 Date of doctor letter, what does that describe?
- 12 What is that? Α.
- The 7th column over to the right, date -- it says, Date
- 14 of Doctor Letter
- 15 A. That was a letter that oh he-sometimes that was a letter
- from me, sometimes it might have been a letter from somebody
- 17 else having reviewed it.
- But what letters in the context of litigation where
- 19 somebody is giving an opinion about the cause of teeth, is
- 20 that what that is?
- 21 They were opinions about cause of death, yes.
- And are they from -- are they from litigation, are they 22 Ω
- derived because of litigation?
- I guess so, since they are all clients that have
- 25 lawsuits, I assume so.

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- I would like to ask you about a couple of the individuals
- on the chart.
- Uh-huh.
- Are all of the people on this chart your patient?
- Of the deceased clients chart?
- Obviously not. They were people that died long before ${\tt I}$ Α.
- was even in practice.
- 9 ο. Have you determined how many people on this chart were
- your clients? 10
- 11 No, I have not for sure. I could easily enough. Most of
- them are. 12
- And are these -- just remind me, I think I may have asked
- this, I just don't know the answer. Are all of the people on 14
- this list clients of Mr. Heberling? 15
- 17 O. On Page 1, Kenneth Fredricks, do you see him?
- 18 Kenneth what?
- 19 Q. Fredricks. About halfway down.
- A. Yes, I do. That's somebody I don't know. 20
- 21 Okay. And his cause of per the death certificate said,
- carcinoma right lung. Acute renal failure, and emphysema, 22
- right?
- 24 A. Right.
- 25 What protocol or methodology did you use to determine or

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- make an attribution of that lung cancer to asbestos, because
- you have a yes checked there, correct?
- Let me see the doctor letter. Do you have that?
- I do not have that. 4
- Do you have them?
- 6 I don't have those, no. It says Exhibit 225. Is that --Ο.
- A, my memory isn't that good. I don't remember.
- 8 Did you require that there be a certain quantitative Ο.
- level of asbestos exposure before you attributed the lung
- cancer to asbestos? 10
- No. I had the opportunity in any of these to look 11
- through the chart as far as radiographic changes, and there
- 13 may have been radiographic changes that were asbestos
- 14 related, there may have been pulmonary function studies. It
- was a combination of a whole bunch of things that would lead you to think that it was asbestos, an asbestos death, as
- 17 opposed to, like for this example, an emphysema death.
- Even though emphysema is listed as cause of death by Dr. 18
- 19 Brus. B-R-U-S. correct?
- 20

16

- 21 MS. HARDING: We have to take a break.
- MR. HEBERLING: Do you want to break for lunch?
- MS. HARDING: What time is it. 23
- 2.4 THE WITNESS: Quarter after 12:00.
- MS. HARDING: Okay.

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- VIDEOGRAPHER: This will conclude tape number three. The 1
- time is now 12:15 p.m.
- 3 (Lunch recess.)
- VIDEOGRAPHER: This is the continued videotaped 4
- deposition of Dr. Alan C. Whitehouse and Tape No. 4. The
- date remains to be October 18, 2007. The time is now 1:21
- 8 MS. HARDING: Actually, I want to make one statement for
- the record in response, Jon, to your comment at the very
- beginning of the deposition regarding the records in the bin
- over here, the records regarding the 123 patients. 11
- Mr. McMillin contacted Dr. Haber. Dr. Haber indicates
- 13 that when at Libby, he has never reviewed paper copies of
- 14 records. He has only reviewed x-rays, and then,
- subsequently, he reviewed electronic copies of records. He 16 has never touched or seen any of the paper patient charts at
- 17
- So, in connection with whether we need to copy them, I 18
- 19 think we should talk off the record and figure out what to
- do, whether we need to copy them at all.
- MR. HEBERLING: That's fine. We understand his position. 21
- Q. (BY MS. HARDING) Dr. Whitehouse, I wanted to clarify a
- 23 couple of things.
- 24 In connection with your July 2007 report, who drafted
- your report?

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- 1 A. It was a combination of myself and Arthur Frank and
- 2 Mr. Heberling, and many, many phone calls. And basically,
- 3 though, information here all came from me. And it's all been
- 4 reviewed by me. Some of it was his information that I
- 5 reviewed, but it's my report.
- 6 Q. So some of it was Mr. Heberling's information you
- 7 reviewed?
- 8 A. He had some information concerning, like, deaths of these
- 9 older people I didn't have, so we put it in there, and I
- 10 reviewed them. And I reviewed their death certificates when
- 11 he sent them to me.
- 12 Q. In terms of who wrote your report, did you write your
- 13 report?
- 14 A. It was a combination of people. I dictated stuff to him,
- 15 and then his typist put it up and it got sent to me, and we
- 16 hashed it out. I didn't type it myself. I don't have a
- 17 typist.
- 18 Q. With respect to the exhibits that we have already talked
- 19 about, Exhibits 1 -- actually, 3, 4 and 5. With respect to
- 20 Exhibit 5, I just want to -- actually, we talked about
- 21 Exhibit 5. So Exhibit 6 is Libby claimants on oxygen.
- 22 A. Uh-huh.
- Q. Who compiled that exhibit?
- 24 A. He compiled the names of it, because he has a list of
- 25 everybody that's on oxygen. The list is accurate.

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Q. He -- Mr. Heberling?

- 2 A. Yes. He compiled the list because they contacted the
- 3 clients themselves to ask them about it. But it's an
- 4 accurate list, because we take care of these people and we
- 5 prescribe the oxygen.
- 6 Q. So all of the people on Exhibit 6 are your patients?
- 7 A. I think they are all clinic patients. There may be one
- 8 or two that are not. There is one name that I don't
- 9 recognize. But, everybody else -- in fact, almost all of
- 10 them are my patients.
- 11 Q. How many aren't your patients? Do you know?
- 12 A. Well, there may be one, two, three. I think that's all.
- .3 O. With respect to individual --
- 14 A. At least, I don't recognize their names, or I haven't
- 15 seen them for a long time.
- 16 Q. With respect to Exhibit 7, how did you verify that
- 17 individuals on this list that aren't your patients, that the
- 18 information on this list is correct?
- 19 A. Now, which ones on Exhibit 7?
- 20 Q. On Exhibit 6.
- 21 A. On Exhibit 6. How did I verify that the oxygen was?
- $\ensuremath{\text{22}}$ $\ensuremath{\text{Q}}.$ No. You indicated there was some people on the list that
- 23 aren't your patients, and I wanted to know how you verified
- $24\,$ $\,$ that the information on the list is correct if they are not
- 25 your patients?

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- A. I have no reason to doubt it, basically. I have seen
- 2 many of these. I have seen death certificates. On, like,
- 3 the ones on oxygen, most of those have not died yet. I have
- 4 no reason to doubt it.
- 5 $\,$ Q. But you didn't do any independent review of their records
- 6 or Mr. Heberling's records?
- 7 A. Most of them I did, because they are all my patients, or
- 8 $\,\,$ patients that I have seen, and we prescribe the oxygen in the
- 9 clinic.
- 10 Q. Right. With respect to the patients that aren't yours on
- 11 Exhibit No. 6, you did not independently look at the
- 12 patients' records, or Mr. Heberling's records, to verify that
- 13 the exhibit is accurate, correct?
- 14 $\,$ A. No. Except that Dr. Black may very well have, because
- 15 they may be Dr. Black's patients. We have three docs in the
- 16 clinic, so I don't necessarily see everybody, if you follow
- 17 me.
- 18 Q. I do. But the point is that you did not. Correct?
- 19 A. I did not, on a couple of these.
- 20 Q. In connection with Exhibit 6, I understand your testimony
- 21 to be that many of the patients are yours and many of the
- 22 patients are Dr. Black's. Is that right?

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- 23 A. Yes.
- ${\tt 24}\,-{\tt Q.}\,$ And you have patient charts that you created. Is that
- 25 correct?

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- 1 A. Yes.
- 2 Q. And then, from the patient charts, Mr. Heberling has
- 3 access to those as well?
- 4 A. He has access -- he gets copies of the ones that are his
- 5 clients. That's all. He doesn't have access to all the
- 6 other charts. He has access to what we copy for him.
- 7 Q. And those are all that are in Exhibit 6?
- 8 A. Yes.
- 9 Q. Only Mr. Heberling's clients, correct?
- 10 A. Yes
- 11 $\,$ Q. But Mr. Heberling is the one that took your medical
- 12 records and then compiled this list of Libby claimants on
- 13 oxygen, correct?
- 14 A. Oh, yeah. Basically.
- 15 Q. And then, did you go back and then verify that the list
- 16 was created accurately from your records?
- 17 A. No. But I have no reason to doubt it at all because I
- 18 recognize all the names, and I know they are on here, most of
- 19 the names, and I know they are on oxygen, and I prescribed it
- 20 myself. Or Brad did. We basically see each other's
- 21 patients. It's pretty much a lot of crossover between the
- 22 two of us. So we have seen -- almost every patient has been
- 23 seen by each of us at one time or another.
- 24 Q. So in the Libby CARD Clinic, you have seen every patient
- 25 that the Libby CARD Clinic has?

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- A. Probably not every one, but pretty close to it.
- 2 O. How many patients does Libby CARD Clinic have now?
- 3 A. Let's see. We have about -- we are over 1,500. I don't
- 4 know the exact number now. We are well over 1,500 now, and I
- 5 am only up there now three days, twice a month, so there is
- 6 not -- no likelihood I am going to see every one of them,
- 7 particularly the ones that are more recent. I probably will
- 8 not seen those at all. The ones through screening in the
- 9 last year or so, I may never see those.
- 10 Q. When did you start going to Libby three days a month?
- 11 A. Oh, that was about six months ago. I was four days a
- 12 month until then. Since 2004.
- 13 O. From 2004 until about --
- 14 A. The end of 2004.
- 15 O. End of 2004 until about how long ago? Six months?
- 16 A. Maybe six months ago we changed the schedule around
- 17 because I was spending a lot of time working at home on
- 18 papers.
- 19 Q. So, from the end of 2004 until about six months ago, you
- 20 were going to Libby four days a week, four days a month?
- 21 A. No. Four days, twice a month. Eight days a month.
- 22 Q. Eight days a month.
- 3 A. Eight days a month.
- ${\tt 24} \quad {\tt Q.} \quad {\tt And \ then \ in \ more \ recent \ times, \ six \ months, \ you \ were \ going}$
- 25 three days a week -- three days a month?

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A. Three days, twice a month. Six days a month.

- 2 O. All right. That's where I got confused.
- 2 Q. All right. That's where I got confuse
- 3 A. Just reduced by one day of visits.
- 4 Q. It went from eight a month to six a month?
- 5 A. Uh-huh.
- Q. Prior to when you were visiting eight days a month, what
- 7 was your practice in terms of visiting the Libby CARD Clinic?
 - A. It was rather variable. A lot of the consults were being
- 9 $\,$ sent to Spokane to see me, by Brad, and then I was up there
- 10 one or two days a month.
- 11 Q. And how long do you think you were visiting one to two
- 12 days a month?
- 13 A. That had been going on since 2000, or even before that,
- 14 actually. I have been going to Libby even before 2000.
- 15 Q. So when did you start going to Libby regularly?
- 16 A. I don't know.
- 17 Q. Was it -- do you recall if it's in the late nineties
- 18 or --
- 19 A. I am sure it was in the late nineties, but I don't know
- 20 otherwise.
- 21 Q. Did you start going there -- did you have a financial
- 22 arrangement with the Libby CARD Clinic when you first
- 23 started:
- 24 A. I just sort of got paid on a daily basis when I was up
- 25 there.

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- 1 Q. You get paid daily by the Libby CARD Clinic?
- 2 A. Yes.
- 3 Q. And that same arrangement has been in place since around
- 4 1998?
- 5 A. Yeah.
- 6 Q. Exhibit 7 is mesothelioma cases due to exposure to Libby
- 7 asbestos.
- 8 A. Are we done with these other, 1 through 6?
- 9 Q. Well, not completely. I am just asking these quick
- 10 questions for a minute. I just want to understand how they
- 11 were prepared.
- 12 Exhibit 7 --
- 13 A. Yes.
- 14 $\,$ Q. $\,$ -- Mesothelioma Cases Due to Exposure to Libby Asbestos.
- 15 A. Uh-huh
- 16 Q. Who drafted that chart?
- 17 A. It was a combination, actually. We provided all the
- 18 names, the ones that we have, and Jon Heberling had a few
- 19 names who we did not have, that we looked up records on. And
- 20 there was a couple of them from out of town they learned of
- 21 that we then tracked down, talked to their families, got
- 22 exposure history, things like that.
- 23 $\,$ Q. When you said they, you meant Mr. Heberling and his firm?
- 24 A. The patients. I said, when we tracked them down, we
- 25 talked to the family members and things like that to get

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- 1 exposure histories. There is a few of them, though, that
- 2 Mr. Heberling had, that had filed lawsuits when they found
- 3 they had a mesothelioma. We were informed of that so we
- 4 could track down the information.
- 5 And then, sometimes, we just get a call from a family
- 6 member. Like, we had one in Colorado that they just called
- 7 and let us know. We got a call from a doctor that wanted to
- 8 $\,\,$ get more information, and we learned about mesothelioma that
- 9 way.
- 10 Q. And then, who put the list together?
- 11 A. I think Brad did, originally. I am not quite sure who
- 12 originally started it. I have seen this risk -- this list
- 13 without a lot of the data on it, so I think the ultimate form
- $14\,$ $\,$ you see right here was put together by Mr. Heberling, but the
- 15 data came from us.
- 16 Q. And did you -- did you personally review or -- the data
- 17 upon which the chart was based?
- 18 A. Not everybody's, but for the majority of them. I have
- 19 seen the majority of these people, and, in fact, I have seen
- 20 now 11 recently. Actually, even more than 11 recently. Plus
- 21 a lot of the older ones that go back into the eighties or 22 early nineties that I have seen as well.

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- 23 And then there is some that I have not seen that go way
- $24\,$ back, particularly the ones that were quite a ways back.
- 25 O. Who -- what individual -- was it Mr. Heberling or you or

5

9

14

17

19

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25

Α.

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Yeah. Putting it into this format, yes. I read all

Page 113 You attached this in other litigation, correct?

- Mr. Black -- or Dr. Black -- that indicated the -- under
- dates of work at Grace? Yes. This is something that we compiled a long time ago. That came from Grace's alpha list, I understand, and I when I was looking through the literature concerning
- think Mr. Heberling put those names -- those dates in on progression, and something that's been important for me
- relative to what I am doing now, too. there.
- 6 And then Mr. Heberling would have filled in if they Right. And I think you previously testified that the
 - weren't Grace workers. There is other information. Some of original -- the draft of this was drafted by Mr. Heberling.
 - them sav environmental?
 - Δ That came from us. 9
- That came from who? these articles and have discussed them with him. 10 ο. 10
- 11 It came from us. A lot of it came from me, because I had Who first read the articles and came up with idea?
- And came up with the idea? 12 just completed and sent in a paper relative to the 11 new 12
 - cases that we got, that have not ever been reported. Who first read the articles and compiled the data like
 - Okay. You have sent in a paper to a journal? Ο. 14 this, you or Mr. Heberling?
- 15 I don't remember. I think it was a joint project. Α. Yes. 15
 - Are you at liberty to discuss the journal or the paper? You discussed that previously in testimony, right?
 - A. I can tell you the details, some of the details about the A. I think so, a long time ago. I don't remember how long 17
 - cases, but I am not at liberty to provide you with the paper 18 it was that I last talked about it. Actually, it needs to be

2.0

- or anything else, really, at this point. I am under sort of updated a little bit, maybe. 19 Exhibit 11, who drafted that exhibit?
- 21 Q. I will come back and ask you a few questions about the 21 Well, I did all the work on that and gave him a list of
- all these numbers related to death certificates, and they put list. 22 22
 - So, Exhibit 10, Studies on Progression of Asbestos it into this format.
 - And Exhibit 12, did you draft that, or did Mr. Heberling Disease?
 - and his law firm draft that? 25

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a copyright deallybob, whatever you call it.

Let me find 10 in here. Here we go.

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- The same deal. I did all the HNA denials, downgrades to
- severity, all the audit of that, gave him the data on those
- 70 charts, and then they typed it up. I don't have a typist,
- so, that's part of the problem. 4
- So you wrote it up. Did you write it up by hand and give
- it to him to type, or just talked to him --
- I gave him the numbers, basically. We keep -- I keep
- 8 fairly -- I don't keep them. The clinic sort of keeps fairly
- extensive listings of what happens with HNA.
- Going back to Exhibit 5, which is a death certificate, 10
- the deceased client death date order exhibit. The second 11
- page of Exhibit 5.
- 13 Α. Yup. I have it.
- 14 You indicated that you had reviewed a lot of these death Ο.
- certificates, correct?
- 16 A. Yes.
- And as I understand it, it's in connection with these
- individuals who are clients of Mr. Heberling, they have
- 19 lawsuits, correct?
- 20 Yes.
- 21 Ο. And they need some kind of a -- Mr. Heberling needs to
- know whether or not he can make a claim to attribute the
- 23 death to asbestos exposure, correct?
- 2.4 Α. That's correct.
- O. And, so, you endeavored to review the death certificate

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to determine whether you can provide a letter indicating that

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- the death was due to asbestos exposure, correct?
- Yes. And I also indicate whether I think it's not. And.
- of course, if I indicated that they probably -- I don't think
- they are on this list.
- O. A couple of questions about the people on the list. We
- started to talk about that before the break. On Page 2.
- Mr. Hendrickson. Do you see Mr. Hendrickson?
- You are going to have to give me the charts if you expect
- me to tell you the details of it. Because I don't remember
- all these. 11
- I am actually going to ask you about what's on the list
- 13 here
- 14 Okay. A.
- On Page 2, you have got Edmond Hendrickson?
- 16 Right.
- 17 And the chart indicates that it's pneumonia. He died
- from pneumonia and severe rheumatoid arthritis. Correct?
- 19 That was on the death certificate, ves.
- And you don't dispute that Mr. Hendrickson died as a
- result of pneumonia and severe rheumatoid arthritis, correct? 21
- No. I -- that wasn't my job. My job was to find out if
- they had not only asbestos disease, but was it a significant
- factor as far as their death was concerned. 24
- Right.

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- And, so, I didn't make judgment calls concerning some of
- the other diagnoses, necessarily.
- But you made a judgment that the death by pneumonia and
- severe rheumatoid arthritis was related or attributable to
- asbestos exposure, correct?
- No. I didn't necessarily ever say that either one of
- those was necessarily attributable to asbestosis. What I
- would say was that the asbestosis was a significant factor in
- 9 their death.
- And just for an example -- and I don't know it applies to 10
- 11 this or not -- but somebody that has bad asbestos disease and
- 12 gets pneumonia, that's a modus exodus for an awful lot of
- people that have severe lung disease. That's what they die
- of. They die of pneumonia. But the under cause of death is 14
- 15 their asbestos disease.
- Q. Severe rheumatoid arthritis. Is it your position that
- severe rheumatoid arthritis is causally associated with 17
- 18 asbestos exposure?
- 19 We are very suspicious that rheumatoid arthritis is part
- of the picture of asbestos disease. Our own observations are 20
- 21 that we have too much rheumatoid arthritis in Libby. And,
- secondly, the studies done at the University of Montana 22
- concerning positive anti-nuclear factors indicate a very high
- incidence of positive RA factors and positive anti-nuclear
- 25 factors in the Libby group.

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So, as I understand, you are suspicious that asbestos

- exposure is associated with severe rheumatoid arthritis, but
 - you have not come to a final conclusion about that?
 - It hasn't been proven yet.
- There was another individual, Mr. Hugill, H-U-G-I-L-L?
- Where is it?
- Ο. On the same page, a little further down.
- I do not know him.
- 9 0 You do not know him?
- No. And I don't think that I read that letter. No. 10
- 11 That was a letter that was -- that was Sam Hammer,
- apparently, who was involved in that. 12
- And that's -- the cause of death was systemic
- 14 cardiomyopathy and coronary artery disease?
- I really -- I can't remember that one, if I actually 15
- looked at it. I might have looked at it. And the yes may
- 17 have been from me but I don't remember it
- 18 Okav.
- But, obviously, if Sam Hammer had done significant --19
- 20 they did a study on his lungs. That's a pretty definitive
- 21 pathologist.
- 22 So the cause of death on the death certificate is
- ischemic cardiomyopathy and coronary artery disease.
- 24 Correct?
- 25 Α. As far as I know, yeah. He obviously died in Spokane.

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- And you are relying on the -- this exhibit in your report 1
- in this case, and the determination by Dr. Hammer that it was
- associated or related to asbestos. Is that right?
- Well, you are putting words in my mouth. I don't recall 4
- whether I looked at that death certificate or whether John
- Peterson was called by -- or wrote a letter, or whether it
- was a letter from Sam Hammer who had all the data, or whether
- 8 I was even involved in it at all. So you are putting a few
- words in my mouth, because I don't remember that one at all.
- 10 Okay.
- I might have looked at it. But if you can provide me 11
- with the chart, I might be able to tell you.
- 13 Ο. Do you have an opinion about whether or not ischemic
- 14 cardiomyopathy and coronary artery disease are conditions
- that are caused by asbestos?
- 16 A. They are not caused by asbestos.
- 17 Miles "Rusty" Rightmire is a little further below there.
- 18 I know Miles Rightmire quite well. He is my patient.
- 19 The death certificate for Mr. Miles indicates he died Ο.
- 20 from metastatic carcinoma, cancer of the pancreas?
- 21 A. Yes.
- Q. Other significant conditions, COPD.
- 23 Do you see that?
- 2.4 A. This is a real good example of somebody who doesn't know
- what asbestos looked like. This guy had severe asbestos

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- disease, both interstitial and pleural. It's signed out as
- COPD. That's a real good example that you picked out of how
- death certificates are not very accurate sometimes.
- Do you dispute that the patient -- I suspect you
- don't because he is your patient -- that he had cancer of the
- pancreas?
- I didn't take care of him for that. I didn't -- don't
- dispute that. I heard he died of that.
- Do you hold the opinion that cancer of the pancreas is
- caused by exposure to asbestos?
- It probably is. It's not quite as definitive as some 11
- other cancers, but it probably is. As you undoubtedly know,
- 13 kidney and colon are well thought to be related to that.
- Pancreas, probably. But, you know, some of those studies 14
- Q. Actually, I will come back and ask you questions about 16
- 17 kidney and colon cancer.

have actually been done.

- So you do hold the opinion it's been demonstrated 18
- scientifically that cancer of the pancreas is caused by 19
- asbestos exposure?
- 21 You are putting words in my mouth because that's not what
- I said. What I said was, it's thought it may very well be
- related to that, but it's not been proven.
- 2.4 Q. I did not hear you say that, so if you did, I apologize.
- A. All right.

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- Q. Mr. Davidson, at the top of Page 3. It indicates that
- 2 the cause in the death certificate was -- I will get there --
- 3 astrocytoma of cervical spinal cord. Is that right?
- 4 A. Yes.
- 5 Q. It indicates that you have -- that you found that this
- death was due to asbestos exposure. Is that right?
- 7 A. The words "due to asbestos exposure" is not something
- 8 that I necessarily say. I say, I am willing to say it's a
- 9 significant factor in the person's death, and that may mean
- 10 that they may die of something else, but they are severely
- 11 debilitated or something else associated with their asbestos
- 12 disease at the time that they die.
- 13 So that associated death that's associated with severe
- 14 asbestos disease is a significant contributing factor, and
- 15 that's probably the terminology that's best used for it,
- 16 rather than, actually, "due to" it. Because it probably was
- 17 not totally due to asbestosis. It was a contributing factor.
- .8 Q. Because your chart -- the column is titled, "due to
- 19 asbestos disease." Correct?
- 20 A. It says, cause per death certificate. What does it say?
- 21 Due to asbestos disease. Probably that's a miss-label, as
- 22 far as saying it's actually due to, as much as it is -- the
- 23 asbestos disease is either a cause or a significant
- 24 contributing cause. Because there are people that have
- $25\,$ $\,$ asbestos disease, sometimes pretty severe, which has no

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- 1 bearing whatsoever on their death.
- 2 O. And what information do you have to indicate -- or what
- 3 did you have to indicate that asbestos disease contributed to
- 4 his death from astrocytoma of cervical spinal cord?
- 5 $\,$ A. You will have to give me the chart and let me see it,
- 6 because I don't have it.
- 7 O. I don't have it either. You don't recall from your
- 3 review?
- 9 A. No way I would. This guy died in 2000.
- 10 Q. Is he your patient?
- 11 A. He was not my patient.
- 12 Q. Well, what's the possible -- what's the possible way that
- 13 asbestos disease could cause or contribute to death by
- 14 astrocytoma of cervical spinal cord?
- 15 A. Hypothetically -- and I don't know exactly in this
- 16 situation -- if you had bad asbestos disease and were in
- 17 respiratory failure, your tolerance and your longevity after
- 18 $\,\,$ some kind of a brain tumor or a cord tumor may be very low.
- 19 That may be how I arrived at that. I don't remember this one
- 20 at all.
- 21 Q. Is there any literature to support that? Any scientific
- 22 literature or medical literature that discusses the
- 23 asbestos --
- 24 A. I have been practicing medicine since 1960. Those are
- 25 judgment calls made by physicians with experience. And I

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- 1 qualify there.
- 2 Q. Mr. DeShazer. Is that the right way to say that? I am
- 3 sorry.
- 4 A. Yeah.
- 5 Q. On Page 3?
- 6 A. Yup. That's another COPD that was asbestosis.
- 7 Q. As I understood, the death certificate indicates that
- 8 Mr. DeShazer died from chronic renal failure. Is that right?
- 9 A. This was another situation where the asbestos was a very
- 10 significant factor in his death. Actually, I looked at that
- 11 $\,$ one not so long ago, and I do have a little recollection
- 12 about that one.
- 13 Q. I went to the NIH website, Dr. Whitehouse. I was just
- 14 trying to see whether there was any indication that asbestos
- 15 exposure, asbestos disease, is somehow associated or part of
- 16 the disease process for chronic renal failure. This is what
- 17 I printed out.
- 18 MS. HARDING: Can you mark that one, please.
- 19 (Ex. No. 5, marked.)
- 20 Q. (BY MS. HARDING) Is there any indication, at least from
- 21 the information from the NIH --
- 22 MR. HEBERLING: Let him read it first.
- MS. HARDING: I will ask him a question, and then he can.
- MR. HEBERLING: He can't do both.
- 25 Q. (BY MS. HARDING) I will ask a question, and you can take

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- 1 whatever time you need to answer. Is there any indication in
- 2 that document, or in any other published paper or literature
- 3 that you can point to, suggesting that chronic renal failure
- 4 is caused by or associated with asbestos exposure or asbestos
- disease?
- 6 A. Well, yes, there is. In fact, if you notice the possible
- 7 complications, it says, congestive heart failure. It works
- 8 both ways. People with asbestosis have chronic -- what's
- 9 called cor pulmonale, which is a form of congestive failure,
- 10 which, when it gets really severe, it causes renal failure.
- 11 And the two exist coexistent ly.
- 12 It's like so many things in medicine. There is nothing
- 13 that happens in absentia -- or very few things that happen in
- 14 absentia -- of something else that's going along at the same
- 15 $\,$ time, particularly in people that are older. And I don't
- 16 know whether that was -- what my conclusions were in this or
- 17 not. I don't remember.
- 18 This is the same way, that -- you know, if you can
- 19 provide me with the charts, I will be happy to review it and
- 20 give you my reasoning behind it.
- 21 $\,$ Q. $\,$ I don't have the -- I just don't have the charts with me.
- $\mbox{\em 22} \mbox{\em I}$ would be happy to provide them if I did.
- 23 The bottom line is that whatever you found from the
- 24 charts would be indicated in your -- in the records you
- 25 provided us?

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- In the what?
- 2 Ο. In the records that you attached in connection with this
- 4 Not necessarily. Don was not my patient at the time he
- died, so I don't know. You won't have any records of mine.
- I had them at one point, but I don't have them now. I mean,
- I may have got a copy of them when I was requested to review
- 9 ο. Have you provided any records to indicate the analysis
- that you applied to arrive at the conclusion that the chronic 10
- 11 renal failure was caused by or contributed to by his asbestos
- exposure or asbestos disease? 12
- No. Basically, what I get is a cover letter with a chart
- 14 asking whether it was a significant factor or not. And then
- I review the records and I make a judgment call on that. And 15
- I hand-write it on the letter, and I fax the letter back and
- sign it. And I send back as many as, "no," as I do, "yes, I 17
- am sure." Maybe more. I don't know what the statistics are.
- 19 But I get asked to review a lot of charts like that, and
- 2.0 I look at them carefully and make a judgment call. Sometimes
- 21 I know the patient. It's very easy. Sometimes it takes a
- lot of work. 22
- But you can't remember why you made the determination
- here, correct? 24
- 25 No. Α.

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- Is that what you are saying?
- Basically, that would be it, yeah, and what was sent to 4

And the only way you would be able to determine that

would be to look at the records that you originally reviewed.

- 5
- And William Carr on Page 3 died of leukemia?
- He was my patient. He had severe asbestosis. Α.
 - He had asbestosis. Did he have interstitial fibrosis, or
- did he have pleural fibrosis, or pleural disease?
- I think he just had pleural disease. He was very 10
- 11 restricted. He had very restricted pulmonary function and
- very little tolerance for his leukemia, is basically what it 12
- amounted to. And he died -- sort of a situation you wouldn't
- 14 expect him to.
- 15 Usually, people with chronic lymphocytic leukemia live a
- long time, a lot more than seven years. And he just sort of
- went downhill. I think it was a combination of both. He 17
- 18 died, actually, of respiratory failure, but Bob Albin signed
- his death certificate. He had him in the hospital at the 19
- 2.0
- 21 Once again, is there -- are you aware of any published
- literature that indicates that leukemia is caused by asbestos 22
- exposure or disease related asbestos?
- No, it's not caused by it. In this particular situation, 24
- 25 it was a major factor. I had taken care of this guy for his

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- asbestosis for a long time, and he was pretty much on death's
- doorstep when he got his leukemia. He survived longer than I
- would have expected him to. I took care of him for a long
- time. 4
- As we are going through this, as I understand what you
- are saying, that if somebody has any type of disease related
- to asbestos, but they die from something else, that because
- 8 they have a disease related to asbestos at the time, that you
- attribute their death, in part, to their asbestos disease?
- Is that right? 10
- No. You misspoke what I said. What I said was that I 11 Α.
- make a judgment call about how bad their asbestos is and how
- much it affects -- if it's an attributing cause to a death 13
- 14 where they also have another illness that is maybe, in part,
- responsible to it as to how much the asbestos disease
- contributes to it, and if it's a significant factor as far as 16
- 17 their death, then I would say -- that's what I would say.
- 18 But I wouldn't -- just because somebody has got, maybe, a
- 19 few pleural plagues or something like that, I wouldn't say
- anything about that at all, unless it was, perhaps, a lung 20
- 21 cancer.
- You would agree that the leukemia is what caused this
- 23 individual's death, right?
- MR. HEBERLING: Objection. Unclear as to cause. 2.4
- 25 THE WITNESS: You know, I wasn't there when he died so I

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- don't know. It doesn't surprise me that he died, because I
- knew him well and I knew how bad his lung disease was. But
- on the other hand, he was hospitalized by the oncologist at
- the time, and I wasn't involved with it.
- (BY MS. HARDING) Edith Moles died of multiple cerebral
- vascular accidents. Page 3?
- You also classified her death as due to asbestos disease.
- I didn't say it was entirely due to it. I said it was a
- major contributing factor. Sally Aiken is the coroner. 11
- Okay. She found -- I guess she found a stroke at the time.
- 13 She signed the death certificate.
- I notice that --14
- These are all very sick people at the time they died.
- They all had bad problems with their lungs, and then 16
- something else was the final capping blow, basically, is what
- it amounts to, when you see something like that. It doesn't
- 19 take much to push them into a corner and die.
- Have you done any research or have you looked to see
- 21 whether there is any support for your theories that these
- various conditions that are serious in and of themselves --23 you would agree that leukemia, aside from asbestos exposure,
- 24 is a pretty significant disease, correct?
- 25 MR. HEBERLING: Objection. Compound.

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- THE WITNESS: I already answered that question,
- 2 basically. I told you before that some of these are serious
- diseases, but the judgment calls are made on the basis of the
- fact that the patient was quite sick with their lung disease,
- and it didn't take much to knock them off.
- Then, on top of that, chronic lymphocytic leukemia is
- generally a reasonably benign disease. Not always, but it's
- a disease that people live with sometimes 20 years without
- 9 therapy. And I don't remember all the details of the final
- terminal event, except I know that he was basically near 10
- 11 terminal from his asbestosis, much less his chronic
- lymphocytic leukemia. I don't know whether he developed a 12
- pneumonia and died. I just don't remember that one. I knew
- 14 the guy, so I didn't need to review his chart.
- 15 There also were two individuals that also died on the Ο.
- 16 list that died from colon cancer?
- 17 Yun Δ
- 18 And you previously testified that colon cancer is not
- 19 caused by asbestos exposure or disease, correct?
- 20 Did I say that? A.
- 21 Previously, in past years?
- I don't believe that I did, because I have known for a 22 Δ
- long time that colon cancer is thought to be a cancer
- associated with asbestos disease. I might have said that
- 25 very early on, like, in the nineties, but I certainly

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- wouldn't say that now.
- 2 But your opinion now is that colon cancer is causally
- associated with asbestos exposure?
- It's not my opinion. It's an opinion that is well held
- in the literature.
- In which literature is that opinion held?
- A. I can't give you a guote on that.
- Are you relying on any particular studies to arrive at
- 9 that conclusion?
- You know, there is a lot of studies that relate to colon 10
- 11 cancers. I don't know exactly what they are right now. I
- know I have read it. The literature previously -- and it's 12
- become basically common knowledge in the asbestos world.
- In this list, as well as the other list, there are 14
- 15 individuals -- individuals that are identified on Exhibit 3
- as being people who are community exposures, correct?
- 17 Α. Yes.
- 18 So on Exhibit 5 here, if you cross reference it to
- Exhibit 3, you can find the individuals that Mr. Heberling
- 2.0 has listed as community exposures, correct?
- 21 I assume so.
- I want to ask you about those individuals. The first one 22
- I would like to ask you about is Mr. DeShazer.
- Which one? Is there more than one DeShazer on that list? 24
- I believe it's Gerald DeShazer. 25

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- What page is it on? 1 Α.
- I will have to look at that.
- There is a Margaret and a Donald and a Jack. The 3
- DeShazer's have not had a good time with the asbestosis, 4
- unfortunately. I have Gerald here.
- O. It's on Page 7. 6
- 7 Right. Got it. Α.
- 8 And Mr. DeShazer, as I understand it from the exposure Ο.
- history that you provided with his records, in addition to
- the information listed on your exposure history, or that of 10
- Mr. Heberling -- I don't know whose -- maybe you can actually 11
- identify that, please. These are the records that were
- produced in connection with this exhibit for Mr. Gerald 13
- 14 DeShazer.
- 15 A. Okay.
- 16 Is the exposure summary there at the end, is that
- 17 something that was created by you, or was that created by
- 18 Mr. Heberling?
- 19 A. These were created by Mr. Heberling.
- And that indicates that Mr. DeShazer also worked in the 20
- 21 Navy, correct?
- A. Yes. Well, he chipped and repainted ships, it says, and
- he was on board a ship for four years.
- 2.4 O. Does it say what years that was?

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A. It says '59. I presume he couldn't remember the years or

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- something. 1
- Have you reviewed the literature involving the asbestos
- exposures for individuals that were aboard ships in the Navy?
- Α. I actually have, yes. I have read a fair amount of stuff 4
- And you are aware that the -- you are aware of the high
- levels of asbestos exposure that have been reported for
- individuals in the Navy, correct?
- That's true. And a lot of it depends on what kind of a
- ship and where on the ship they were stationed. In
- particular, boiler rooms were very bad. But there was a lot 11
- of asbestos on the ship, no question about it.
- 13 So, while Mr. DeShazer is listed as a community exposure,
- 14 his occupational history suggests he may have had exposure in other places as well, correct?
- Well, he had very heavy exposure in Libby, and, plus, he 16
- 17 was -- a lot of family -- is he listed as a community
- exposure on that list or not?
- 19 Do you recall whether he is or not?
- I would have listed him as family exposure, probably. I
- mean, he -- the whole DeShazer family virtually has asbestos 21
- disease. I mean, really -- I mean, literally, telling you
- 23 that. I don't know whether it's genetic or not. They lived
- 24 -- you have it on here, too. You don't know where Rainy Creek is, but Rainy Creek is the creek that runs by the road

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- that goes up to the Grace mine, and that was a very heavily
- 2 contaminated area. The trucks came by there. A lot of
- vermiculite blew off the trucks.
- There was also not too far from there, the screening 4
- 5 plant, ultimately, and the conveyor belt. Although, that
- probably wasn't there when he lived there. But he had a high
- exposure. Very high.
- A guestion about that. So Mr. Gerald DeShazer, he is
- 9 listed as a community exposure, as is Daniel, Sandra --
- 10 Α. As well as who?
- 11 Daniel, Sandra and Gerald are all listed as community
- exposures. Are you suggesting they should be in the 12
- household category, and not in the community category?
- A. Well, him. You need to show me the other ones in order 14
- 15 to say anything about that. But he was around a fair number
- 16 of people that worked for Grace.
- Q. Another question. Have you -- because you have not --17
- because you haven't -- you have indicated that you don't have
- 19 any information or knowledge to allow you to quantify the
- 20 type of community asbestos exposure that you believe
- 21 Mr. DeShazer had, based on his history here, correct?
- Well, not entirely. I have the 1975 Grace report on 22
- levels that were done around the hospital, near the lumber
- mill in an area, and those ranged up to one and a half fibers 24
- 25 per cc.

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- And do you know whether -- do you recall whether or not
- those were eight-hour time weighted average exposures or not?
 - Do you know?

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- No, I don't. Those were the lowest of the exposures. 4
- Actually, there were very high exposures in other areas, but
- those were downtown Libby exposures.
- O. The -- what's your foundation for saving that those were
- higher exposures than the ones you just discussed?
- 9 Grace's own data. They ranged up to 60, 70 fibers per
- cc, up closer you got to the mine, or in various parts of the 10
- 11 mine. And they also had an expansion plant right downtown
- that spewed dust out of the stack all the time, that had a 12
- fair amount of exposure.
- 14 I mean, you have got to be, you know, not even looking at
- 15 things to know that there were some really high exposures
- down there around the ball fields and down near the railroad
- tracks and all. I mean, this is just common sense. 17
- I am trying to get an understanding of your -- your 18
- opinion about levels. You just said something --
- 2.0 You just got it. You just got it right there. They were
- 21 high, and they were never measured.
- O. You believe that there were 60 to 70 fibers --22
- A. I don't know what they were. I know they were enough to
- give disease. Let's put it that way. And bad disease in a 24
- 25 lot of these people.

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- I understand. You just said that there were 60 to 70
- fibers per cc, and it sounded like you were talking in the
- 3 air--
- I was talking --4 Α.
- Let me finish my question, please. It sounded like you
- were saving that that was in the air. That's not correct.
- right?
- 8 Α. No. I said -- maybe you didn't hear me -- up as you got
- to the mine.
- So you mean the mine levels? 10
- Those were mine levels. 11 Α.
- ο.
- 13 But there is no question that there were higher levels in Α.
- 14 downtown Libby for a whole number of reasons, which I can
- enumerate, but related to their plants, to the facilities
- 16 there, related to the railroad tracks, related to all the
- 17 things known to have stirred up asbestos in the air.
- 18 Ο. When you say higher, you don't mean higher than the mine;
- 19 vou just mean --
- 20 No, no, no. I just mean higher than those one and a
- halves. I am pretty sure they were higher than that. And 21
- they were also 24-hour exposures, all day long. People that
- lived there were exposed to that all the time, rather than
- just for an eight-hour work shift. 2.4
- Q. And I read some of your previous testimony on this issue

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where you talked about these environmental exposures that you

- 3 taken in downtown Libby.
- thought were higher prior to the 1975 measurements that were
- What I was trying to get at earlier was, have you -- in 4
- any of the work that you have done for these veterans claims,
- or anything like that, have you attempted to compare what you
- believe to be the exposures that may have been available -that may have been in the community, to exposures that have
- been -- the average exposures of people on ships that have
- been reported in the literature?
- MR. HEBERLING: Objection. Compound and unclear as to 11
- what "or anything like that" might mean.
- THE WITNESS: Well, I haven't tried to compare it to the 13
- levels that are in the literature. But on the other hand, I 14
- have taken very careful histories from these people of their 15 16
- exposures and what they were doing, and, obviously, there was some very significant shipboard exposures. There were some
- other ones that were probably relatively minimal, and it
- 19 depended on a lot of the factors I talked about earlier.
- Mr. Wesley, Reynolds James Wesley?
- 21 IIh-huh
- I believe that he is also listed as somebody that's
- 23 community exposure in Exhibit No. 3. He is also on Exhibit
- 2.4 No. 5.
- A. What page is that? Mr. Reynolds was my patient.

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- Mr. Reynolds died of an abdominal sarcoma, which, I think,
- 2 was a mesothelioma misdiagnosed, but I can't prove it.
- 3 O. And Mr. Wesley also was exposed -- according to the
- 4 exposure records prepared by Mr. Heberling, I have he joined
- 5 the Navy at age 16. Do you recall that, from his exposure
- 6 history?
- 7 A. I don't recall that for sure, but do you have it here?
- 8 O. I do. The question I just had is whether or not -- I
- 9 mean you would agree that he would have exposure, in addition
- 10 to exposure that he had at Libby, in the Navy?
- 11 A. How long was he in the Navy and where was he stationed?
- 12 Q. I would -- I would show you this but I only have my notes
- 3 on it. It says 41 to question mark, and then the next date
- $\,$ 14 $\,$ on it is 1955. So I don't know whether that indicates he was
- 15 in the Navy that long or not.
- 16 A. That's not very helpful. He might have been stationed at
- 17 a lifeguard station.
- 18 Q. Well, this is the exposure history that was provided by
- 19 Mr. Heberling. Actually, you can look at it. Here.
- 20 A. It wasn't that I was particularly concerned about what
- 21 was on here as the fact, I knew the patient and took care of
- $22\,$ $\,$ him. He had significant asbestos disease, and I told you he
- 23 had a sarcoma that I think probably was a sarcoma, peritoneal
- 24 mesothelioma, but there is no way to prove it. And the
- 25 pathologists -- I wasn't smart enough to send it off to

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- 1 Hammer, and our pathologist in Spokane, you know, didn't
- 2 think it was. Although, I am not so sure they are right on
 - that.
- ${\tt MS.\ HARDING:}\ {\tt Dr.\ Whitehouse,\ I\ am\ going\ to\ object\ to\ the}$
- 5 $\,$ answer being nonresponsive, only because I have a lot of
- 6 $\,$ information to get through and I was just asking about his
- 7 exposure. So, I would like to try as much as we can to limit 8 your answers at least to the general question that I have
- 9 asked, if we could.
- 10 THE WITNESS: I don't really have any comment on this.
- 11 Q. (BY MS. HARDING) It doesn't describe his --
- 12 A. It doesn't help.
- Q. It doesn't help you understand what his Naval shipyard
- 14 exposures were, right?
- 15 A. That's correct.
- 16 Q. There is another -- I have a couple of other examples I
- 17 wanted to show you, but the point I want to ask you, have you
- 18 done any kind of systematic review of the people listed as
- 19 $\,$ community exposures, to determine the percent or number of
- $20\,$ $\,$ individuals listed as community exposures that actually have
- 21 other exposure to asbestos -- commercial asbestos -- from
- 22 non-Grace occupations?
- 23 A. No. Ask me in February.
- 24 Q. Ask in February?
- 25 $\,$ A. Ask me in February. I will probably have it. Or we will

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- probably be able to get it, if the timetable for our database
- 2 comes true
- 3 Q. So you have a database right now that has some of that
- 4 information in it?
- 5 A. No, we don't. It's being constructed right now.
- 6 Q. How long has it been under construction?
- 7 A. Not for very long, but there is finally a fire lit under
- 8 getting it done.
- 9 O. And that database will include the information on
- 10 individuals that relates to their non-Grace occupational
- 11 asbestos exposures?
- 12 A. Every asbestos exposure they have had will be in there.
- 13 Q. But you don't have that now, right?
- 14 A. No. And there is no way we can retrieve it
- 15 systematically at this point.
- 16 Q. You have the information in your patient records,
- 17 correct?
- 18 A. Yes. You know, we are too busy taking care of sick
- 19 people and dealing with other problems to go scouting through
- 20 records at this point in time.
- 21 MR. HEBERLING: Do you want to take a break?
- 22 THE WITNESS: Whatever. If you want to take a break,
- 23 it's all right with me.
- 24 MR. HEBERLING: In five minutes. There is five more
- 25 minutes on the tape.

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- 1 THE WITNESS: Okay.
- 2 MS. HARDING: Go ahead.
- 3 VIDEOGRAPHER: This will conclude Tape No. 4. The time
 - 4 is now 2:17 p.m.
- 5 (Recess taken from 2:19 to 2:24.)
- 6 VIDEOGRAPHER: This is the continued videotaped
 - 7 deposition of Dr. Alan C. Whitehead -- Whitehouse and Tape
 - 8 No. 5. The date remains to be October 18, 2007. The time is
 - 9 now 2:24 p.m.
- 10 Q. (BY MS. HARDING) Dr. Whitehouse, I would like to ask you
- 11 about Exhibit No. 7, the mesothelioma cases due to exposure
- 12 to Libby asbestos.
- 13 A. Okay.
- 14 O. As I understand it, these are now a list that you have
- turned into an article of some sort, that you submitted to
- 16 some -- to some kind of journal?
- 17 A. Yes.
- 18 Q. Is that correct?
- 19 A. That's correct.
- 20 Q. And it's listing a certain number of cases; is that
- 21 right?
- 22 A. Yes. It actually just brings up to the date the number
- $\,$ 23 $\,$ of mesothelioma cases as of this spring, this last spring.
- ${\tt 24}\,-{\tt Q.}\,$ Which cases are at issue in that article?

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25 A. Let me check them off. No. 7, Marvin Flatt, Carol

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- 1 Gerard, Jack Harrison, Loreta Orem, Arnold Pederson, Toni
- 2 Riley, Ernest Roberts. You know, I didn't use Ernest Roberts
- 3 because the verification wasn't proper.
- 4 James Roberts. I am getting my Roberts confused.
- 5 Everett Sanderson.
- 6 Q. No. 26, Sanderson. Okay.
- 7 A. Victoria Skidmore, still alive. Elizabeth Trimble and
- 8 Ford Wilson
- 9 Let me see if that adds up right. How did I get ten?
- 10 Q. I got 11.
- 11 A. One of those may have been reported before.
- 12 MR. HEBERLING: It's 11.
- 13 MS. HARDING: I got 11.
- 14 THE WITNESS: Did you?
- 15 Q. (BY MS. HARDING) I counted 11. 11 is the right number?
- 16 A. Except that there were two that were family members. Oh,
- 17 $\,$ I know what this was about was, we had an argument over this,
- 18 John and I did, because he included a couple family ones here
- 19 as environmental, and I said they were family in my paper.
- 20 So there is two family. Two of them had family exposures.
- 21 Q. Which two are listed here that Mr. Heberling listed as
- 22 environmental that you think should be family?
- 23 A. I knew that you were going to ask me that. Darlene
- $24\,$ $\,$ Riley, I know, was, and Loreta Orem. Those are the two.
- 25 Loreta Orem, who lived in Kalispell, did her father's

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- Page 142 clothing every Saturday when he came home, and Darlene Riley
- 2 was related to the other Riley that died of asbestosis. He
- was her stepfather or father or something. Stepfather,
- mavbe.
- 5 Q. I want to ask you about the cases that are listed as
- 6 environmental. So, actually, I won't ask you about those
- 7 two, Darlene Riley and Loreta Orem -- maybe -- but they
- 8 should be changed and classified as family?
- A. Well, I have classified them as family, basically,
- 10 $\,$ because -- and I classified them as family for the paper.
- 11 $\,$ Q. But this is your -- the exhibit that you attached as the
- 12 underlying material for your report, and this was compiled by
- 13 Mr. Heberling and not you?
- 14 A. No. You know, I am very instrumental in doing this, but
- 15 $\,$ in the process of doing that, somebody put them down as
- 16 environmental. I took issue with it, ut it was already --
- $\,$ 17 $\,$ but we were already done with it so nothing could be -- you
- 18 now have clarification of it. I wasn't an attempt to deceive
- 19 anybody or anything.
- 20 Q. I wasn't suggesting it was. I was just trying to
- 21 understand how the classifications of the -- whether somebody
- 22 was environmental or not were made, and, more importantly,
- 23 who made them, you or Mr. Heberling?
- 24 $\,$ A. No. I made the judgments on that, whether they were
- 25 environmental or family. Those were all my judgments because

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- those are judgments that had to be looked at very carefully
- 2 for this paper.
- 3 Q. I am talking about the exhibit that was attached to your
- 4 report, Doctor. With respect to the exhibit attached to your
- 5 report, the mesothelioma list, it sounds like what you are
- 6 telling me, for this document, Mr. Heberling made the
- 7 judgments, but for the paper you are submitting, you made the
- 8 judgments?
- 9 A. No. I made the judgments on this, too, except somebody
- 10 in his office put them down as environmental rather than
- 11 family, and it was too late to change it. I am telling you
- 12 right now, they were family, those two. So you understand
- 13 that.
- 14 The paper, when it comes out, will demonstrate nine
- 15 environmental cases and two family cases. And there is a lot
- 16 of people that do that, that sort of lump things together. I
- 17 don't do that.
- 18 Q. How did you go about investigating the exposure histories
- 19 of the nine environmental and two family cases?
- 20 A. I went through all the exposure histories in the chart.
- 21 Dr. Black, my partner, made some phone calls to some of the
- $\,$ 22 $\,$ people that were now living out of town, and talked to the
- 23 family members. We talked to the doctors that took care of
- them. We talked to a doctor in Colorado. And, let's see,
- $25\,$ $\,$ what was the other one I talked to? It may have been Elko,

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- 1 Nevada, but I am not sure.
- 2 I talked to so many doctors I sometimes forget who I
- 3 talked to at one time about a patient.
- 4 Some of them were my patients. In fact, a lot of these
- 5 were my patients and I can tell you those if you want know
- 6 who they are.
- 7 Q. I will just go through the list. Marvin Flatt, was he
- 8 your patient?
- 9 A. No, he is not my patient. He is still alive.
- 10 Q. Where does he reside?
- 11 A. I think he lives in -- I am trying to remember whether he
- 12 lives in Kalispell or Spokane. I don't recall. He does not
- 13 live in Libby now, I don't think. I know he doesn't. I have
- 14 not seen him.
- 15 Q. And he is classified as an environmental case?
- 16 A. Right.
- 17 Q. Carol Gerard?
- 18 A. Carol Gerard was my patient. She lived in Salt Lake
- 19 City. I had seen her in Spokane, and she clearly was
- 20 environmental. She worked at a chiropractor's office and did
- 21 not have any relatives or anything that were associated with
- 22 the asbestos.
- 23 Q. So the exposure information you have on Carol Gerard was
- 24 derived in your own clinical setting?
- 25 A. Yes.

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Wheat Ridge, I guess it is, isn't it? Yeah, Wheat

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- 1 Q. Exposure information for Marvin Flatt, that was derived
- 2 from?
- 3 A. That was information I was given. I was given the path
- 4 reports and the exposure history.
- 5 Q. But the exposure history came from who?
- 6 A. I think that probably came from Mr. Heberling's office.
- 7 Q. Do you know how Mr. Heberling came across the exposure
- 8 history of Marvin Flatt?
- 9 A. I don't recall. Actually, I think I probably do know,
- 10 when I think about it. It probably was related to a lawsuit,
- 11 and then he requested the entire chart.
- 12 Q. Is Marvin Flatt Mr. Heberling's client?
- 13 A. Yes. No. 7 there.
- 14 Q. Jack Harrison; is that your patient?
- 15 A. Yes. Jack Harrison was a gentleman who lived in Libby
- 16 for about five years. He worked for the Forest Service, as I
- 17 recall. And I have gone over all the details on that one
- 18 myself in his chart, and I spoke with Dr. Clifford personally
- 19 in Colorado. It was a suburb, I think it was Wheat Ridge,
- 20 Colorado, where I talked to him. That sounds familiar.
- 21 Q. But he is your patient?
- 22 A. No, he was not my patient.
- 23 Q. I misunderstood you.
- 24 A. He died in Denver, or he died in Wheat Field, or wherever
- 25 it was he lived in. A suburb of Denver.

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- - Q. You got his exposure information from who?
 - Didao
 - 4 Q. But who provided --
 - 5 A. Is that right? Wheat Ridge.
 - 6 Q. Who provided the exposure information?
 - 7 A. His wife and the doctor.
 - Q. And then Loreta Orem; is that a patient of yours?
 - 9 A. No, that's not a patient of mine.
 - 10 Q. Is that a client --
 - 11 A. That was obtained through medical records, and that's the
 - 12 one that did her father's clothes, and I would consider
 - 13 family.
 - 14 Q. And you got the exposure information from Mr. Heberling
 - 15 for that one, then?
 - 16 A. Yes
 - 17 Q. It's a client of Mr. Heberling's?
 - 18 A. Right.
 - 19 Q. Darlene Riley, you said you classified as family?
 - 20 A. You missed Arnold Pederson.
 - 21 Q. Arnold Pederson. How did you arrive -- is that your
 - 22 patient?
 - 23 A. No. It was -- Dr. Black obtained all that information
 - 24 the same way that I did. He called the family and talked to
 - 25 them and talked to the doctor and got all the exposure

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- history that way. We had the death certificate and the path
- 2 report
- 3 Q. How did you become aware of Mr. Pederson's mesothelioma?
- 4 A. Through Dr. Black. He and I were talking about all
- 5 these. As I was writing the paper, we were collecting the
- 6 information together.
- 7 Q. Is he a patient of Dr. Black's?
- 8 A. No. He is dead.
- 9 Q. Was he a patient of Dr. Black's?
- 10 A. I don't think he was. I think Dr. Black may have known
- 11 him in the past, and I think he is one that lived -- I am
- 12 trying to remember where he lived. Somewhere in Nevada. I
- 13 think he lived in Nevada.
- 14 Q. So, that exposure information came from --
- 15 A. Came from the family.
- 16 Q. From the family?
- 17 A. Uh-huh.
- 18 Q. Mr. Roberts?
- 19 A. Yeah. Not the first Mr. Roberts.
- 20 Q. James Roberts.
- 21 A. James Roberts.
- 22 Q. Is that a patient of yours?
- 23 A. No, it was not a patient of mine. And the exposure
- 24 history, I think, came from Mr. Heberling. I got the path
- report and the death certificate on him, as I recall. Yes.

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- 1 I do have that.
- 2 Q. The history you have on his exposure came from
- 3 Mr. Heberling, correct?
- 4 A. Yes.
- 5 Q. Everett Sanderson; is that a patient of yours?
- 6 A. No, that was a patient that Brad knew a fair amount about
- 7 and obtained the exposure history and all, as I recall.
- 8 Q. Do you know where he obtained the exposure history?
- 9 A. No, I do not for sure. I can tell you if I had the
- 10 records here, but I don't have them here right now.
- 11 Q. Victoria Skidmore?
- 12 A. She is still alive, and I have seen her so I know about
- 13 her exposure history.
- 14 O. You have seen her as a patient?
- 15 A. Yes. I still do. She's been alive for ten years with a
- 16 mesothelioma. She has been biopsied twice and confirmed by
- 17 Sam Hammer.
- 18 Q. And her exposure history was taken by you or somebody
- 19 else?
- 20 A. Well, originally, by somebody else, but I have taken it
- 21 subsequently.
- 22 Q. And that information is reflected in the exposure history
- 23 you provided in connection with this list?

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- 24 A. I am sorry?
- ${\tt Q.}$ Is that exposure information provided in connection with

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- 1 the information you provided in connection with this list?
- 2 A. Oh, absolutely.
- 3 Q. Elizabeth Trimble; is that a patient of yours or
- 4 Dr. Black's or something else?
- 5 A. I am not sure I recall that one, off the top of my head,
- 6 whether Brad was involved in that or not.
- 7 Q. It says --
- A. Brad had done an awful lot of work in tracking down
- 9 these, and had called a lot of family members. Wait a
- 10 minute. I remember. I am sorry. She was a patient of
- 11 Brad's. She was the school nurse in Libby.
- 12 Q. Okay.
- 13 A. I had forgotten that.
- 14 Q. And this is a client of Mr. Heberling's?
- 15 A. Yes, it is. But all that exposure history was obtained
- 16 through the CARD Clinic.
- 17 Q. And Wilson -- Ford Wilson?
- 8 A. That, I believe, was obtained from Dr. Obermiller in --
- 19 including the exposure history -- who is in Kalispell.
- 20 Q. Exposure history; you believe you got that from
- 21 Dr. Obermiller?
- 22 A. Yeah. I think there is one more not on this list too,
- 23 but I can't recall who it is.
- ${\tt 24}\,-{\tt Q.}\,$ And as I understand what you put together here and
- $\,$ 25 $\,$ what -- you have, apparently, written an article or something

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- 1 on these -- let me ask you about the article first. Is it a
- 2 description of the cases?
- 3 A. It's a short case history, a chart that shows their
- 4 exposures and the path, what type of mesothelioma it was.
- 5 They were all epithelial. And except for one, we couldn't
- 6 get the -- we got a path report, but it wasn't adequate to
- 7 tell us what kind it was. And then, as case history, has a
- 8 short dissertation on the exposure histories for people with
- 9 mesothelioma. It was a very short communication.
- 10 Basically, it's designed to bring up-to-date the total
- 11 number of mesotheliomas in Libby. You add these 11. Because
- 12 Tricia Sullivan only reported on miners, and we had all these
- other ones, and saw the article when it came out. It sort of
- 14 provoked us. I say me and Brad Black, to put these all
- 15 together.
- 16 Q. These mesotheliomas, according to the title here that you
- 17 say, are mesothelioma cases that are due to exposure to Libby
- 18 asbestos, correct?
- 19 A. Yes. All of them were exposed in Libby.
- 20 Q. Okay.
- 21 A. Most of them had some type of asbestos abnormality in
- 22 their chest x-ray aside from their mesothelioma.
- 23 $\,$ Q. $\,$ And you and Dr. Black have reached the opinion in your $\,$
- 24 report that these mesothelioma cases on this list, you
- 25 believe, were due to or caused by exposure from Libby,

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- 1 correct?
- 2 A. Yes. Absolutely no question about.
- 3 Q. Environmental exposure from Libby?
- 4 A. Yes.
- 5 Q. Now, we did receive -- in connection with the exhibit,
- 6 some of the information -- the only information you provided
- 7 on their exposures, and can I ask you some questions about
- 8 some of that?
- 9 MR. HEBERLING: I didn't hear that question.
- 10 MS. HARDING: I was just explaining, we received data
- 11 from you relating to the --
- 12 MR. HEBERLING: There is a CD attached.
- MS. HARDING: Right, there is a CD attached. I had some
- 14 questions about some of the individuals that are listed as
- 15 environmental exposures. Mr. Flatt.
- 16 THE WITNESS: There you are. We need both of them.
- 17 Go ahead. I am sorry. We interrupted your train of
- 18 thought I am sure.
- 19 Q. (BY MS. HARDING) I first wanted to ask about Mr. Flatt
- 20 because he is a client of Mr. Heberling's, correct?
- 21 A. Yes.
- 22 Q. And as I understand it, the exposure summaries that we
- 23 have are -- were compiled by Mr. Heberling. Is that right?
- 24 In connection --
- 25 A. Well, probably, perhaps, that, but it probably came from

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- 1 either the wife, if he is sick, or it came from -- now that I
- $_{\rm 2}$ $\,$ think about it, I think it was a V.A. patient from Spokane.
- 3 You know, there are so many of these, it's hard for me to
- 4 remember each individual one.
- 5 Q. Give me a second, I am just trying to find the actual
- 6 records of this particular individual.
- 7 MR. HEBERLING: There should be an exposure history by us
- 8 and one by the doctors in Boston.
- 9 Q. (BY MS. HARDING) Let me ask you about Mr. Harrison while
- 10 we are looking for the other document. Sorry.
- 11 Mr. Harrison. Your exposure history indicates that he
- 12 was in the Navy in World War II on a destroyer, but you still
- 13 listed him as environmental, correct?
- 14 $\,$ A. Yes. I think there is also something else to be said
- 15 about that.

24

- 16 $\,$ Q. Before you explain, could you answer the question? You
- 17 listed him as an environmental Libby --
- 18 MR. HEBERLING: He said yes.
- 19 THE WITNESS: I said yes.
- 20 Q. (BY MS. HARDING) Okay. If you said yes, then, go ahead.
- 21 A. There is a book of literature now that seems to indicate
- 22 that chrysotile may have a very minimal role to play in the
- $\,$ 23 $\,$ development of mesothelioma, and it priorly is entirely an

amphibole disease. I think the school is still a little bit

25 out on that, although the statistics are looking that way.

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- And, so, you -- and you have to, under those circumstances,
- 2 somewhat minimize, possibly, the Navy exposure.
- 3 Although, I guess you have to still worry about some
- 4 other types of amphiboles that may be around. Far and away,
- 5 the biggest exposure for him was his environmental exposure
- 6 in Libby.
- 7 Q. How long did Mr. Flatt work in the Navy?
- 8 A. Mr. Flatt or Mr. Harrison?
- 9 O. Mr. Harrison.
- 10 A. I don't know that answer right now, off the top of my
- 11 head.
- 12 Q. He worked in the Navy on a destroyer in World War II,
- 13 correct?
- 14 A. I just plain don't recall. If you can give me the
- 15 data -- I mean, it's in my reports and all, but I don't have
- 16 it right here.
- 17 Q. And is it your opinion, is that the only kind of asbestos
- 18 exposure that Mr. Harrison could have received in the Navy or
- 19 World War II on a destroyer is the chrysotile asbestos?
- 20 A. No, I didn't say that. What I said, there may have been
- 21 some exposure to amphiboles I don't know about. By far and
- 22 away, the most significant exposure he had was the Libby
- 23 asbestos.
- 24 Q. I take it you don't -- first of all, here is the exposure
- 25 history that was attached to the report.

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- 1 A. And I had these when I wrote up this, by the way.
- 2 O. And that exposure indicates he worked in the Navy, but it
 - doesn't give you a time, correct?
- 4 A. It does not.
- 5 Q. Do you know, do any of your records indicate how long he
- 6 worked in the Navy?
- 7 A. I am not sure if we have anything else further on him or
- not. It doesn't say otherwise.
- 9 Q. So, that's --
- 10 A. The same thing you have there.
- 11 $\,$ Q. $\,$ That's all of the information that you have --
- 12 A. That's all. That probably is. It may not be. I would
- .3 have to look at Brad's notes as well, because he made -- that
- 14 probably is all.
- 15 Q. And it doesn't indicate how long he was exposed in the
- 16 Navy, correct?
- 17 A. No, it does not.
- 18 Q. So you have no way to quantify the amount of asbestos he
- 19 might possibly have been exposed to in the Navy, correct?
- 20 A. That's correct.
- 21 Q. You don't know whether or not he was exposed to
- 22 chrysotile or amosite or crocidolite on Naval ships, correct?
- 23 A. I don't know that.
- ${\tt 24}\,{\tt Q.}\,$ You are aware of the extensive literature documenting the
- 25 extraordinarily high exposures that workers were often

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- 1 exposed to in the Navy, correct?
- 2 A. I am aware of that.
- 3 Q. Then the only information that's provided in the exposure
- 4 history here is that Mr. Harrison lived in Libby, in the
- 5 summers only, from 1980 to 1985. Correct?
- 6 A. That's correct.
- 7 Q. You don't know what levels of exposure he was exposed to
- 8 while in Libby. Correct?
- 9 A. No. Except I know where he lived, he was getting
- 10 significant exposures. But I don't know otherwise.
- 11 Q. Where was he living?
- 12 A. Oh, let me see that again here, because it's on there. I
- 13 have forgotten it. If it's not here, it's something that I
- 14 have got. Living in the Wheat Grass Motel for those times
- 15 that he was there.
- 16 Q. And what is it about living in the Wheat Grass Motel that
- 17 makes you think he had exposures that must have been much
- 18 higher than exposures he had during the Navy?
- 19 A. I don't know that for certain. I talked to Brad about
- 20 that, and Brad thought he had significant exposures. That's
- 21 Brad Black, Dr. Black. Beyond that, I can't give you any
- 22 other further information.
- 23 Q. I am just trying to understand the foundation for your
- 24 opinion, that it was clearly his exposure in Libby that
- 25 caused his mesothelioma, when this gentleman worked in the

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- Navy for an undetermined amount of time and was potentially
- 2 exposed to high levels of chrysotile, amosite or crocidolite?
- A. Well, for whatever reason -- and I have to find the
- 4 original notes we have on that, because I have a fair number
- $\,$ of notes on that, that were provided to me by Dr. Black, and
- 6 I just don't recall what all was in there, except that it was 7 felt by both him and myself that the likely source of this
- 8 was his Navy -- not his Navy exposure, but was his Libby
- 9 exposure. And particularly because the large number of
- 10 mesothelioma cases we were starting to see that are dating
- 11 back mostly to the seventies and early eighties.
- 12 It's sort of like the environmental stuff is all of a
- 13 sudden marching forward and has reached the end of latency
- 14 period. That's the reason for it.
- 15 Q. I understand that, Doctor. First of all, his asbestos
- 16 exposures he would have received in the Navy, would have
- 17 occurred early on in his life, correct?
- 18 A. Fairly early on, yes.
- 19 Q. His first exposures would have been in the Navy, right?
- 20 A. Yes.
- 21 Q. And additionally --
- 22 A. You know, I am not trying to draw --
- 23 Q. Let me just ask my question.
- You really don't have any information on exposure to
- 25 allow you to draw the conclusion that his mesothelioma was

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- caused by exposure in Libby. Isn't that fair to say?
- 2 I can't say that for certain. But what I can say is that
- the paper is written around the number of mesotheliomas that
- are appearing in Libby, Montana in people that were exposed
- to Libby asbestos. Okay? It doesn't necessarily mean that
- there was not another exposure that we don't know about of
- significance.
- Dr. Whitehouse, the table -- let me ask you a guestion.
- 9 The table is labeled, mesotheliomas due to exposure to Libby
- asbestos. And how many mesotheliomas have been attributed to 10
- 11 exposure to people that worked in the Navy over the last
- 12 50 years?
- Α. There has been a fair number of them
- 14 Ο Hundreds?
- 15 Probably so. A.
- 16 Q. Thousands?
- MR. HEBERLING: Objection. You are not letting him 17
- finish. You are interrupting him.
- 19 Q. (BY MS. HARDING) I apologize. I don't mean to interrupt
- 20 you.
- 21 I have been in practice in Spokane from 1969. I did not
- see a single mesothelioma, to my recollection, prior to 1980. 22
- I maybe saw one in the eighties.
- I have now seen -- including all these, plus some other 24
- ones here that are on this list -- probably 15 since 1985 25

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- that are associated with Libby asbestos in some form or
- another.
- Now, that's not coincidental. That's an observation as a
- physician that I legitimately can make, relative to the
- number of mesotheliomas that are occurring in Libby. And you 5
- may argue that one or two of those may have had some other
- significant exposure. It doesn't change the issue.
- Doctor, I am trying to understand the foundation for your
- opinions. And you would agree with me that thousands of
- mesotheliomas have been attributed in the literature to 10
- exposures in the Navy, correct?
- I am sure there are. I don't know there are thousands, 12
- but I am sure there a large number, yes. No question about
- 14
- 15 Indeed, in Washington State there have been numerous,
- hundreds of mesotheliomas that have developed in individuals
- that were exposed in the shippards in the Washington State 17
- 18 area, correct?
- A. Yeah. And to my knowledge, almost all of those were in 19
- 2.0 Western Washington, and, of course, we are in very Eastern
- 21 Washington. We really don't see them over here to any
- significant amount. So if, indeed -- you know, if you look 22
- 24 versus the numbers that are all of a sudden being seen in
- Libby associated with Libby asbestos, you can't help but draw 25

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at the comparison, the numbers that are being seen in Spokane

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- the conclusion that there is a significant factor in their
- production, related to Libby asbestos and the environment.
- And that's what I am saying.
- Dr. Whitehouse, I didn't ask you any questions in that 4
- regard. I was asking --
- MR. HEBERLING: Objection. Argumentative. 6
- (BY MS. HARDING) I've asked you questions relating to
- the individual case of Mr. Harrison, and asked you how you
- attributed his asbestos to his mesothelioma to asbestos out
- of Libby, and I am trying to understand that. 10
- Now --11
- MR. HEBERLING: Again, objection. Argumentative. And
- 13 the guestion is asked and answered.
- 14 (BY MS. HARDING) With respect to Loreta Orem, was Loreta Ο.
- Orem's exposures that she got indirectly from her father's
- clothing -- which, as I understand, is the pathway of 16
- 17 exposure you believe exists?
- 18 That's what I understand.
- 19 Ο. Were the asbestos exposures that her father experienced
- 20 solely from asbestos from Libby?
- 21 Α. To my knowledge.
- Q. To your knowledge they were?
- 23 Α.
- 2.4 Q. I am showing you -- we can mark this.
- 25 (Ex. No. 6, marked.)

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- (BY MS. HARDING) This is the information that was 1
- provided with respect to Loreta Orem from you in connection
- with this mesothelioma list.
- Α. Uh-huh. 4
- And the exposure history, as you see on the last page,
- indicates -- discusses work for construction companies.
- Zonolite from approximately '63 to '65. Do you see that?
- Я What page are you on?
- The very last page of the document I handed you.
- Oh, here. Okay.
- And it says that Mr. Orem only came home on weekends. Do 11
- you see that?
- 13 A. Yes. That's what I said earlier. Saturdays.
- If you will turn the page previously, to the previous 14
- pages, where it says, job site list of Loreta Orem's father,
- 16 Samuel Orem.
- 17 Uh-huh. Α.
- Ο. Do you see those? 18
- 19 Yun. Α.
- Do you know whether or not Mr. Orem would have brought
- home asbestos on his clothes from any of the jobs listed 21
- When you look at these job sites -- you have the dates
- 24 and when she was born?
- Pardon me, sir?

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- 1 A. Well, it was a peculiar situation in that he worked in
- 2 Libby and drove home to Kalispell on the weekends, and then
- 3 she did his clothes Saturday morning. Is that same situation
- 4 present in these other places? And what were the dates that
- 5 he worked for them, and what relationship were they to when
- 6 she was born?
- 7 Q. Well, Doctor, I think those are all very good questions,
- 8 but I beg your pardon, but I am not the one that listed this
- 9 person as somebody whose mesothelioma was due to asbestos in
- 10 Libby. I ask you those questions.
- 11 Do you know what the date ranges were for her father for
- 12 these jobs?
- 13 A. I do not. But what I have been told is that that was her
- 14 exposure, her only exposure, was that when her father brought
- 15 home his dirty clothes from Libby.
- 16 Q. And who --
- 17 A. I don't even know that any of these other jobs, he
- 18 wasn't -- she wasn't living with her father, or was somewhere
- 19 else. I don't know what the relationship is in those.
- 20 Q. And who supplied the information to you about her
- 21 exposures?
- 22 A. This was supplied by Mr. Heberling, these records were.
- 23 O Did Mr --
- 24 A. I think she was 49. In 1963, she would have been 12 to
- 25 15 years of age at the time she was exposed to that Libby

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- 2 jobs that she -- that he did afterwards as to whether he ever

asbestos. He died in '93. And you would have to isolate the

- 3 brought any dirty clothes home or not, or whether he was even
 - exposed to asbestos or not.
- 5 Q. But you haven't done that now, right?
- 6 A. No, I haven't done that.
- 7 $\,$ Q. You are aware of all the other potential pathways this
- 8 individual had, but you have not attempted to answer the
- 9 questions that you posed here, correct?
- 10 A. What I told you previously was that I was reporting cases
- 11 $\,$ that I know were associated with Libby asbestos. That does
- 12 not necessarily mean there may not have been something else
- 13 that we don't know about.
- 14 $\,$ Q. Did Mr. Heberling provide you with information indicating
- 15 that Mrs. Odem, or somebody on behalf of Ms. Odem, had filed
- 16 a lawsuit against a number of other asbestos defendants?
- 17 A. Not that I am not aware of.
- 18 MS. HARDING: Would you mark that, please?
- 19 (Ex. No. 7, marked.)
- 20 MS. HARDING: Orem. I keep saying "Odem," and I think
- 21 it's Orem.
- 22 THE WITNESS: It's Orem.
- I think that the physician who did her agent P noted the
- 24 asbestos dust on her father's work clothing, carrying it into
- 25 the household.

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- 1 MS. HARDING: Could we mark this, please?
- 2 (Ex. No. 7 marked.)
- 3 Q. (BY MS. HARDING) Exhibit 7, that's the questionnaire
- 4 that was filed on behalf of Mrs. Orem in this case, in the
- 5 Grace case. Do you understand --
- 6 A. Is this the one that's marked?
- 7 Q. If you turn to Part 5, I think it is, which is about
- 8 three quarters of way back -- actually, if you turn to
- 9 Page 11, the questionnaire. Do you see Page 11?
- 10 A. It's blank.
- 11 Q. Does it look like this?
- 12 A. Yup.
- 13 $\,$ Q. Do you see where the title is, Part 5, exposure to
- 14 non-Grace asbestos containing products?
- 15 A. Yes
- 16 Q. And it says, see attached -- it says party against which
- 17 lawsuit or claim was filed. Do you see that, in the left
- 18 corner? Right here?
- 19 A. Okay. I see that.
- 20 Q. It says, see attached chart. Do you see that?
- 21 A. Yes.
- 22 Q. And then if you turn further back -- actually, about
- 23 three pages back, you will find a chart that says, Part 5,
- $24\,$ $\,$ exposure to non-Grace asbestos containing products.
- 25 Do you see that?

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- 1 A. I see that.
- 2 Q. And there are six pages of charts indicating the
- number -- the names of all of the defendants that were sued
- 4 on behalf of Mrs. Loreta Orem. Correct? Do you see those?
- A. I do see those.
- 6 Q. There is over at least 25, maybe 50 different companies
- 7 that were sued. Is that correct?
- 8 A. I see it.
- 9 O. And you see all the different information about her
- 10 father's work history and the alleged exposures he had,
- 11 correct? For instance, Armstrong World --
- 12 A. Wait a minute. There is another plaintiff in here. It
- 13 says, plaintiff, Leonard Toebe. Who is Leonard Toebe that's
- 14 in this thing?
- 15 Q. I have no idea.
- 16 A. Well, a bunch of these pages are Leonard Toebe's.
- 17 Q. Well, the first three are Loreta Orem, correct?
- 18 A. Yeah.
- 19 Q. And Loreta -- under Loreta Orem's exposure to non-Grace
- 20 asbestos containing products, it lists Armstrong World
- 21 Industries. Do you see that?
- 22 A. I am just looking at the whole thing here to see if this
- 23 is a duplicate of the other one with a different name on it.
- ${\tt 24} \quad {\tt Q.} \quad {\tt I} \ {\tt think}, \ {\tt if} \ {\tt you} \ {\tt just} \ {\tt look} \ {\tt at} \ {\tt the} \ {\tt Armstrong} \ {\tt categories},$
- 25 you will see that it's not a duplicate.

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- A. Under Leonard Toebe it says, Armstrong World Industries.
- 2 Plaintiff Leonard Toebe, T-O-E-B-E. And I am having a little
- 3 trouble finding Armstrong Industries here under Loreta Orem.
- 4 Maybe it's there and I missed it, but it looks to me like
- 5 it's Leonard Toebe.
- 6 Q. Doctor, could you turn your attention the first three
- 7 pages, please?
- 8 A. I am, but you just mentioned Armstrong Industries.
- 9 Q. I am trying to direct your attention to the listing of
- 10 Armstrong Industries under plaintiff Loreta Orem.
- 11 A. There you are.
- 12 Q. Do you see it?
- 13 A. Yup. I see it.
- 14 Q. It indicates, pipe covering, arm attempt cement, and
- 15 then, Anaconda Aluminum Plant, Columbia Falls, Montana,
- 16 ironworker eight hours a day, five days a week, from 1953 to
- 17 1970 intermittently. Correct?
- 18 A. I see that. Uh-huh.
- 19 Q. Then there are a number of other defendants listed, some
- 20 of which have actual approximations of the amount of other
- 21 exposures and the days they were exposed, and some are just
- 22 listed as defendants, right? Without any other information,
- 23 correct?
- 24 A. I see those.
- 25 Q. It's fair to say you did not have this information prior

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- 1 to classifying Mrs. Orem's mesothelioma as a mesothelioma
- 2 that was exposure due to Libby exposure, correct?
- 3 A. No. I did not have this information.
- 4 Q. Would this information change your opinion that
- 5 Ms. Orem's mesothelioma was due solely to exposure from Libby
- asbestos?
- 7 MR. HEBERLING: Objection. Mischaracterizes the record.
- 8 He did not say solely.
- 9 THE WITNESS: I didn't say solely. I said that what was
- 10 written about that was people that were associated at some
- time or another with Libby asbestos. So -- and that's what I
- 12 said. I didn't say anything about this one, because I did
- 13 know about some of the exposures, and some of them -- and the
- 14 other exposures are in the case reports. This one I was not
- 15 aware of.
- 16 Q. (BY MS. HARDING) So it's fair to say that the title of
- 17 your chart then should be changed, and it shouldn't read,
- 18 mesothelioma cases due to exposure to Libby asbestos, but
- 19 rather, should say, mesothelioma cases associated --
- 20 MR. HEBERLING: Objection. Unclear what "due to" may
- 21 mean.
- 22 Q. (BY MS. HARDING) -- mesothelioma cases where Libby
- 23 asbestos is somehow associated with the individual?
- 24 A. You -- I guess you could say mesothelioma cases
- 25 associated with exposure to Libby asbestos. You could say

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- 1 that.
- 2 Q. Is that a more appropriate heading for the chart?
- 3 A. I don't know. What is "due to" is a legal term.
- 4 Q. Well --
- 5 A. I am not being facetious. Actually, I would like your
- 6 opinion on that.
- 7 Q. Doctor, this is a chart that you produced as your -- your
- 8 relying on materials in this case.
- 9 A. I didn't put the title on there. I produced most of the
- 10 chart here, yes. Most of it. In fact, almost all of it.
- 11 $\,$ Q. Did you review the title of the chart?
- 12 A. Probably not.
- 13 $\,$ Q. $\,$ Did you use the words "due to" in the body of your $\,$
- 14 report?
- 15 A. I don't think I did, no. I used the words "associated
- 16 with it." Would you like these back?
- 17 Q. Thank you.
- 18 A. There you go.
- 19 Q. A few other questions about the chart. Mr. Pederson?
- 20 A. Yes
- 21 Q. How did you -- again, I think you explained that
- 22 Mr. Black was --
- 23 A. Dr. Black.
- 24 Q. Dr. Black. I am sorry. Dr. Black was an acquaintance of
- 25 Mr. Pederson, possibly?

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- A. Was what?
- Q. Mr. Pederson, No. 22 on the list?
 A. He was what? I didn't hear you.
- 4 Q. I can't recall. I think -- thought you said he was an
- 5 acquaintance?
- 6 A. I don't know he was an acquaintance, but I think he knew
- 7 about him from some way or another. I know he called his
- 8 $\,$ family and his doctor and talked over the history and all
- 9 with them. That, I do know.
- 10 $\,$ Q. That Dr. Black got his history from his family doctor and
- 11 his family. Is that fair?
- 12 A. I think so. And got the death certificate and the path
- 13 reports and all.
- 14 Q. This is the -- you can mark that as the next exhibit.
- 15 (Ex. No. 8, marked.)
- 16 Q. (BY MS. HARDING) This is the death certificate for
- 17 Arnold Pederson from the State of Washington, correct?
- 18 A. Correct.
- 19 Q. And the last page of that is the exposure history
- 20 provided by you in this case relating to Mr. Pederson's
- 21 asbestos exposures, correct?
- 22 A. Right. And I have seen this before.
- 23 Q. It indicates that his exposure to Libby was vacationing
- 24 in Libby several weeks per year, beginning in the sixties.
- 25 Is that right?

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- A. That's right. Actually, I am not sure Brad actually knew
- 2 him, looking at that date. That could be in error. I know
- he talked to his family.
- 4 Q. They spent time fishing on the Kootenai River and
- 5 frequently around the vermiculite screening plant, which was
- a very popular fishing location, correct?
- 7 A. That's correct.
- O. That's the basis for the attribution of the mesothelioma
- 9 to Libby, correct?
- 10 A. Well, there is a little more than that. His wife has
- 1 pleural disease and is still a patient in the clinic. And
- 12 although he was in the merchant marine, his wife was not.
- 13 So, obviously, he had a very significant exposure in Libby to
- 14 Libby asbestos, if his wife has disease and they fished
- 15 together and they vacationed together up in Libby, because
- 16 she didn't have any other exposure.
- 17 Q. Well, it's possible that he could have had other asbestos
- 18 exposures, correct?
- 19 A. Possibly, I said, but more likely than not, it's related
- $20\,$ $\,$ to this exposure. There is no evidence there was any other
- 21 exposures.
- 22 MS. HARDING: Can we mark -- are you aware that
- 23 Mr. Pederson has also filed a lawsuit against other
- 24 defendants who are non-Grace defendants?
- 25 THE WITNESS: No. I am not aware of that.

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- (Ex. No. 9, marked.)
- 2 O. (BY MS. HARDING) If you look on exhibit -- what's the
- 3 title is Andrine Mary Jane Pederson. Actually, I should have
- 4 said it's been filed on behalf of Pederson individually and a
- 5 personal representative of the estate of Arnold N. Pederson.
- 6 Do you see that?
- 7 A. I do.
- 8 Q. It versus a number of defendants there. Do you see
- 9 those?
- 10 A. Yes. Lots.
- 11 Q. Saberhagen Holdings, Tacoma Asbestos Company, the Brower
- 12 Company, Bartells Asbestos Settlement Trust, General
- 13 Refractories Company, Georgia Pacific Corporation, Viacom,
- 14 Inc., and it goes on.
- 15 Do you see all those?
- 16 A. I do.
- 17 Q. And if you turn to page -- it doesn't have a page number.
- 18 If you go to the fourth page in, you will see specific
- 19 disease, mesothelioma.
- 20 A. Uh-huh.
- 21 Q. Do you see the military service from 1943 to 1946?
- 22 A. Yes.
- 23 Q. And it says that he was a carpenter, correct?
- 24 A. Right.
- 25 Q. And it indicates places of exposure: Seattle,

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- Washington; Los Angeles, California; and Libby, Montana.
- 2 Do you see that?
- 3 A. I do.
- 4 Q. And the dates of exposure are 1943 through 1979, correct?
- 5 A. That's correct
- 6 Q. So you would agree that it appears that Mr. Pederson had
- 7 other non-Grace occupational asbestos exposure, correct?
- 8 A. It would appear that way.
- 9 Q. You indicated that Dr. Black talked to the family members
- 10 of Mr. Pederson. Is that how he got the exposure information
- 11 that is put on the chart that Mr. Heberling provided?
- 12 A. Yes. Mr. Heberling's assistants may have obtained it
- 13 independently, but that's similar to what we have on the
- 14 chart for his wife.
- 15 Q. If you look at the back of the hard --
- 16 Would you mark this as Exhibit 10.
- 17 (Ex. No. 10, marked.)
- 18 Q. (BY MS. HARDING) Exhibit 10, it's interrogatory answers
- 19 in the cases Arnold M. Pederson versus Saberhagen Holdings
- 20 Inc., et al
- 21 Do you see that?
- 22 A. Right.
- 23 $\,$ Q. And these are the plaintiffs that would be the --
- 24 Mr. Pederson -- Mrs. Pederson on behalf of Mr. Pederson's
- 25 responses to the interrogatories. Correct? You were not

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- provided a copy of this information before -- or were you
- 2 provided a copy of this information before designating
- 3 mesothelioma as the mesothelioma due to exposure to Libby
- 4 asbestos?
- 5 A. I have not seen it.
- 6 O. Do you know if Mr. Black has seen it -- Dr. Black has
- 7 seen it?
- 8 A. I don't think he has seen it either.
- 9 Q. Do you know if Mr. Heberling has seen it?
- 10 A. I have no idea.
- 11 Q. If you look at Exhibit Appendix A?
- 12 A. What page is that?
- 13 $\,$ Q. $\,$ Toward the very end. It's maybe the fifth page from the
- 14 end.
- 15 A. Okay. I was looking through here real quickly to see
- 16 what was in it. Okay. Appendix A.
- 17 Q. Do you see the Asbestos Exposure History? That's the
- 18 title of Appendix A, Arnold Pederson Asbestos Exposure
- 19 History?
- 20 A. I see it
- 21 Q. You see under manufacturers, contractor suppliers,
- 22 correct? The fourth column over?
- 23 A. Yes, I do.
- ${\tt 24}\,-{\tt Q}.\,$ And some of the companies that are listed there are Napa,
- 25 Bestwall, Nat Gyp, Kaiser Gypsum, Georgia Pacific, USG,

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25

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Bondex, Hamilton, Paco, CertainTeed. mesothelioma. Whether it was a sole cause or not, there is You see all those, right?

Yes, I see those. I was not aware of these, and I don't

5 It's fair to say that Mr. Pederson had substantial, by his own allegations, substantial exposure to non-Grace

asbestos, correct?

think we were made aware of these at all.

MR. HEBERLING: Objection. Lack of foundation.

THE WITNESS: It's not really possible for me to 9

quantitate any of these, particularly, so I don't know. I 10

11 just don't know. There is no way to know.

(BY MS. HARDING) Would you still hold the opinion that 12 Ο.

Mr. Pederson's fishing trips to Libby would be -- well, you

14 say on the chart, due to exposure of Libby asbestos. I take

15 it you don't hold this opinion, correct?

16 A. Well, I think, in his particular case, I suspect it is

for another reason. First off is, it would appear that Libby 17

asbestos is far more mesothelioma-genic -- I just made that

19 word up, by the way -- than chrysotile.

20 Plus, his wife has asbestos pleural disease, and fairly

21 significant disease, was exposed -- was in Libby at the same

time but did not have any of his other exposures. And, so, I 22

didn't know about these other exposures, but even now, that I

24 doubt it's going to make any difference as far as my opinion.

Certainly, it was a very significant for -- in his

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no way for me to know. All I can do is report they are

associated with it.

Q. It's your opinion Mrs. Pederson could not have received

asbestos exposure from take-home dust brought home by

Mr. Pederson in all of his other jobs over the decades that

he was exposed to other asbestos?

No way that I can prove that, obviously, one way or the

other. All I can say is, the likelihood is, judging by that

she has pleural disease, that's fairly typical for asbestos, 10

for Libby, that in all likelihood, the majority of the

exposure that she had was asbestos-related, and it was 12

identical to his.

14 So, that's all I can say about it. What I am writing up

is about cases that are associated with Libby asbestos. 15

Q. And it's your opinion that the asbestos -- the exposures that are listed at Appendix A of the Exhibit 10 are all the

18 other non-Grace exposures that are listed there, would only

be exposures to chrysotile asbestos? That's your opinion?

2.0 A. No. I have no idea. No way for me to know that.

21 I just want to make sure I understand. So, you have got

22 numerous cases throughout your reports and on your exhibits where you attribute asbestos disease to exposures from

24 take-home, or take-home dust exposures, correct?

25 Yes. Α.

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United States?

changes.

States?

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changes that might be caused by exposure to amosite in the

particularly in the nature of its rapidly progressive

would be caused by exposure to crocidolite in the United

or any reports that I come upon that show that there is a

exposure with crocidolite that we, apparently, are seeing

You know, it's hard to say absolutely on things like

rapidity of changes, and the extent of disease with low

As far as I can tell from the literature, yes. And

And it's different than exposure -- than disease that

Probably. There isn't any significant number of reports

So it's your opinion it's different than pleural-related

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From the Libby mine, correct? 1 Ο.

Yes, correct.

3 And it's your opinion that Mrs. Pederson could not have

been exposed from take-home dust from Mr. Pederson's other 4

asbestos-related jobs over his decades of work?

MR. HEBERLING: Objection. Asked and answered.

THE WITNESS: I said it was quite less likely.

8 Particularly since the changes that she has got in her x-ray

are very typical for Libby asbestos. We already talked about

the pleural disease that people have. 10

(BY MS. HARDING) And the typical Libby asbestos disease, 11 Ο.

is it your opinion that that disease is different than

asbestos -- than a disease caused by chrysotile, or is it 13

14 your opinion that it's different than disease caused by any

other asbestos exposure?

A. Well, it's clearly different than what's caused by 16

17 chrysotile. It's different than what else has been reported

in this country associated with whatever amphibole exposures 18

19 there have been. It's different from that.

Whether it's overall different than what's been reported 20

in South Africa and Australia is a little bit unclear. There 21

is some stuff that suggests it is, based upon talking with

the people in Australia, we have talked to one on one, and

what's in the literature, but that remains a little bit to be 2.4

seen. I don't know for certain about that.

that, because there may be cases that have never been

here in Libby. So it probably is different.

reported, or things that have not been written up, or are

being written up, but as far as we can tell from the 18

literature, it's a far more aggressive type of asbestos as it 19

relates to pleural disease.

21 What particular cohorts in the United States have you

compared to the disease that you have seen in patients in

Libby? 23

2.4 Α. Well, there is a lot written about -- and I read most of

it -- about amosite in insulation workers on the east coast,

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2.0

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Α.

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and some of it has been things that Selikoff and Levin have

written of. Actually, things Arthur Frank have written of.

much more pleural disease associated with it.

They are the ones that have written the paper.

papers, who do you mean?

Levin and Frank.

Dr. Selikoff study?

ever seen with amosite.

he has done. He trained Selikoff.

And this appears to be -- have a more rapidly progressive and

looked at it, and they have been out to Libby and looked at a

So, that's where a lot of that opinion comes from.

Q. And what particular studies are you talking about when

you say you have compared them to the workers that -- for

A. Levin has been involved with this, with Selikoff, in

on insulation workers, mostly in the New York area, New

doing all the insulation workers, and they have a huge study

Jersey. And it was Steve's opinion that this was -- the kind

And that, basically, is from the basis of the work that

of stuff that we were seeing here was different than he has

instance, the shipyard or insulation workers for the

When you say, they are the ones who have written the

lot of our stuff, and they have come to the same opinion.

And that was their opinions also, by the way, when they

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VIDEOGRAPHER: This will conclude Tape No. 5. The time

2 is now 3:23 p.m.

(Recess taken from 3:25 to 3:33.)

VIDEOGRAPHER: This is the continued videotaped

deposition of Dr. Alan C. Whitehouse and Tape No. 6. The

date remains to be October 18, 2007. The time is now 3:33

n.m.

(BY MS. HARDING) Dr. Whitehouse, your opinion about

Mr. Pederson's mesothelioma, as I understand it, is, in part,

based upon your opinion about the disease that Mrs. Pederson 10

11 has, correct?

In part, it is, because it looks like typical Libby 12

asbestos pleural disease.

14 0 Is Mrs. Pederson a patient at the CARD Clinic?

15 Α. Yes.

Is she -- are her records -- have her records been

17 produced in this case?

18 I don't know. I have no idea.

Is she a client of Mr. Heberling's? 19

I doubt it. Although, I don't know, maybe she is. 2.0

21 You have indicated --

You should ask him 22

You indicated that she has been accepted into the Libby

24 medical plan, correct?

25 I understand that's the case. Α.

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MS. HARDING: All right. We will take a break.

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And are you currently treating her? Ο.

No. Dr. Black is.

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Dr. Black is. And what, specifically -- what specific

disease does she have?

She has asbestos pleural disease.

Does she have pleural plaque, does she have diffuse 6 Ο.

8 I think she has diffuse pleural thickening. It's been a

long time since I have seen her x-rays. Brad showed it to me

when I started to work this up last spring. It was clear

what it was, and I don't remember. It was more than just 11

plagues, though.

13 How long -- does she have declining pulmonary function? Ο.

14 I can't answer that. I don't know. Α.

Q. How long has she been a patient of the CARD Clinic?

MR. HEBERLING: Objection. This line of questions 16

17 relates to somebody who probably is not a Libby claimant, so

I don't think he is at liberty to discuss her case. She is

19 not a client in our office.

MS. HARDING: Well, Dr. Whitehouse has identified her and 20

her disease as being something that he is relying upon, in 21

part, for reaching his opinion about her husband's disease.

He is the one that said it. I didn't know she was a patient.

2.4 I didn't know she was anything else. So --

25 MR. HEBERLING: I will try to get her permission that her WR GRACE BANKRUPTCY ALAN C WHITEHOUSE M.D. October 18, 2007

medical records be used.

THE WITNESS: In short, I can't answer your questions

because I am not that familiar with it.

MR. HEBERLING: She might be a client of Tom Lewis, but I 4

don't think she is a client of our office.

(BY MS. HARDING) Do you know when the Pederson's moved Ο.

When they moved to Libby? They lived in Libby in the

sixties. They live in Spokane now. I don't recall when they

left there, though. I don't know that. I know they moved to

Spokane. 11

They don't live in Libby now?

13 No

If you could turn to -- Dr. Whitehouse, I am going to 14

have this marked as the next exhibit. I think it might be

16 11. but T am not sure.

(Ex. No. 11, marked.)

(BY MS. HARDING) You have cited this abstract from Dr.

19 Bruce Case as reliance materials in your report, correct?

20 Uh-huh.

And this is entitled -- you cited CL Case 2006, 21

Mesothelioma Update For Libby, Montana Occupational and

Non-Occupational -- do you see that -- lung cancer, Volume 23

2.4 54:S 110.

25 Do you see that?

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- What was the latter part of that? I have the abstract
- here. No. 38, and you said something about "colon No. 10 or
- I might have misread it. What's the title? 4 ο.
- Mesothelioma Update For Libby, Montana Occupational and 5
- Non-Occupational.
- Okay. And this is an abstract from the presentation that Ο.
- Dr. Case provided somewhere. Is that right?
- 9 Α. Yes
- Were you present at the presentation? 10 Ο.
- 11 No, I was not. Not at that one.
- 12 Was Dr. Black present at the presentation? Ο.
- A. I am not sure whether he was or not. We were both there
- 14 at the -- this was the American College of Chest Physicians
- 15 in Seattle, in October of '06. Is that what it is? Or was
- this a session associated with Marv?
- I don't know. It was cited in your report. I don't 17 Ο.
- know.
- 19 A. Okay. Let me double-check it, then. What page is it
- 20 cited?
- 21 Q. It would be reflected in your report, correct, wherever
- 22 it's from?
- A. It doesn't matter where it's from.
- Q. I want to ask you a quick question about it. In
- 25 Paragraph 2 it says, the methods. You see Paragraph 2 says,

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- methods?
- 2 A. Uh-huh.

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- O. Then it says, case data for mesotheliomas in Libby was
- obtained from three sources?
- Yes. Α.
- A, published McDonald, McGill follow-up studies,
- W.R. Grace. Do you see that?
- The information from attorneys representing mesothelioma
- 10 victims. Do you see that?
- 11 Yes, right.
- Third is C. Dr. Brad Black on case numbers occupational. 12
- non-occupational origin, and basis of diagnosis at CARD
- Clinic in Libby. 14
- 15 Do you see that?
- A. Right.
- 17 Do you know whether any of the information that was
- 18 provided by Dr. Case in connection with this presentation --
- or do you know what information provided by Dr. Case in this
- 2.0 presentation was provided by Dr. Black?
- 21 MR. HEBERLING: Objection. Lack of foundation.
- 22 THE WITNESS: No. I do not know how much he provided.
- And I know that there was concerns about some significant
- 24 errors in this. And --
- (BY MS. HARDING) I am sorry, there were concerns about 25

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- significant errors --
- Significant errors in what he presented. I don't know
- all the details, how he got all this information. We were
- not happy about it. 4
- We, meaning you and Dr. Black?
- Well, this sort of -- you know, he -- I never talked to 6
- him about this at all. I guess Dr. Black did. But there is
- 8 significant errors in it, and that I do know. And neither of us were happy of this presentation when we knew we were going
- to have to write up our stuff. We were going to do -- do a
- fair amount of work in the process of doing that. 11
- So he sort of is not really associated with Libby at all.
- 13 And I am not sure how he got all of this stuff, and I know
- 14 there are significant errors in it.
- Q. Did you know that Dr. Case was originally named as an
- expert in this case on behalf of the Libby claimants, but was 16
- 17 subsequently withdrawn?
- 18 A. Yes, I am aware of that.
- 19 O. Do you know why he was withdrawn?
- Not really for sure. I really don't. I think that I 20
- personally was not happy with his being involved, and 21
- particularly concerning many of his stands concerning
- asbestos. Particularly as far as chrysotile. 23
- 2.4 Well, no. We might as well get right down to the
- nitty-gritty now, as I think about it.

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- Canada remains one of the largest exporters of chrysotile 1
- in the world, and he has been a proponent of that not causing
- any disease at all, and we just didn't want to be associated
- with that. That's basically what it amounts to.
- And that's why he was no longer --
- Α. Yeah.
- -- he was withdrawn as a testifying expert on behalf of
- the Libby claimants?
- MR. HEBERLING: Objection. Asked and answered.
- THE WITNESS: I don't know exactly why he was withdrawn.
- Okay. I do know that was my opinion of him. Okay? And I did not want to particularly be associated with him because
- of that stand that he has taken for the Canadian government. 13
- 14 Okay?

11

- So, whether I influence other people with that or not, I 15
- 16 don't know.
- Do you know whether Dr. Black provided Dr. Case
- information on Mr. Pederson?
- 19 A. I do not know.
- 20 And do you know whether --I have no idea what Dr. Black told Dr. Case. 21
- So, then, you wouldn't know whether or not he provided
- information on Ms. Orem either, correct? 23
- 24 Α. No. I have no idea.
- A quick question about -- I think it's Exhibit 9, which

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- were the interrogatory answers that were apparently submitted
- 2 by Mrs. Pederson.
- Uh-huh.
- 4 Ο If you will look at the second page of that document?
- Do I have it here?
- Yes. Exhibit 9.
- I am sorry. I didn't realize I still had it. Go ahead. Α.
- You will see on the second page, under marital status and
- 9 children, question D asked the present general state of
- health of decedent's spouse and each child? 10
- 11 MR. HEBERLING: Objection. He has the wrong exhibit, or
- 12 you two aren't communicating for some reason.
- MS. HARDING: It's the interrogatories. Do you have the
- 14 interrogatory answers?
- 15 THE WITNESS: No, I don't. This is the complaint, I
- think. I think I gave it back to you.
- MS. HARDING: I think we marked them, didn't we? 17
- 18 MR. HEBERLING: Yes, you did.
- THE WITNESS: That is not it, is it? 19
- MS. HARDING: No. I don't think so. 20
- 21 (BY MS. HARDING) Looking at Page 2, under marital status
- and children, 2D, the question is, present general state of 22
- health of the decedent spouse and each child listed above,
- or, if deceased, the date and cause of death. 24
- 25 Do you see that?

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- Yes. A.
- Ο. The answer A is Andrine Mary Jane Pederson. Do you see
- 4 Δ Yes
- And then the answer to question D is, Mrs. Pederson is
- currently on blood pressure medication. Her lower back goes
- out and she has been going to a chiropractor. She also
- suffers from lung problems and is on Advair.
- 9 Do you see that?
- A. I do. 10
- 11 And Advair is a medication for asthma, correct?
- Well, not necessarily just for asthma. Advair is also 12
- approved usages for any kind of broncho spasm associated with
- COPD, and we have had some patients that have responded to 14
- Advair that have improved their breathing on it. So, that's 15
- why we use it. And it's off label usage.
- Q. Off label usage for treatment of COPD? 17
- 18 No, just off label usage for treatment of their asbestos
- problems. If their dyspnea, their shortness of breath
- responds to it. Which is perfectly acceptable, medically, to 2.0
- 21 do that.
- 22 So you used Advair to treat patients with
- asbestos-related disease?
- 24 We do when we demonstrated that it improves their
- 25 breathing.

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- Okay. I have some questions I would like to ask you 1 Ο.
- about your progression study.
- 3 Α. Okay.
- While we are looking for the study, Dr. Whitehouse, you 4
- authored an article, Asbestos-Related Pleural Disease Due to
- Tremolite Associated With Progressive Loss of Lung Function.
- Serial Observation in 133 Miners and Family Members and
- 8 Residents in Libby, Montana?
- That's correct.
- American Journal of Industrial Medicine, 2004? 10
- That's right. 11 Α.
- And this article, as its title indicates, relates to loss
- 13 of lung function in individuals who have pleural disease,
- 14 correct?
- A. That's correct.
- O. And not interstitial fibrosis caused by asbestos. 16
- 17

21

- Predominantly, pleural disease. Some of those people had 18 Α.
- small amounts of interstitial disease. Generally, zero one, 19
- though. There was small amounts of interstitial disease in a 20
- number of people in there, and that's reported in the paper. It's reported in the paper that there were individuals
- with some interstitial disease, but it's not reported in the
- paper what the extent of it was, correct? 2.4
- A. No. I think it is. Somewhere, you will find it in there

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- that it was --1
- Q. Page 221 says, the remaining patients, 56.
- So 56 of the 123 --
- Right. 4 A.
- -- had minimal radiographic evidence of irregular
- interstitial changes involving the bases at profusion
- category zero/one or one/zero.
- Я A. That's right.
- But the ratio of that, of whether they were zero/one or
- one/zero, or how many had zero/one, or how many had one/zero
- 11 is not reported, correct?
- It wasn't listed there, no. It wasn't important for the
- paper. 13

24

- 14 It wasn't important for the paper for you to make it
- transparent how many of your patients had a reading of
- 16 one/zero on a chest radiograph?
- I don't think so. I think what we -- you know, it was
- relatively minimal disease. Okay? And it was at extreme
- 19 bases, and it was not felt to be a significant factor as far
- as their asbestos disease at that point in time. And a lot
- 21 of it probably wasn't even asbestos-related.
- Q. I am sorry. A lot of what wasn't asbestos-related?
- 23 Some of those interstitial changes. One of the problems with interstitial disease, when you read it, if you see
- people that have been heavy smokers in the past or people

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- that have had maybe a lot of respiratory infections as a
- child, you may see some scarring in the base. It's clearly
- there. And you can't call -- you have to call that as far
- as, possibly an asbestos abnormality, but many of those may
- 6 And he didn't have the typical pictures of rales and
- things like that, that you have with interstitial disease.
- So I think that was an appropriate call.
- 9 Q. Previously in the day, you testified that the individuals
- that you treat with disease in Libby, you believe, have a mix 10
- 11 of pleural and interstitial changes, and it's difficult to
- determine which is which. 12
- A. No. You very much mischaracterized what I said. Okav?
- What I said is, Libby is predominantly a pleural disease, 14
- but we have discovered, particularly in the last few years, 15
- that many of these people have subpleural fibrosis, and that
- it may be a continuum that goes from pleural disease to 17
- subpleural fibrosis, interstitial disease. That's what I
- 19 said
- 20 O. Okay. And as I understand what you are saying here is
- 21 that you had 56 people that had zero/one or one/zero, which
- indicate -- the one/zeroes at least would indicate that they 22
- have radiographic changes consistent with asbestosis,
- correct? 24
- 25 A. They have radiographic changes that might be related to

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- asbestosis. The diagnosis of asbestosis is made on multiple
- 2 factors.
- But that for those people in your progression study, you
- believe that many of them that have one/zero, their
- interstitial fibrosis wasn't caused by asbestos; it was
- caused by something else?
- A. Either caused by something else or it was minimal enough
- in total extent that it was not significant.
- MS. HARDING: Could we mark that, please? That's a copy
- of the article. 10
- 11 (Ex. No. 12, marked.)
- (BY MS. HARDING) A few questions. Did you submit the 12 0.
- article to any attorneys for review or comment before it was
- published? 14
- 15 Α. To attorneys before it was published?
- Mr. Heberling had looked at a draft of it. A number of 17
- 18 other people had reviewed it also.
- Okay. Other than Mr. Heberling, who else previewed it? 19
- 20 A. Attorneys? No other attorneys.
- 21 Other individuals that weren't with Mr. Heberling?
- I think probably Dr. Miller looked at it, at one point in 22
- time, and Jim Lucky was available to me for a lot of
- 24 consultative advice on dealing with that.
- 25 There are no -- you don't report any standardized

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- mortality ratios in this study, correct?
- None at all.
- 3 And you don't have any -- you don't report any relative
- risks, correct? 4
- Any what?

11

2.4

- 6 O. Relative risk?
- No. I am reporting in there observations on patients
- 8 that I saw who came to me, who had return appointments, and
- everybody that had an asbestos disease had a return
- appointment. And, so, they were seen within several years. Some, longer than that, when they had a second pulmonary
- function, or sometimes there were more. The last one was
- 13 taken along with the first one, on a random basis, for
- 14 incorporation in the study.
- And those charts that you have in that green file reflect 15
- the ones that were used. Okay? And you will also note that 16
- 17 there was a study done on Embril (phonetic), which was
- probably -- which was not disclosed in that there because of 18
- 19 confidentiality from Imunex when I did that.
- 20 If they went on Embril, that was the last pulmonary
- function before they started on Embril was the end of the 21
- study. I didn't take any of the Embril study. I didn't know
- what was going to happen with Embril on these people, so I
- didn't want to skew the study having another variable to it.
- O. I want to ask you to try to direct your answer to my

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- questions. 1
- A. I knew you were going to get there eventually, so I might
- as well help you get there quicker.
- I do want to ask you a few things. In your paper, you
- identified an annual decline in DLCO amongst the patient
- coworkers of three percent?
- Я You believe the DLCO measurement is a particularly
- important indicator of restrictive disease in the Libby
- tremolite disease patients, correct?
- A. That's correct. 11
- And you believe that this level of decline constituted
- 13 rapid progressive loss of lung function, correct?
- 14 More than you would expect, and more than previously
- reported. There has been a subsequent report by Alfonso showing -- pretty much mirroring that. I think his was 2.2 16
- percent per year.
- And what we see in the Libby cohort since then very much 18
- 19 mirrors that. It's continuing to go on, at least that
- same -- maybe even a little bit faster than that, but that
- 21 same -- roughly, same rate. We haven't done the statistics
- on that. It continues to go up in Libby with the whole
- 23 group.
- 24 Ο. When you say the cohort, you mean the 123 people that you
- studied in this group?

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- A. No. I am talking about the whole 1,500 of them would.
- $2\,$ $\,$ We have a significant portion of those that are losing their
- DLCO at very similar rates.
- 4 Q. You have a new analysis on the --
- 5 A. No. We haven't done an analysis.
- Q. Let me finish my question.
- 7 A. I am sorry. My apologies.
 - Q. Do you have a new analysis on a different set of
- 9 patients?
- 10 A. No. I haven't done the analysis. I observe what's going
- 11 on. I record on the pulmonary functions what the losses have
- $12\,$ $\,$ been from time to time, and sometimes from the very beginning
- 13 until that time, and that's an observation both Dr. Black and
- 14 I have made, and something we will get written up again as a
- 15 follow-up to that paper.
- 16 Q. And what you are indicating is that you observe in your
- 17 patients, in all of your patients, this decline in lung
- 18 function?
- 19 A. Not all. We have, basically, three patient populations.
- 20 We have a group of patients that have relatively minimal
- 21 disease, that are staying the same. We have a group of
- 22 patients that have had rapid declines and then have leveled
- 23 off. And another group that gets significant declines that's
- 24 not quite so rapid, but it continues on. And then we have
- $\,$ 25 $\,$ had a few that have rapidly declined and gone on to death.

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- So we are seeing a mix of all different types.
- 2 O. The people that rapidly progress and progress to death,
 - we discussed in Exhibit No. 4, correct?
- A. We have, correct.
- 5 $\,$ Q. The people that are not progressing, that have minimal
- 6 disease, as you have described it, how many, approximately,
- 7 of the approximately 1,500 patients at the CARD Clinic,
- 8 approximately how many of them have this minimal disease
- 9 that's not progressing?
- 10 A. I knew you were going to ask me that. I am not sure I
- 11 can answer it because I don't really know the answer. It's
- 12 probably around 400 or 500 that are stable, at this point in
- 13 time. There appears to be correlation with length of time
- 14 from their exposures. Some of those are more recent
- 15 exposures, and you wouldn't have expected them to be
- 16 progressing at this point.
- 18 is done, and we will be able to answer all those questions.

I think you are going to have to wait until the database

- 19 We can't really answer them -- or I can't -- accurately at
- 20 this point.

17

- 21 O. Okay.
- 22 A. I mean, I can give you my impressions of what I think is
- 23 happening, but I can't give you any statistics on it.
- 24 Q. Okay. In Johnson v. Grace, a while ago you testified
- 25 that an individual's diffusing capacity was normal. And I am

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- going to read your testimony, if you -- tell me if you still
- 2 agree with it.
- 3 It is within the limits of variation in our laboratory
- 4 diffusion, the two years is within, are both normal and they
- 5 are within the limits of variation that we might see in the
- 6 laboratory. She was 94 percent last year, 86 percent this
- 7 year, both of which are in the normal range, both within two
- 8 standard deviations of the norm.
- 9 Now, that's not -- that's inconsistent with the
- 10 statements you made about progression in this particular
- 11 paper, correct?
- 12 A. True. Except that when you are dealing with a large
- 13 number like that, you have created your own bell-shaped
- 14 curve. And, so, if you look at a large number and compare
- 15 the columns side by side, even if there is that variation
- $\,$ 16 $\,$ within diffusion capacity that occurs, you have eliminated it
- 17 because of the large number.
- I am not sure I can explain that to you statistically,
- 19 except I know that's the case. And, so, large numbers
- 20 eliminate the variation, test to test, and the pattern of
- 21 looking at the patients was always the first one I had and
- the last one that I had.
- 23 Q. With respect to --
- 24 $\,$ A. $\,$ The last one I had prior to when I ended the study, or
- 25 something else happened.

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- 1 $\,$ Q. With respect to the individuals in the study, though,
- 2 your testimony that you gave earlier with respect to what's
- 3 within the norm is still valid, correct?
- 4 A. Yeah. I think it is. I think we usually consider
- 5 diffusion about plus or minus two or three.
- 6 Q. And the American Thoracic Society has articulated that
- 7 annual loss of lung function that is less than 15 percent is
- 8 not clinically significant. Correct?
- 9 A. Less than 50 percent?
- 10 Q. 1

17

- 11 A. 15 percent. I very much would disagree with that,
- 12 because, you know, just with clinical experience. You start
- 13 losing lung function at ten percent per year, you are dead in
- 14 three years, four years. And that happens to people,
- 15 generally, that have fairly significant disease. So I
- 16 wouldn't agree with that statement at all.
- 18 you ascribe -- you make your diagnosis of asbestos-related

Okay. In your report, though, you have indicated that

- 19 disease in accordance with the standards set out by the
- 20 American Thoracic Society, correct?
- 21 A. That's correct.
- Q. On the FEV1, the FEV1 measurement correlates with loss of
- 23 lung function better than any other measurement, correct?
- 24 A. No.
- 25 Q. No?

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1 A. No.

2 Q. You haven't previously testified to that?

3 A. I don't think so.

4 Q. Okay.

5 A. If it was, it was some sort of a misspeak, but I don't

6 think I have ever testified to that.

7 I look at everything. We look at individual patients and

8 not correlation in large groups, except in a paper like that.

9 Q. Okay. You did not report the FEV1 data in your study,

10 correct?

11 A. No. The reason I didn't was twofold.

12 Q. I just asked if you did or you didn't. Right?

3 A. Fine. That's fine.

14 Q. But I think you discussed some of the reasons you

15 haven't. I really want to try to get through some of this.

16 You didn't report it, and the reason I ask is because you

 $17\,$ $\,$ cited a number of papers in support of your methodology in

18 your report, in your paper, but in each of the articles that

19 you cited, those authors all reported the FEV1 measurement.

20 Correct? Have you gone back and looked?

21 MR. HEBERLING: Objection. Misstates the article and the

22 testimony.

THE WITNESS: For your information, the FEV1 exactly

24 paralleled the FEC, and there was no point confusing the

25 issue by reporting too much. And that's in the paper

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1 somewhere

2 O. (BY MS. HARDING) There were 123 individuals in your

3 published longitudinal study, correct?

4 A. Right.

5 Q. You didn't compare -- you didn't use a control group,

6 correct?

7 A. No. They were their own controls.

8 Q. You didn't compare the rate of loss of lung function in

9 the 123 patient study group with the loss of lung function $\,$

10 experienced by a similarly situated cohort without tremolite

11 exposure, correct?

12 A. That is done by using percent of predicted, which are

13 well established norms for rate of lung function loss. So if

14 you lose pulmonary function at a rate greater than, say, the

15 percentage that you began with, that is an overall loss as

16 compared with what would have been predicted as you aged.

17 $\,$ It's all downhill after age 18. So you have to use

18 percentage of the predicted in order to determine whether or

19 not they are losing over and beyond what would be expected

20 with aging.

21 $\,$ Q. Dr. Whitehouse, Dr. Becklake is an author and scientist

22 that you quoted previously in your reports, correct?

23 A. Yes

 ${\tt 24}\,-{\tt Q.}\,$ And you are aware of Dr. Becklake's statement in his

25 previous work that, "Although in a longitude -- in longitude

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study, each subject is supposed to serve as his or her own

2 control, there was a general agreement that a well designed

3 longitudinal pulmonary function study of the effects of a

4 repetitive hazard must include and explicit carefully

5 selected control group."

6 You are aware that that's what Dr. Becklake has said?

7 MR. HEBERLING: Objection. That's awfully fast, and it's

8 $\,$ in context. I think it would be fair to show the witness the

9 article and the statement.

10 Q. (BY MS. HARDING) Are you aware of that statement?

11 A. I am not aware of that exact statement, the way it's

12 $\,$ written, but I have seen that kind of a statement before.

13 This study was a well-designed study on a specific group of

14 people, measuring what happened to them compared with

15 predicted numbers over a period of, what, 35 or 36 months

16 average. There is nothing wrong with that plan.

17 It may not be what Becklake writes about, but that wasn't

18 what I was quoting Becklake for.

19 Q. But you agree, you did not follow that particular study

20 design, correct?

21 A. No. I am sure there is many study designs I didn't

22 follow.

23 Q. Now, you -- in connection with your supplemental reports,

24 you have actually indicated that there are certain statements

25 in this paper that should be changed, because they were --

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1 they were stated incorrectly in your published paper.

2 Correct?

3 A. I think there is two of them. They are relatively

4 minimal and not very important. Whatever.

5 Q. The statement that the subjects are representative of the

6 Libby area population and the practice group of 491 patients

7 was changed in your report --

8 A. In a sense.

9 Q. Can I finish the question, please?

10 A. Sure. Go ahead.

11 O. -- was changed to read that these subjects are

12 representative of the Libby area (asbestos disease)

13 population and the practice group of 491 patients.

14 That's a correction that you made in your -- in one of

15 your supplemental reports, correct?

16 A. Supplemental report to you?

17 Q. Yes.

18 A. That's true.

19 Q. So, what you originally stated in your paper was not

20 correct?

21 A. No. It was probably understated, basically. Yes.

Q. Also, in Whitehouse -- you have also indicated that in

23 your paper -- actually, on Page 220, you state in your

24 published paper that the same technician was used throughout

25 the entire period?

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That was in error.

- I understand it was in error. But why did you report 2 Ο.
- that in your paper?
- Well --4 Α.
- 5 What I mean is, what's the importance of having the same
- technician throughout the entire process?
- Well, basically, it should have read that the same Α.
- technician supervised the entire laboratory, with the
- 9 exception of a couple studies that were done up in Libby. It
- would have been a more accurate statement in there. 10
- 11 I have had one technician that's worked for me for
- 24 years, until I retired, who basically looked after the 12
- entire lab and was there every day, and anything that was
- 14 done by anybody else, she supervised.
- 15 So, yeah, you are right. That's a misstatement. I am
- not quite sure how I arrived at that in the process of doing
- 17 i t
- 18 On the other hand, I was trying to take care of lots and
- 19 lots of patients at the same time I am writing a paper like,
- 20 and that's difficult to do.
- 21 Were you reviewing the PFT tests at the time you were
- 22 writing the paper?
- A. Was I doing what?
- You had the PFT tests of your patients at the time you
- 25 were doing the paper, correct?

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Yes. Α.

And the individuals who take the test are written on

- 4 Α. Yes
- -- on the papers, correct? ο.
- I probably didn't even pay much attention to that as I
- was doing it.
 - So your testimony is, at the time you were writing the
- paper, you did not know about all the different technicians
- that actually administered your PFT tests. Is that right? 10
- 11 I wasn't paying any attention to it, obviously. I mean,
- I was well aware that we were getting reliable results. I 12
- have run pulmonary function laboratories since 1965, and, so,
- I am quite well aware of them. We have good studies and bad 14
- studies. 15
- Are there any other corrections that you need to make to
- the paper at this point, Dr. Whitehouse? 17
- 18 None that you don't already know about, people have
- written about. I haven't made any other corrections, no. 19
- 20 There are other misstatements in the paper, correct? Ο.
- 21 Any other misstatements in the paper?
- Yes. 22 Ο.
- I don't think there is any misstatements, no.
- 24 Well, you indicate that you removed patients that had the
- 25 presence of a significant non-asbestos-related condition such

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- as sarcoidosis or congestive heart failure. Correct?
- A. That's not really a misstatement. There is all forms of
- sarcoid. In fact, many of the people that we have with
- sarcoid up there are absolute quiescent. It doesn't affect 4
- their lung function at all. If congestive heart failure is
- totally under control, there is no reason why I would remove
- it, as long as it didn't particularly change during the
- 8 course of the thing. There is no reason to say that's a
- misstatement.
- Even though you didn't remove some patients that had 10
- those conditions, correct? 11
- A. But I did remove any of the active sarcoids in people
- 13 that -- people that had bypasses between first and second
- 14 study, they were removed. Unfortunately, I cannot provide
- you with the 130 that were removed, because they were removed
- from that box of charts there, and I don't even know who they 16
- 17 are now, at this point, because of that. And they were
- 18 removed in Libby.
- 19 O. There is also an indication that, on Page 220, that
- patients were either referred by internists and family
- 21 practitioners or were self-referred?
- A. That's correct.
- So, none of your patients in the study were referred by 23
- 2.4 Mr. Heberling?
- A. I don't think they were. Not in that study. Those

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- patients were patients that most of whom I had been seeing
- for quite a while. It's conceivable they did, but they,
- basically, came on their own volition. They may have talked
- to a lawyer beforehand. I don't recall that. But they were
- -- these were people that -- most of the people there were
- people that I had been seeing for quite a while.
- You reported in your paper that they were all
- self-referred. Correct? A. Pretty much they were. Yeah.
- The patients were either referred by internists and
- family practitioners or were self-referred. Correct? 11
- That's true. And if there were people that the lawyers
- had told us to see, they still came on their own volition. So I still consider that a self-referral. I didn't get 14
- referral letters from lawyers sending patients to me at all.
- 16 This is a document that we originally received from the
- CARD Clinic in connection with one of the first productions. We can mark that as Exhibit -- wherever we are.
- 19 (Ex. No. 13. marked.)

13

- (BY MS. HARDING) This was in connection with the first
- production that -- I think the CARD Clinic actually made the 21
- redactions on the paper of the medical information.
- 23 Do you recall the process?
- I recall that they had a scanner up there, and they were 24
- redacting charts like mad. And now you are telling me that

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ALAN C WHITEHOUSE M.D. October 18, 2007 Page 205 you did not have the charts that are in that box there. How come there is a number 86 on there? No. I think I have actually said the opposite. I think I told Mr. Heberling, I think we do have the charts, that we subsequently have received them. We have electronic versions of the charts. MR. HEBERLING: You told us before the deposition that you wanted to make arrangements for an extra hour or so. 9 continuing the deposition, because you didn't have those. MS. HARDING: That's not what I said. I said I wanted to 10 11 review them so we could determine whether or not we had them. We have electronic copies. So without seeing the hard 12 copies, we couldn't tell for sure whether we had them or not. 14 That's why I suggested we discuss it off the record. We reviewed them and determine whether we have them or not. 15 16 MR. HEBERLING: Now you are saying that you have them? MS. HARDING: I don't know what's in that box. Right? 17 18 THE WITNESS: What's in that box are 123 records labeled 19 with a number like that in them. Exactly like that. Q. (BY MS. HARDING) And, Dr. Whitehouse, I have no idea 20 21 what's in that box because I have never seen it before. What we have are electronic copies of your patient records, which 22 we have. 24 MR. HEBERLING: So you determined at lunch you have seen

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Page 206 MS. HARDING: No. I haven't looked at them yet. Can we have the discussion later? MR. HEBERLING: Either you have seen them or you haven't. MS. HARDING: I want to stop this discussion now, and I would like to continue the questioning. And if there is an issue about documents, we will discuss it later. I have -- I would like to continue the questioning, please, unless we want to take the time out. 9 THE WITNESS: I will give you a few more minutes at the end of this, then, to continue so I can say something about 10 this. Okay? Those things weigh probably what do you think they weigh? I think this weighs 50 or 60 pounds we drug down 12 there. There are 30 of them that are missing out of that box. Okay? I took them up to Libby in order, left them 14 15 there for Dr. Haber to get copies of. Okay? 16 You obviously copied them because you have this here. I haven't looked at them since. I drug them back home about 17 18 three or four months ago and stuck them in my workshop. Okay? They are all out of order. 19 2.0 We brought them in. We had to look through some of the 21 things when we were working over some stuff this week, and 22 then I find out that you got the copies all along. Now, I am not very happy about that, and I am going to 24 voice my unhappiness about it right now so I am done saying 25

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Page 207 You don't need those copies anymore. You already have 1 them. We will take them home with us.

MS. HARDING: Mr. Heberling and I will discuss the issue 3

MS. HARDING: These documents were printed from

of the document production after the deposition. 4

THE WITNESS: That will be fine.

electronic copies and that is what I am showing you. The

8 first one is an electronic copy that was produced by CARD,

and I understand it. That's my understanding. I didn't do

the production. I didn't do the copies. That's my 10

understanding. All right? 11

them. Is that right?

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THE WITNESS: Okav.

13 (BY MS. HARDING) With respect to that document, you will

14 notice at the top right-hand corner, the third line down, can

you read what it says?

16 Α. T can't.

25

6

17 Showing you a second version of that document that's

not -- do you agree it's the same document? 18

19 A. I assume it is. I don't know. I don't have much

information. It probably is. There is a couple lines that 20

are the same. It says it's referred by Heberling. 21

Was this a patient that was in the 123 patients that were

23 in your study?

2.4 Α. Yes. It probably was. It was in that. Okay.

O. I am sorry, it was or was not?

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It was. 1 Α.

It was in the --

Anything that has that number on it up to 123 was in the

4 study.

Do you know how many patients that were in your

progression study were referred to you by Mr. Heberling or

any other lawver?

Я A. I have no idea.

Dr. Whitehouse, on Page 221, there is a statement at the

top of the page that is the second line in. These subjects

are representative of the Libby area population and the 11

practice group of 491 patients.

13 Sorry. That's the one that you changed, correct?

14 That's one we already talked about.

But you haven't changed the part that says -- you still

16 contend that the subjects are representative of the practice

17 group of 491 patients, correct?

Yeah, because they were randomly selected. I mean, they 18

weren't selected. They -- there was actually 153, and I just 19

took all the charts on everybody that had two sets of

21 pulmonary functions, or more. A lot of them had only one

and, so, obviously, wouldn't fit the criteria for getting in

23 the study.

24 And then I went through all those 153 and took out the

ones that I knew should not be in there; people that may have

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- had surgery recently or a bypass or went -- I went through
- 2 carefully, and those were numbered 124 through 153, and were
- 3 just sort of set aside and not used.
- 4 Q. The issue I want to get at is with respect to the 123.
- 5 There were 70 patients of the 123 were W.R. Grace workers,
- 6 correct?
- 7 A. Yeah, whatever you say. I am sure you have the right
- 8 number. I would have to look it up. Whatever.
- 9 Q. So, by saying that the subjects are representative of the
- 10 practice group of 491 patients, you are not suggesting that
- 11 that same ratio of worker to non-worker exist in your
- 12 remaining patients, correct?
- 13 A. No, not really. I had a large number of people that
- 14 actually had been seen before I even started this paper, that
- 15 were workers that had never came back, never returned, and
- 16 then they finally returned several years later.
- 17 Q. Right. But you have 70 of the 123 were workers in the
- 18 study?
- 19 A. They are mostly workers, yes.
- 20 Q. About what percentage of that of --
- 21 A. I think I actually gave you the percentage here, didn't
- 22 T?
- 23 Q. It's in there somewhere.
- 24 A. 70 percent were former employees of Grace. 22 percent
- 25 were family members, and eight percent were characterized as

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- 2 not aware of how many environmental exposures we were going

Libby environmental exposures. And when I wrote that, I was

- to get later on.
- 4 Q. Later in your paper, on 224, you say, "Progressive loss
- 5 of lung function -- this is under conclusions -- is
- 6 continuing 40 years after last exposure, and 76 of this group
- 7 who are representative of the population of Libby, Montana." 8 Now. is that another statement that, actually, based on
- o Now, is that another statement that, actually, based
- 9 your previous change, should probably be edited?
- 10 A. Where is it?
- 11 Q. Under conclusions.
- 12 A. I don't think I did a breakout of how many were family,
- .3 miners, et cetera. They are not representative of the total
- 14 population. They are representative probably of my patient
- 15 population.
- 16 $\,$ Q. Well, even of your patient population, you don't have
- 17 that percentage of workers in your remaining patient
- 18 population of 491, correct?
- 19 MR. HEBERLING: Objection. Unclear as to the word
- 20 "representative."
- 21 THE WITNESS: I don't know what percentage I do have in
- 22 the whole group of patients. You have the database. You
- 23 could calculate that, probably, if you wanted to.
- 24 Q. (BY MS. HARDING) And if, actually, that percentage was
- 25 significantly different, then it wouldn't be representative,

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- 1 correct?
- 2 MR. HEBERLING: Objection. Unclear as to the meaning of
- 3 "representative."
- 4 THE WITNESS: I don't think it is significantly
- 5 different, because I probably saw virtually every person that
- 6 was a miner in Libby, at one time or another. So I don't
- 7 know the answer to that.
- 8 Q. (BY MS. HARDING) And with respect to the entire Libby
- 9 $\,\,$ population, do you think that the percentage of workers in
- 10 your study is the -- is representative of the percent of
- 11 workers in the Libby, Montana population?
- 12 A. Considering the turnover, it probably is not exactly, no.
- 13 $\,\,$ It's probably a higher percentage in that group than it is in
- 14 the overall population of Libby.
- 15 Q. You say in your last paragraph, "It is apparent from
- 16 these data that the majority of the 1,500 persons who have
- 17 radiologic changes of asbestos exposure are at increased risk
- 18 for progressive loss of lung function from pleural changes

 19 alone, or from potential future development of interstitial
- 20 fibrosis."
- 21 A. Yes. I very much believe that.
- 22 Q. You haven't reported the radiographic changes that
- 23 occurred in this group, correct?
- 24 $\,$ A. $\,$ No, but others are going to be reporting long-term
- radiographic changes, and that will be coming out before very

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- long, as well as another paper that I will have concerning
- 2 rapid progression of radiographic changes.
- 3 So, you will be seeing a paper that will demonstrate
- 4 plaques going on to develop diffuse pleural thickening
- 5 developing blunted angles, interstitial disease in a fairly
- 6 significant number of people.
- 7 Q. So those papers that are forthcoming would, in your
- 8 opinion, support that statement here in the last paragraph
- 9 that the paper that you have written here doesn't support
- 10 that, correct?
- 11 $\,$ A. $\,$ I think my observations supported that quite nicely.
- 12 Q. But you haven't provided the radiographic changes in this
- 13 paper, correct?
- 14 A. But I didn't talk about radiographic changes in that
- 15 paper there, did I?
- 16 Q. That's the point, isn't it?
- 17 A. Let me read that last sentence again, then. It says,
- 18 "The majority who have radiologic changes at this time are at
- 19 increased risk for progressive loss of lung function."
- 20 And I totally agree with that.
- 21 Q. But you haven't reported the radiological changes in this
- 22 paper, correct?
- 23 A. I said those are the ones who have radiologic changes
- 24 now. I didn't report radiologic changes, I reported
- 25 pulmonary function loss. That's a perfectly straight

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statement. That's a true statement.

- 2 Ο. I understand. The point I am trying to make, the title
- of your paper is, asbestos-related pleural disease due to
- tremolite associated with progressive loss of lung function.
- Correct?
- Right. It doesn't say anything about radiologic changes.
- Ο. It discusses asbestos-related pleural disease, correct?
- 9 Ο. You haven't reported in the paper what the diseases of
- the individuals are, correct? 10
- 11 Yes. I reported that they range from plaque of diffuse
- pleural thickening in there. Gordon Teel has read all those 12
- films, looked at all of them as well. They all have either
- plaques or diffuse pleural thickening. That was reported in 14
- 15 the paper.
- 16 Q. But you haven't reported it in the paper how the pleural
- disease of the individuals in the study correlates with their 17
- lung function. Correct?
- 19 Δ No. I didn't intend to. There is no reason to. That's
- 20 not what the paper was about.
- 21 You would say there is no reason to report the actual
- disease of the patients that you are alleging have pulmonary 22
- function loss?
- 24 A. No.
- 25 MR. HEBERLING: Objection. Asked and answered. Contrary

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- to the paper, the testimony.
- MS. HARDING: Could you read back the last answer, 2
- 4 (Answer read.)
- (BY MS. HARDING) You just stated there is no reason to
- report the radiographic changes of the asbestos-related
- disease, and T am asking you --
- I reported that they all had radiographic pleural
- changes. They had to have that to be even entered into the
- study. That was confirmed by somebody else. Okay? 10
- 11 MR. HEBERLING: I think you don't understand the word
- 12 changes.
- THE WITNESS: And I was reporting the changes in their
- lung function, not the radiologic changes. Okay? I followed 14
- 15 exactly what I said I was going to do in there.
- (BY MS. HARDING) Doctor, did you include all patients
- 17 who had two or more PFT's in your study that were in your
- 18 patient group of 491?
- A. Yes. Uh-huh. I actually -- plus, including another 30 19
- 2.0 that I eliminated from it.
- 21 Now, what you didn't do, and your statistical experts
- missed, was the fact that in April, roughly April or May, was 22
- when I started collecting the people, and I collected them
- 24 until November or so. If I collected them in April and
- copied everything, I didn't look at anything further. That 25

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- was the second one. 1
- There was occasionally a patient that would have
- something later on in November or December, and they
- criticized me for not including those. It wasn't part of the 4
- study design.
- 6 The study design started sometime after -- after
- Christmas, and as I saw patients and had the second study ${\tt I}$
- 8 put them in, or if I saw them and saw they had enough studies
- to be put in, I would go ahead and do it.
- So your testimony is that, under the criteria that you 10
- just set out, you did include all patients that had two or 11
- more lung function tests?
- Yes. It was basically between April and October or 13 Α.
- November, somewhere in there. 14
- Q. It's fair to say that there were patients that were
- 16 included in your 123 that had COPD, correct?
- 17 I had about, I think, three or four people that had
- evidence of severe airway obstructions with low residual 18
- 19 volumes. And that met the criteria of obstructive disease
- due to asbestos. And that's a pretty well defined by a 20
- 21 number of authors, and I -- that met those criteria.
- Q. My question to you is, in the 123, you did not exclude
- all patients that had COPD, correct?
- 2.4 A. For the reasons I just gave you.
- O. The answer is yes?

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- A. It's in my paper. Yes. But it's related in the paper, 1
- With respect to heart disease, in the 123 included in the
- paper, you did not exclude all patients that had heart
- disease, correct?
- No. In fact, there are large numbers that had heart
- disease that had bypasses. That wasn't one of the criteria
- for exclusion. Not just heart disease.
- Okay. And you did not exclude individuals from your 123
- who had prior thoracic surgery, correct?
- Only if they had it in the interim between the two 11 Α.
- Right. If they had it prior to the first study, you 13
- 14 still allowed them in your study, correct?
- 15
- 16 And you also did not exclude all patients from the 123
- 17 that also had asthma, correct?
- Well, when you look carefully at the numbers, you will 18
- 19 find that -- I think there is probably only one or two
- pulmonary functions at all that met the 12 percent rise in
- FEV1 with bronchodilator, and that was on one and sometimes three or four studies. So, clinical asthma was not present
- 23 in those people, and it wasn't present by definition of
- 24 pulmonary functions, nor was it by their longitudinal
- follow-up.

21

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And is the analysis you did on smoking, is that part of

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- So your testimony is that you did exclude all patients
- that had clinical asthma --
- 4 0 -- from your 123?
- 5 Α. Yes.
- MS. HARDING: We will take a quick break so they can
- change.
- 8 VIDEOGRAPHER: This will conclude Tape No. 6. The time
- 9 is now 4:32 p.m.
- 10 (Recess taken from 4:23 to 4:39.)
- 11 Q. (BY MS. HARDING) Dr. Whitehouse, a couple --
- 12 VIDEOGRAPHER: Excuse me
- This is the continued videotaped deposition of Dr. Alan
- C. Whitehouse and Tape No. 7. The date remains to be 14
- Thursday, October 18, 2007. The time is now 4:39 p.m. 15
- 16 Q. (BY MS. HARDING) Dr. Whitehouse, just a couple more
- questions about your progression study. 17
- 18 Uh-huh.
- 19 Q. As I understand it, you reported that the smoking
- histories of your patients, their past smoking, did not have 2.0
- 21 an impact on their lung function. Is that right?
- That's correct and I did the statistics on that at the 22 Δ
- Q. At the time you did? 24
- 25 A. Yes.

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- the additional material that you turned over this morning?
 - MR. HEBERLING: No.
- THE WITNESS: The reason being, it's a long story
- concerning computers but I lost it in a computer crash and I
- got most of it back, but that's one thing I lost and that was
- the statistical analysis. You know when you do one of these
- things, you have multiple books and sheets open and I lost
- 9 one

15

- I had a crash just about a week ago, plus I had one about 10
- 11 year ago. I haven't been very lucky with it but I have all
- the original data. But at any rate, I did the statistics on 12
- it, there was no change in the -- between the smokers,
- ex-smokers and current smokers. In fact the current smokers 14

were little bit better that than the rest. I mean, that's

- just sort of an aside. But there was no statistical
- 17 significance.
- 18 Did that strike you as odd?
- A. No, not particularly. Most of the people were 19
- 2.0 ex-smokers, and when you are talking about statistical
- 21 significance, there was only a small number of smokers left
- because everybody had stopped smoking 20-30 years ago and, 22
- so, we are dealing in a point in time when none of them were
- 24 smoking and hadn't smoked for a long time. It was almost for
- 25 almost all intense and purposes they were almost all

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- 1 nonsmokers because they guit so long ago.
- Q. An d you wouldn't have expected any decline in lung
- function from a cohort of people that had had substantial
- prior smoking? 4
- A. Well, as it turns out, it was not a significant
- difference. I think the diffusions were a little bit lower
- and mirrored Alfonso's study. Of course your people could do
- 8 the statistics on that because they have the smoking history
- whether they were ex-smokers or current smokers or not.
- The problem with that, your PFT technician does not 10

record the individual smoking history, correct?

- A. No. It's on the data sheet.
- 13 Q. When you said the data sheet, it's not on the PFT test,
- correct? 14

11

- A. You, but it's on the master sheet that has all the
- 16 pulmonary functions on the study, whether they were
- 17 ex-smokers or current smokers. And you have that.
- Q. And that sheet, the sheet was a sheet filled out by you, 18
- 19 correct?
- 20 A. Yes. That was a sheet filled out by me looking at the
- 21 charts
- Q. And, so, that sheet is -- reflects your determination
- about whether an individual had been a prior smoker or
- 2.4 current smoker, correct?
- A. Yes. We do not put all this data down on our pulmonary

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- functions. If you noticed they are all blank. All that
- business about smoking and other things is all on the chart
- 3 but not in the pulmonary functions.
- ο. You recognize that's contrary to established practice, 4

16

- A. I don't think it is contrary to required practice. These
- techs are busy and they need to get the data for doing the
- predicted numbers, but that doesn't have anything to do with
- the predicted, the smoking history.
- You aren't aware of guidelines that require that the
- technician always record the smoking information of an 11
- individual before they take a PFT test?
- 13 A. Those guidelines are quite applicable to a laboratory
- that does outside pulmonary functions studies. All our 14
- pulmonary functions studies are done on our own patients and
- they are all recorded on the charts. So they are there.
- They are all there somewhere in the chart, and I know where
- to find them. 19 With respect to obesity, you report in your paper that,
- on page 224, there was no evidence of significant weight
- 21 changes in this group.
- A. That's right. I did the statistics on that, too.
- 23 Q. And have you provided those statistics?
- 24 I provided you with a list of the weights or the heights
- and all. That was one of the sheets that was in the computer

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- that was at least partially lost, because it is upgrading the
- 2 reference numbers and you can't achieve those. But the MBI's
- 3 are in there, and I have no idea how that occurred and I was
- 4 not aware of that until I started to pull the stuff out in
- 5 the last few days.
- 6 Q. That's the -- you mean the data you turned over this
- 7 morning?
- 8 A. Yes. Did you see that one sheet that has ref. ref. ref
- 9 $\,$ all along. I have no idea how it got that way. You need to
- 10 be aware of the fact that last Friday night when I tried to
- 11 put a bunch of stuff into my Ipod into it, I overloaded my
- 12 computer, got it right up to the limit. And I have been
- 13 having some trouble with it and I started taking stuff off of
- 14 it and then the whole thing crashed, started to make a lot of
- 15 noise, the hard drive. So I got the important data off of
- 16 it, that is all the original numbers and all. But -- and I
- 17 got the calculations, which you will see there, when I did
- 18 the statistics myself. Although they were done by an outside
- 19 statistician as well. So you have all -- you will have all
- 20 $\,$ the important data. You have all the important data. It's
- 21 just a little bit disjointed because of what happened in my
- 22 computer. You have the original data.
- 23 Q. The data you provided today on obesity, as I understand
- $24\,$ $\,$ what you are saying, we are getting some of the data because
- 25 some of it you couldn't print out last night because of

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1 computer crash?

- 2 A. You are getting a list of height and ages you can match
- up by number with the patients if you need to.
- 4 Q. You said there is a statistician that also did a
- 5 calculation with respect to the BMI?
- A. No, I did that myself, but he did the calculus, he did
- 7 the -- all the calculations originally for statistical
- 8 significance of the FEC, the TLC, and the diffusion capacity.
- 9 $\,$ Q. Okay. Did the statistician do the smoking analysis that
- 10 you discussed?
- 11 A. No, I did that also.
- 12 Q. You did that on your own?
- 13 A. Yes
- 14 Q. Can you describe how you did the smoking analysis?
- 15 A. Oh, I used the XL program, the data program concerning --
- 16 and you will see it in there when you look at it --
- 17 concerning two columns, as far as whether there was a
- 18 significant difference when they looked at all the columns
- 19 together. And it's a fairly complicated program that I
- 20 $\,$ really don't understand, which is why I sent it to a
- 21 statistician to do because it's -- and he put in time and a
- $22\,$ $\,$ number of other things. He did a whole bunch of
- 23 manipulations that I don't quite understand and basically
- 24 said, you know, it's all highly significant statistically
- 25 greater than point zero zero zero one.

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- 1 Q. I am sorry. You were -- I think you now were describing
- 2 the results you reported in your paper?
- 3 A. The probabilities. That's the PFT's I was talking to you
- 4 about earlier.
- 5 Q. I am actually asking you about the analysis you didn't
- 6 report in your paper, the smoking analysis and the BMI. The
- 7 BMI, I understand you said you did that yourself, the
- 8 statistician did not do this?
- 9 A. No. And I did the smoking thing too.
- 10 $\,$ Q. You did the smoking and statistician did not do the
- 11 smoking?
- 12 A. Right.
- 13 $\,$ Q. $\,$ My question to you, with respect to smoking analysis, can
- 14 you describe the methodology you used?
- 15 A. I used that XL program for statistic. I don't remember
- 16 exactly what it's called. You know, I had to look the whole
- $17\,$ $\,$ thing up and then talk to some people about how you make it
- 18 work, and then entered it into those columns. And when you
- 19 pull this out you will see them if you look at them how the
- 20 statistical analysis was done. I got basically the same
- 21 results the statistician did on the major numbers, the FEC
- 22 and TLC and DLCO, but I have lost that one, too. I lost all
- 23 the smoking stuff in there.
- ${\tt Q.} \quad {\tt Right.} \quad {\tt So, we can't see} \ {\tt the smoking one because you}$
- 25 apparently lost it?

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- 1 A. I won't be able to find that one. You have the notation
- 2 of who is a smoker and who is not a smoker, but there is so
- 3 few smokers in there that you wouldn't have much in the way
- 4 of statistical significance even if they were different.
- 5 $\,$ Q. One question I have -- the last question on the
- 6 progression paper. You did not appear to, at least in the 7 paper, take into account any effects of wood smoke in Libby,
- 8 correct?
- 9 A. No. That's a given for all of them.
- 10 O. Pardon me?
- 11 $\,$ A. That's basically a given for all the people who would
- 12 smoke in the air.
- 13 Q. It's a given that they are exposed to the wood smoke in
- 14 the air?
- 15 A. They are all exposed to it, yeah.
- 16 Q. You would agree that wood smoke can have an impact on
- 17 lung function? Correct?
- 18 A. Yeah, there has been papers about that, and papers about
- 19 asthma associated with wood smoke, yes.
- 20 Q. But you did not take into account the potential loss of
- 21 lung function for Libby area residents due to wood smoke in
- 22 your paper, correct?
- 23 A. There is no way I could.
- 24 Q. It's possible that then wood smoke could be an important
- 25 and founding factor in the results you reported in your

2

9

10 Α.

11

12

14

15

16 Α.

17

19

20

21

22 Α.

25

Α.

Ο.

Ο.

smoke

Yes.

Yes.

paper, correct?

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I guess so. Most of the reports about wood smoke, at

(BY MS. HARDING) Exhibit 14 is a paper titled Health

I may not have seen all this, but I have sure seen a lot

of it, and have been very active in this community concerning

How have you been active with respect to this issue?

I was sort of the driver in getting the field burning

O. Okay. On Page 8 of this document it says, under general

Under general effects of wood smoke, it says, "Wood smoke

least the ones in Spokane, and there has been extensive ones

done here, relate to asthma and not to just loss of lung

MS. HARDING: Could you mark that paper there.

function overall, particularly restrictive disease.

(Exhibit 14 marked.)

You have been?

stopped in the State of Washington.

effects of wood smoke, do you see that?

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Effects of Wood Smoke. Do you see that?

You have seen that before, correct?

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smoke concentration or exposure time." Right?

2 Α. Where are you reading there 1234.

The very first line --

Wait I have the wrong page. I have ${\tt six.}$ 4

Actually I have --

I am not sure I have eight. There is eight.

Eight and nine. Actually I see it's eight and nine? Ο.

You know what I have got, I have eight, ten and 12, and

9 the back sides are empty.

Here, why don't I give you this copy then. We will mark 10

11 this instead, please. There it is you have it right there.

T got that. 12

O. You don't disagree with that statement, correct?

MR. HEBERLING: Objection. Unclear as to what statement 14

you are referring to. 15

MS. HARDING: I am referring to the statement, wood smoke

exposure causes a decrease in lung function and an increase 17

18 in the severity of existing lung disease with increases in

smoke concentrations or exposure time. 19

And I am asking Dr. Whitehouse -- my understanding is 2.0

21 that you agree with that statement, correct?

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THE WITNESS: Well, I would certainly qualify it. The 22

reason being, if you look under three with the literature

cite, which is number -- is it number three or number two? 24

25 That's a two. Similarly, you have health effects associated

exposure causes a decrease in lung function and an increase

in the severity of existing lung disease with increases in

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with residential wood smoke combustion, internal report US

EPA environmental criteria and assessment office, researched

at Menlo Park 1986 3

I don't see a peer reviewed literature article on that. 4

That quote is not an article in the medical literature, it's

a -- I don't know if you call it an opinion or it's a

statement from the EPA.

8 O B11t --

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And it may be correct. But it would be nice to see the

original article. I know of the articles relative to asthma 10

in children, and to my knowledge those are the -- that's 11

always been the major thing that's been written about. Some

13 of those were done in Spokane.

14 Ο. So it's your view that the only effects of wood smoke

that had been demonstrated are the effects on children?

A. No, I didn't say that. I know that smoke aggravates 16

17 preexisting lung disease. Whether it changes lung function

18 or not is a different story. And what degree it might change

lung function is another issue. And I don't see any articles 19

20 quoted in that relative to that statement. So I have to take

21 it with a grain of salt.

Q. You have agreed it could be a confounding effect with

respect to your lung function paper. The degree of the wood

smoke issue in Libby has been serious in the past, correct? 2.4

It has. It has been all over most states in the west.

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Most cities in the west it's been a major problem. Libby has

problems with inversions, there is no question they have

crummy air part of the year.

I would like to ask you some questions about the ATSDR

pilot study environmental cases.

Uh-huh. Those were my patients. Are you aware of that? Α.

(Exhibit 15 marked)

(BY MS. HARDING) Exhibit 15 is review of

asbestos-related abnormalities among a group of patients from 10

Libby, Montana, a pilot study of environmental cases. 11

Correct.

13 Ο. And the investigator is listed as Dan Middleton.

14 Yes. Α.

And as a principal investigator, co-investigators Aubrey

16 Miller, and collaborator is listed as you, Dr. Alan

Whitehouse.

Δ Correct. 18

19 Ο. Around 2000, the ATSDR began working on the protocol for

assessing environmental exposure in a case series, correct?

21 Δ IIh-huh

Originally, as I understand it, the intention was to

23 conduct the research in two phases, a pilot phase followed by

24 a larger case series, correct?

25 Correct.

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At the end of the process the ATSDR determined out of the

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- 1 Q. For both of the phases of research, how were the patients
- 2 to be identified?
- 3 A. Well, in the pilot study?
- 4 Q. In the pilot study, right. Well, actually --
- 5 A. They basically asked me, Aubrey Miller did, how many
- 6 cases I had that I thought were environmental cases. This
- 7 was very early on, probably even before I knew about all the
- 8 exposure pathways and things like that. So I came up with
- 9 27 cases for them.
- 10 O. This is in 2000, correct?
- 11 A. In 2000. Right after this whole thing broke. And I sat
- 12 down with him, and we got releases from all these people, and
- 13 then we sat down in a very large conference and want over the
- 14 x-rays with him, and they took -- made copies or we gave them
- 15 copies of the x-rays which they took so some of the NIOSH B
- 16 readers. And what they did when they went through the whole
- 17 thing was that they found a few other exposures like some
- 18 family stuff and things like that that I wasn't aware of, and
- 19 basically it may have been my naivetT, but there were eight
- 20 of them that were clearly purely environmental.
- 21 Q. Right. So, at the beginning of the study, you provided
- 22 them with 27 cases that were environmental, that you believed
- to be environmentally exposed individuals that had developed
- 24 disease in Libby, correct?
- 25 A. Correct.

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27 only eight were environmentally exposed cases with disease

- in Libby, correct?
- 4 A. That's correct. They had found another pathway that I
- 5 didn't know about which was related to work or whatever,
- 6 family, or something else.
- Q. They had found within the exposure histories of the
- 8 individuals that you had provided, that they had other
- 9 asbestos exposures, correct?
- 10 A. Yes. Actually what they did, they interviewed all these
- 11 people very extensively to find out if there were other
- 12 exposure pathways. And there was that I didn't know at the
- 13 time.
- 14 Q. I understood previously this morning you talked about
- 15 first seeing environmental, what you believe to be
- 16 environmentally exposed people with disease in 1995 or '96, I
- 17 think you said. That was when you first saw it. And, so, as
- 18 I understand it, between then and --
- 19 A. Well, I can't really remember when I first, you know,
- 20 when my brain said, you know, these are not miners or family
- 21 members. It was probably somewhere around there. It may
- 22 have been as late as 1998.
- 23 Q. And then between either '96 or '98 when you first
- 24 believed that you saw that, and around 2000, is when you
- 25 believed you had discovered about 27 cases. Correct?

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- 1 A. That's right.
- 2 Q. And you don't disagree with the ATSDR's reclassification
- 3 of the from 27 to eight of the environmental exposures,
- 4 correct?
- 5 A. I have to go back over them again. But I wasn't arguing
- 6 with them about them particularly at all, no. I just
- 7 accepted what they said at the time.
- 8 $\,$ Q. And you were listed as a collaborator and were involved
- 9 in the creation of the posters that were created by the
- 10 ATSDR?
- 11 A. Yes.
- 12 Q. Do you recall those?
- 13 A. I still have that. The only problem, it covered half
- 14 this wall. Here you go.
- 15 Q. I have a couple questions that I would like to ask you
- 16 relating to autopsies. Typically, why are autopsies
- 17 performed in medical cases or when people die?
- 18 A. That's a really good question, because most physicians in
- 19 the general practice of internal medicine or chest disease,
- 20 we don't even ask for autopsies because we know what they
- 21 died of. We know more than the pathologist can tell us for
- 22 the most part. And I really sincerely mean that. We've
- $\,$ 23 $\,$ looked at them and have all the physiologic things, and also
- 24 autopsies aren't needed. So autopsies generally don't help
- us very much with a cause of death.

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- 1 We have -- I don't know, you may have some specific
- 2 questions concerning asbestos and go ahead and shoot on
- 3 those.
- 4 Q. I have a -- they can help you identify what kind of
- 5 disease somebody really had, correct?
- 6 A. They can. In the case of asbestos diseases, the ability
- 7 to spot asbestos bodies is very patchy, and particularly in
- 8 pleural disease you normally don't see them. And in many of
- 9 the cases that have severe interstitial disease you don't
- 10 even see asbestos bodies. And there are a lot of factors
- 11 related to things that are being digested and coughed out,
- 12 and particularly with chrysotile. So, it is spotty and it's 13 not a reliable evidence of -- that the disease was for or not
- 14 -- well, it is for disease probably, but not against -- not
- 15 saying that they didn't have it. And not only that, but you
- 16 can get asbestos bodies in normal people.
- 17 Q. Well, as I understand your answer, you are talking about
 18 kind of attribution of disease that is seen to asbestos.
- 19 That was your answer?
- 20 A. I figured that's where you were headed.
- 21 $\,$ Q. Actually, the question that I was trying to get at is
- 22 just in determining what disease an individual actually has,
- 23 autopsies can be very useful, correct?
- 24 MR. HEBERLING: Objection, answered.
- 25 THE WITNESS: There are times when it's very useful and

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- there is times when it's not useful at all. And there is
- 2 times when it's misleading.
- (BY MS. HARDING) Previously you were asked -- there is a
- series of questions in the cost recovery deposition that you
- provided. I don't know if you recall them.
- When did we do that one?
- O. That was in September of 2002 I believe. September 6.
- 9 A. I don't remember that one very well. Go ahead.
- The person that was -- in any event, you were asked a 10
- 11 question about -- the question was, first question, well, are
- pulmonary functions always indicative of whether or not it's 12
- pleural asbestos disease? In other words, can there be other
- 14 reasons? And you answered, I will happy to show you this to
- 15 you afterwards if you like. No. But if you have somebody
- that has pleural thickening and there is a question whether
- it's fat tense density, and they have, you know, they are not 17
- massively obese or anything like that, reasonably normal
- 19 weight, and they have fairly severe restrictive lung disease,
- 20 I think with the asbestos exposure and all, I think that your
- 21 assumption is that probably is not fat, that is probably
- pleural thickening, although it's been very difficult to deal 22
- with, you want see very many people that this issue hasn't
- been resolved. And then the question is, well, resolved by
- 25 someone's opinion? And your answer is, or in some other way.

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- I mean, some of these people have been fluoroscoped and the
- plagues have been photographed. Then the guestion is: that's
 - what I was wondering. Either that or autopsy would tell you
- whether or not they had plaques I suppose? And your answer
- is, yes. And then the question is, or thickening? And your
- answer is, yes.
- A. Yes, that would be easily picked up with autopsy.
- So, in view of the fact that you have suggested in your
- writings in the literature, as well as in your reports that
- you issued in this case, that you are seeing a unique disease 10
- process in Libby, which you said on numerous times here
- 12 today, correct?
- MR. HEBERLING: Objection. Contrary to the record and
- contrary to the testimony today as well. He hasn't said the 14
- 15 word unique.
- 16 MS. HARDING: I am sorry. Different.
- THE WITNESS: Yeah, I think it is a different -- I think 17
- it's a different -- I don't know if it's different process, 18
- but it's manifestations appear to me to be different. 19
- (BY MS. HARDING) Today I think you said that you believe 2.0
- 21 they are different from other asbestos exposures in people
- exposed to chrysotile in United States, to people exposed to 22
- amosite in the United States, and people exposed to
- crocidolite in the United States, correct? 24
- 25 As best I can tell, yes.

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- And you have also testified today that, in several 1 Ο.
- different places, that you think it's important to be
- transparent and important to make sure that people can
- understand what you have done, and you want them to 4
- understand what you have done, correct?
- 6 A. Uh-huh.
- So I am wondering why you have not suggested to your
- 8 patients or to their family members that, for people and
- particularly that have progressed to death, or people that
- have rapid progression and later die or severe disease, why 10
- they didn't get autopsies? 11
- A. We have.
- 13 ο. You have?
- 14 In fact, we have a program now set up -- we have two Α.
- programs set up. First off, it takes an IRB, investigational
- review board has to approve that. The HIPAA laws really 16
- 17 restrict what we can do. So we now have an IRB approved by
- 18 the Spokane IRB for obtaining tissue samples and banking
- them. And, so, everybody that I send over there for a 19
- 20 thoracotomy, or whatever the case may be, is getting tissue 21 samples which we are saving. We aren't doing anything with
- them yet but we will be. Secondly, we have trained a guy
- that runs the -- that owns the mortuary, and this is with
- 2.4 patients permission obviously, we get permission for this, to
- harvest lung sections which will then also be preserved and

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- stored until we need them for some specific study. And we 1
- have a specific protocol for that as well.
- And that's fairly recent. So in a sense that's an 3
- autopsy. We are not interested any place else except the 4
- lungs, for the most part.
- O. Have you actually gotten any tissue into the -- has
- actually occurred vet?
- Yeah, we have some tissue. In fact, we probably got some
- today from a patient that I sent for a thoracotomy for a
- nodule. So that will -- there will be tissue that's looked at by the pathologists in Spokane, and then they will ship
- off a chunk to us, we will stick it in storage thing and it
- 13 will sit there, and it will be anonymous and numbered and
- 14 will be available for whenever we decide to do, whatever
- study we decide to.
- 16 Ο. Who is the pathologist that they will be sent to, do you
- 17

11

- A. That will look at them in Spokane? 18
- 19 O. Yes.
- There is three of them. Frank -- the only two I know
- right now are Frank Martin and Irby Cosette, guys I have 21
- known for years. There is a new one there at Deaconess
- Hospital also. We have been doing all this stuff at 23
- 2.4 Deaconess, although we haven't specified it be done at Deaconess. So there may be some at Sacred Heart as well.

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- Have there been any autopsies performed on any of your either in the process. We will have the path reports from
- patients to your knowledge?
- I don't know whether any have been done or not recently.
- We have had a lot of deaths, and whether or not that's
- actually been done, I know it's just been recently a provide
- by the IRB. Brad Black is sort of in charge of that aspect
- of it. We have an awful lot of people that have given
- permission for autopsies when they die, already, and will
- 9 prior to when they might die.
- Have you or anyone at the CARD Clinic ever instructed 10 ο.
- 11 your patients not to get autopsies?
- 12 No, I haven't done that. But we have been very resistant Α.
- to dealing with Kalispell for a number of reasons. And we
- 14 are very resistant to information being misused by Dr. Flynn.
- 15 When you say Kalispell, what do you mean? Ο.
- 16 A. The hospital.
- O. And, so, I guess I am not understanding your answer to 17
- the question. You have not instructed your patients or have
- 19 you -- I think you said you have not instructed your patients
- 20 not to get autopsies?
- 21 No, no. But I instructed them to get them in Spokane,
- whatever we are going to do to get them in Spokane or locally 22
- so we can get things in our tissue database. And we don't
- want the stuff misused. This is all done with be a IRB in
- mind, investigational research, so we don't want it misused 25

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- our pathologists in Spokane.
- And as I understand, the Libby medical plan actually pays
- for autopsies, correct?
- Α. Yup.
- Are you aware whether or not --
- If we do an autopsy for Grace, then we wind up having to
- give them the tissue. And we really do not have a high level
- of trust in what HNA will do with those autopsy specimens
- and, so, as a result we have not been doing that. 10
- 11 Do the Libby medical plan, do they specify the
- pathologists that has to do the autopsy? 12
- Yeah, uh-huh. And they insist on getting the specimen.
- 14 0 Who is that?
- The one the Kalispell. And they insist on getting the 15
- specimens themselves from what I understand. That was the
- way it was being done. We haven't sent anything to Kalispell 17
- 18 for any tissue there for a long time.
- Are you aware whether or not Mr. Heberling or any of the 19
- 2.0 other lawyers that represent the patients in the CARD Clinic
- 21 have instructed their clients not to get autopsies?
- Not that I am aware of. I am not aware of that. 22
- Have you ever, or anyone at CARD Clinic to your
- 24 knowledge, ever instructed any of your patients not to get
- 25 autopsies in Kalispell?

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- Yes, I have. I don't want my patients getting autopsies 1 Α.
- in Kalispell.
- 3 ο. Okay. So you have instructed them not to get autopsies?
- Yes. I have a -- we have an ongoing problem with HNA and 4
- Dr. Flynn and refusing payments and things like that, so if
- we are going to get autopsies, we will either get lung
- specimens in in Libby or we will get them in Spokane. And I
- 8 think Brad has ordered a couple of those in Spokane.
- This is a death certificate for Gynell Kujawa. This is a
- patient that's on one of your lists, correct? 10
- Yeah, I think so. She died what, last year, didn't she? 11
- It's difficult to read. I will show you the records in a
- 13 second
- 14 Died in 2001. Does that sound right? You are talking Α.
- about Loren or Gynell?
- 16 O. Gynell.
- 17 She died last year.
- And is Gynell on your deceased client list? She is on
- 19 the community list or one of the lists?
- MR. HEBERLING: She is on Page 6. Exhibit 5, Page 6. 20
- MS. HARDING: Thank you. 21
- THE WITNESS: Got it.
- Q. (BY MS. HARDING) And this is somebody who, according to
- 2.4 death certificate, dies of anoxia. Is that right, and
- stroke?

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- Yeah. I believe it was a long time before that. 1 Α.
- And then, as I understand it, you were asked by
- Mr. Heberling to opine as to whether the death was -- was the
- asbestos disease a substantial factor in the death. 4
- You were asked that question, correct? Ο.
- That's correct.
- And then could we mark that please.
- (Exhibit 16 marked.)
- (BY MS. HARDING) And you write an answer back on that 10
- letter, correct. And on Exhibit 16 could you read what your 11
- message back is because I can't completely decipher the
- 13 writing.
- Yes. Autopsy pending also. 14 Α.
- So the answer was yes. And then autopsy pending,
- 16 correct?
- 17 Α.
- O. And then this is Exhibit 17. 18
- 19 (Exhibit 17 marked.)
- By the way, I never saw the autopsy results. This is the
- first time I have seen them. 21
- MS. HARDING: All right.
- (BY MS. HARDING) You will notice on the second page, 23
- 2.4 final anatomic diagnosis on the second page, under opinion.
- do you see that?

2

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Α.

diagnosis.

A. I see that.

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It says, this adult female was examined, by autopsy, to

determine if asbestosis or related disease state was present.

At autopsy, both lungs showed prominent emphysematous change.

Examination of the pulmonary tissues did not show conspicuous

interstitial fibrosis. Iron stains, but also H&E sections

ferruginous bodies. In summary, at least with regard to

asbestos, the histologic findings would not support that

Studies of the lung did, however, show fairly prominent

Focal areas of confluent pneumonia. This is the most

From the above studies, I believe the cause of death

should be certified as pneumonia occurring in a background of

Asbestosis is not present. The manner of death is natural.

And it's signed by George R. Lindholm, M.D., forensic

significant pulmonary compromise due to emphysema.

pathologist. He is at Incyte Pathology Diagnostic?

significant finding of the autopsy with direct regard to the

While adhesions were present bilaterally, only minimal pleural plaque was present. No mesothelioma was present.

and recuts, did not show any substantial numbers of

acute pneumonitis. Is that right?

Pneumonitis.

cause of death.

Yes.

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Q. Is that in Kalispell? No. that's in Spokane.

O. I have one other question. I had a question about a

letter that Mr. Heberling sent to you.

Could you mark that, please. And I will just read it

first and he can mark it.

"Dear Dr. Whitehouse: Enclosed is a copy of your

response to our letter of 1/9/06 and a copy of the Death

Certificate. Enclosed further is a copy of the autopsy. Was

the asbestos disease a substantial factor in the death? 10

Please handwrite your answer and return." Will you mark

12 that, please.

(Exhibit 18 marked.)

Q. (BY MS. HARDING) Could you read the response that you 14

15 write to Mr. Heberling, please?

I wrote, "Yes -- markedly under estimated by the

pathologist. Did not have emphysema." 17

18 And do you want me to discuss that?

I would like to ask you a couple of questions first. Did 19

2.0 you do the autopsy?

21 No. But this needs clarification relative to emphysema

as a pathologic diagnosis or a physiologic diagnosis. What 22

the pulmonary functions studies were, what the chest x-ray

looked like. Okay. And, so, it requires a fair amount of 24

25 discussion because I looked at all those relative to this.

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Page 243 You just indicated before that you hadn't seen the Ο.

autopsy. Was that incorrect?

I hadn't seen the autopsy no. Actually, I must have. I

didn't realize it. I had forgotten it then. I didn't think 4

I had seen it. So, okay, I retract that, I am sorry.

To begin with, asbestos bodies are very frequently not

seen in the lung and in people that have asbestosis.

8 Secondly, this lady had significant fairly severe pleural

disease.

10 There is a lot of -- how do you -- how would I say it?

11 There is lot of pulmonary function change that is many times

reflected in the x-rays and sometimes is not reflected in the

13 x-ray, that causes fairly significant restrictive lung

14 disease that is a factor in somebody's death, particularly if

somebody is bedridden, that is not identified well, except by

x-ray or by pulmonary function testing. 16

17 And, so, he identified pleural plaques. We have no idea

whether that was bilateral or not. He said adhesions, but

19 only minimal pleural plaque was present.

20 I think that, at the time, I probably looked at the x-ray

and the chart in order to making those judgments, and I need 21

to do it again, and point out to you what I saw at that point

in time which I think was pertinent to the patients problems.

Okay? Because if she had -- which I think she did --2.4

significant restrictive disease, some obstructive airways

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disease, maybe a manifestation of asbestos pleural disease. 1

And that's a rather complicated subject to discuss. I talked

about it earlier with low residual volumes and with some

degree of airway obstruction associated with it, which has

been reported in the literature. So it's a little bit more complicated than just sitting here looking at these numbers,

you have to look at the whole picture, and that means the

x-rays and the pulmonary functions and the chart. And if you

have the chart I will be happy to do it right now.

So it's your position that you are in a better position determine whether or not somebody had COPD -- sorry, not

COPD -- emphysema, by X-ray and lung function tests than a

13 pathologist doing an autopsy?

14 Emphysema and COPD are two entirely different factors.

Q. That's why I corrected myself. Emphysema. I am asking

about emphysema? 16

11

17 People may have emphysema as an aging phenomenon, that

physiologically has very little import because they don't 18

have airway obstruction. It's airway obstruction that kills 19

people with lung disease. And that's why I am saying the

21 pulmonary functions are more key to that than anything else.

22 That's one of the reasons why getting autopsies frequently

23 doesn't give you information. It gives you less information

than what you already know from the patient from your 24

physiologic studies.

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- So your opinion is that the pathologist, Mr. Lindholm,
- got it wrong, correct?
- I don't know whether he did or not, I am not sure. I had
- other -- with the information you have given me here. If you
- want to give me the x-rays and the chart that has the
- pulmonary function on it, then I can give you the reasons why
- I came to that conclusion.
- Dr. Whitehouse, I am asking you about your response to
- 9 Mr. Heberling when he asked you, enclosed is a copy of your
- response to our letter of 1/9/06 and a copy of the Death 10
- 11 Certificate. Enclosed further is a copy of the autopsy. Was
- asbestos disease a substantial factor in the death? Please 12
- handwrite your answer and return.
- Your answer is, "Yes -- markedly underestimated by the 14
- 15 pathologist.
- A. That may be the answer right there.
- 17 Then you say, did not have emphysema. Isn't that what it Ο.
- 18 savs?
- 19 Α.
- 20 O. So your position is that, as a pulmonologist, you are in
- a better position to determine whether somebody had emphysema
- at their death based on the x-rays and your lung function 22
- tests than the pathologist who did an autopsy?
- Absolutely. I probably should have used the word COPD.
- 25 But, yes, I'm in much better position to make a diagnosis

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that have demonstrated that. There is radiographic studies

- than the pathologist ever is. Their actually are studies
- What about asbestosis. He is say there is --0
- Obviously, I made comment about it being underestimated.

pulmonary function. But you haven't given me all the data.

- I am talking about the x-ray there and maybe about the
- Doctor, this is an individual you have listed in your
- report and you are relying on and he is on your list, and I
- have all of the data you have given me. If there is other 10
- 11
- MR. HEBERLING: Objection, misstatement of factual 12
- situation. You have all the charts. You are not giving him
- 14 the chart or the x-ray. You have all of them.
- MS. HARDING: Oh, you mean the patient records of this 15
- individual.
- MR. HEBERLING: Yes. 17
- MS. HARDING: I don't know if I have them or not.
- 19 THE WITNESS: I assume you do. Assuming you do, there is
- 20 an x-ray that may demonstrate large amounts of pleural
- 21 disease and maybe even interstitial disease. And, secondly,
- there is a -- you know, there may be pulmonary functions that 22
- show severe restrictive disease. And I just can't remember.
- I don't keep that data in my head. But, obviously, I looked 24
- at it or I wouldn't have written that note the way I did. 25

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- You have to give me all the data before I can really answer
- that. I think that's incomplete the way it is.
- Q. Is this a patient of yours? Was she a patient of yours?
- No, she was not a patient of mine. She was a patient in
- the clinic and I add all the information there.
- O. Is she a Libby claimant?
- I don't -- she must be.
- 8 Q. Is she a prepetition Libby claimant or s post petition
- Libby claimant?
- MR. HEBERLING: Post. 10
- MS. HARDING: You are representing somebody you have 11
- given her records to us?
- MR. HEBERLING: Yes. 13
- 14 MS. HARDING: Okay.
- (BY MS. HARDING) And perhaps I just don't understand.
- 16 How is it that you can diagnose emphysema from a chest x-ray
- 17 better than a pathologist who opens the chest and looks
- 18 inside?
- 19 MR. HEBERLING: Objection, the question misstates the
- 20 testimony horribly.
- THE WITNESS: What kills people is not necessarily 21
- emphysema. It's chronic obstructive pulmonary disease.
- Which may be associated with a normal chest x-ray or may be 23
- associates with blebs in the chest that you can see that 2.4
- somebody might read as emphysema.

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- There was a study done a long time ago in Colorado in
- which they gave the radiologist a number of -- a lot of
- x-rays -- and asked him whether they emphysema, COPD, or
- whether they were normal. And we had the pulmonary function
- studies and we knew what they had, and it was a random
- pattern. You can't tell for sure. So, you may not be able
- to read -- they may have emphysema, but absolutely normal
- pulmonary function and has no physiologic consequence. They may have severe restrictive disease due to pleural disease,
- and the emphysema is just sort of a co-exist thing that is
- related to the fact they are old. 11
- Dr. Whitehouse, if you look at the pathology report, the

He didn't say that the cause of death was emphysema,

- 13 pathologist says, from the above studies I believe the cause
- 14 of death should be certified at pneumonia. Correct?
- A. It might very well have been the immediate cause.
- Ο 17

16

- A. No, he didn't. 18
- 19 He said, occurring in a background of significant
- pulmonary compromise due to emphysema. Correct?
- 21 That's the problem. He has no way of knowing about the
- 22 pulmonary compromise. He has absolutely no way of knowing
- 23 whether that compromised pulmonary function or not.
- 24 The pathologist is not somebody that's in a position to
- know that. That's one of the reasons why autopsies

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- 1 frequently are misleading.
- 2 You show me the pulmonary function and show me the chest
- 3 x-ray and I will give you a better statement as to why I made
- 4 those statements about the fact that she had significant
- 5 disease, asbestos disease, that was contributory to her
- 6 death.
- 7 O. I guess the pathologist is also not in a position to
- 8 determine whether or not asbestosis is present then too?
- 9 A. May or may not be. I have seen all kinds of things on
- 10 that. But, I don't know. He didn't describe it. I would
- 11 like to --
- 12 Q. He didn't describe it?
- 13 A. He didn't describe asbestosis. He didn't describe
- 14 interstitial fibrosis. You are right. On the other hand,
- 15 pleural disease can kill you, and that's frequently
- 16 underestimated by the pathologist.
- 17 So, you should give me all the data if you want me to
- 18 make a determination like that.
- 19 Q. Dr. Whitehouse, are familiar with the Libby asbestos
- 20 exposure scientific council?
- 21 A. Not very. I am not even sure which council that is.
- 22 There are so many councils around Libby now.
- Q. So you don't recall attending their meetings?
- 24 A. Which meeting are you talking about? You need to be a
- 25 little more -- I am not sure. That term doesn't ring a bell.

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- Q. I am asking you, I just have a note that I have seen
- 2 something somewhere, so I don't know. But I have a note that
- February 23, 2000 Libby asbestos exposure scientific council
- 4 meeting minutes. Do you recall attending a meeting on
- 5 February 23, 2000?

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- A. You give me more credit than is due me.
- 7 Q. So you don't recall those contacts?
- A. Absolutely not.
- 9 O. With that council?
- 10 A. No. Where was it, in Libby.
- 11 Q. It sounds like it was in Libby.
- 12 With respect to your various contacts with the ATSDR?
- 13 A. Yes.
- 14 Q. When was the first time that you communicated with the
- 15 ATSDR about the issues in Libby?
- 16 A. Well, they basically communicated with me as I recall.
- 17 My first contact with powers at be was Aubrey Miller and Mike
- 18 Spence in my office sometime in either late 1999 or early
- 19 2000.
- 20 Q. How did that meeting come about?
- 21 A. Well, Aubrey Miller thought I was some sort of kook and
- 22 he wanted to find out. That's what he said. I mean, he has
- 23 written that. And I showed him a bunch of x-rays and talked
- 24 to him about it and he decided that I wasn't crazy.
- 25 Q. I am sorry --

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- 1 A. He may have been wrong.
- 2 Q. Dr. Spence was at that meeting as well?
- 3 A. Dr. Spence was, yes.
- 4 Q. What did you discuss at that time?
- 5 A. We discussed what I had been seeing. I had a fair number
- 6 of patients at that point in time that I had seen, and they
- 7 had been reported by Andy Snyder in the PI article in 1991,
- 8 $\,$ and they were following up on that. And how they came to
- 9 learn about me I am not absolutely certain. But Aubrey was
- 10 skeptical, and he admits he was skeptical, and came to see
- 11 what we had. I showed him a number of cases. I don't
- 12 remember what Mike Spence said. I don't think Mike Spence
- 13 did much talking.
- 14 $\,$ Q. The article by Mr. Snyder appeared around what time?
- 15 A. November -- I think it was November of 1999.
- 16 Q. So this was subsequent to that article?
- 17 A. Yes, this was subsequent to that article, but not very
- 18 long afterwards.
- 19 Q. I can't recall, were you quoted in that article?
- 20 A. No, I wasn't. I don't think I was quoted. My name may
- 21 have come up, but I don't think I was quoted.
- 22 Q. Did you talk to Mr. Snyder about his article or his
- 23 research?
- 24 $\,$ A. No, I did not. In fact, I avoided Mr. Snyder because
- 25 there was all kinds of litigation going on. I didn't want to

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- 1 get involved with the press.
- 2 Q. Litigation involving Mr. Snyder or some other litigation
- 3 involving the press?
- 4 A. No, it was litigation, asbestos litigation of one sort or
- 5 another that was ongoing, and I didn't want to get involved
- 6 with a member of the press discussing things that he might
- 7 want to ask me, so I just avoided him and I went to a senate
- 8 hearing and I guess I offended him when I just ignored him
- 9 one time in a senate hearing later that year. I have since
- 10 learned to like the guy and get along with him fine.
- 11 $\,$ Q. $\,$ Subsequent to your initial meeting with Mr. Miller and
- $\ensuremath{\text{12}}$ $\ensuremath{\,\text{Mr}}$. Spence, what was your next contact with ATSDR or anybody
- 13 else -- was your contact at that time all with the ATSDR or
- 14 with other governmental groups?
- 15 A. I was invited to a meeting in Cincinnati. Jim Lucky was
- 16 there and Aubrey and Henry Anderson, and what's his name?
- 17 Wise? What's his first name?
- 18 Q. Chris Wise?
- 19 A. Chris Wise. And a discussion of how they were going to
- 20 do a screening
- 21 $\,$ Q. $\,$ So that was the meeting to discuss the protocol for the
- 22 screening study?
- 23 A. We decided on the protocol there we were going to use.
- 24 And then I was in a meeting in Atlanta probably later that
- 25 year, maybe earlier the next year, relative to this. I was

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- involved in some of the decision-making and sort of -- a lot
- $2\,$ $\,$ of it just went on without me. Then I was spending more time
- 3 at Libby thereafter.
- 4 Q. Let's see, the meeting in Cincinnati where you
- 5 established the protocol, and then there was another meeting
- 6 after that. Were you involved in some decision making about
- 7 the actual protocol or something else?
- A. No, I think there was a meeting, as I recall, in Atlanta,
- 9 where there was just a discussion about a variety of things.
- 10 There was also a meeting I went to at the NIH. And I don't
- 11 remember those dates, they are probably in my curriculum
- 12 vitae, where I discussed these cases. And I had -- each time
- I would have more cases to deal and discuss were the ones
- $\,$ 14 $\,$ that were clear examples of what I was talking about.
- 15 $\,$ Q. In the meeting in Atlanta, was that meeting with the
- 16 ATSDR or other government groups?

 17 A. I think it was a bunch of different government groups. I
- 18 think NIOSH and EPA were there. I don't remember all the
- 19 details of all those meetings. This was a whole flock of
- 20 them, and I was trying to run a busy practice at that time
- 21 and my partner was griping because I was out of town. The
- 22 usual.
- 23 Q. With respect to the meeting in Atlanta, can you recall
- 24 some of individuals who were present?
- $25\,$ $\,$ A. $\,$ Ms. Kizinski was there, I know that. She probably even

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- 1 has a list of who was there. I think all the same principals
- 2 that are involved now, the same sort of people.
- 3 O. From -
- 4 A. From all the various agencies.
- 5 $\,$ Q. So there were people from ATSDR there?
- A. Probably. I don't remember exactly who was there at that
- 7 time.
- Q. Do you remember who you talked to while you were there,
- 9 who your contacts were with there?
- 10 A. No, I don't. Brad Black and I were both there at the
- 11 time. We talked to a whole flock of different people. But
- $12\,$ $\,$ the same people that are the principals right now involved
- 13 with this at ATSDR. Libarger (phonetic) was there, I know
- 15 there, Mike Aubrey was there. And I can't remember all the

that. And Dan Middleton was there. Sharon Campalucci was

- 16 physicians, although I think Jim Lucky was probably there. I
- 17 am not certain about that.
- 18 $\,$ Q. With respect to the EPA, do you recall who was present
- 19 from the EPA?
- 20 A. No. I think Aubrey was there, but I can't recall anybody
- 21 else

14

- 22 $\,$ Q. When was your first contact -- was this your first
- 23 contact with the EPA or had you had prior contacts?
- 24 A. No, prior contact with Aubrey in my office. That was I
- 25 guess officially EPA contact.

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- 1 Q. Anybody else from the EPA that you had contacts with
- 2 during this time?
- 3 A. Well, Chris Wise obviously, and I met Paul Paranar
- 4 somewhere along that line and I don't remember when.
- 5 Q. When was the first time you described your views about
- 6 what was happening in Libby to Mr. Paranar?
- 7 A. I don't know.
- 8 Q. You don't recall?
- 9 A. I am not sure I ever discussed it directly with him. I
- 10 did with Aubrey for sure. Aubrey and I talked many times
- 11 about this.
- 12 $\,$ Q. What about with Mr. Wise, do you remember talking about
- 13 your views with Mr. Wise?
- 14 $\,$ A. A bit when I was in Cincinnati. We talked about --
- 15 mostly it wasn't views, it was more a matter of presenting
- 16 representative cases, patient cases.
- 17 Q. So you would give a presentation?
- 18 A. I gave an actual presentation at all of those meetings.
- 19 Q. And at the meeting in Atlanta, the EPA was present as
- 20 well?
- 21 A. I think so.
- 22 Q. How --
- 23 A. I don't know in an official capacity or not. I didn't
- $24\,$ $\,$ pay a lot of attention to that.
- 25 MS HARDING: Our tape ran out.

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- 1 VIDEOGRAPHER: This will conclude tape No. 7, the time is
- 2 now 5:39 p.m.)
- 3 (Off the record from 5:39 to 5:40.)
- 4 VIDEOGRAPHER: This is the continued videotaped
- 5 deposition of Dr. Alan C. Whitehouse and tape number eight.
- $\,$ 6 $\,$ The date remains to be October 18, 2007. The time is now
- 7 5:40 p.m.
- 8 Q. (BY MS. HARDING) Dr. Whitehouse, were you consulted at
- 9 all with respect to the -- when Mr. Paranar was preparing his
- 10 action memos regarding Libby?
- 11 A. No.
- 12 Q. Were you consulted when Mr. Wise was preparing his risk
- 13 memos on Libby; were you consulted about those?
- 14 A. No
- 15 $\,$ Q. Did you provide any information to the EPA for use in
- 16 either of those projects?
- 17 A. Not that I am aware of. I dealt strictly with patients.
- 18 Q. Other than Aubrey Miller, were there other officials from
- 19 the EPA that you talked with since 1999?
- 20 A. Well, probably, but I don't know. I don't remember who.
- 21 $\,\,$ I talked at one time or another casually with the
- 22 administrators of Denver Region 8 who have been in Libby. My
- 23 conversations with any of these people have not been on
 24 policy or clean up, or anything like that. I stick pretty
- 25 much to taking care of patients, that's for the most part,

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- and writing some of this stuff up, and being a pulmonary
- 2 consultant to the clinic. So there wouldn't be much in the
- 3 way of talking to EPA about cleanup or things like that. I
- 4 might go to something and listen, but I don't think I would
- 5 be involved with decision-making or anything.
- Q. You mentioned earlier, very early on this morning that
- 7 the -- you are seeing patients who are younger than you have
- 8 seen previously whose disease is less severe.
- 9 A. Yes.
- 10 Q. And I believe -- well the question is, is it your opinion
- in this case that those individuals are going to progress in
- 12 the same way that you have seen in individuals with exposure,
- 13 for instance, from the work environment at Libby?
- 14 A. Yes. And I have seen people that were not workers that
- 15 have also progressed in the same way. And the literature,
- 16 basically, also backs that up, that this is a progressive
- 17 disease, may be a slowly progressive disease, but that it
- 18 will be a progressive disease once it's established. And we
- 19 are seeing, you know, pleural plaques within the less heavily
- 20 exposed people probably within ten years of their exposure
- 21 and the heavy exposure has been a few years.
- 22 $\,$ Q. What's your foundation for saying that you are seeing
- 23 plaques in individuals with less than ten years of exposure?
- $24\,$ $\,$ A. Some of it is basically Grace's own data that was in the
- 25 miners, heavier exposures. You probably seen those graphs

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1 that they -- provided to you.

- 2 O. Absolutely. And those are, as I understand it, those are
- 3 workers from W.R. Grace in the Sixties and -- Sixties I think
 - 4 who had --
 - 5 A. Into the Seventies as well.
 - Q. Or into the Seventies, but who had heavy exposures and
- 7 you had -- there were radiographic changes on their x-rays,
- 8 correct?
- 9 A. That's what I am saying though, that the heavier exposed
- 10 people develop pleural disease probably within a few years,
- or maybe five years at least. But there are studies in '69
- 12 that was 17 percent that had been there less than five years.
- Now, the ones that have lesser exposures, that have
- 14 plaques, it may be that there is going to be a slower
- 15 progression. There may not be. They may not even be related
- 16 to the extent of exposure. I don't know the answer to that,
- 17 that still remains to be determined.
- 18 $\,$ Q. $\,$ So you don't know yet whether the level of exposure is
- 19 related to the progression of disease?
- 20 A. Well, logically, logic would tell you that it is. And
- 21 the literature would tell you that it is.
- 22 $\,$ Q. $\,$ I was just going to say, the literature is fairly
- 23 established?
- 24 A. The literature would tell you that. But on the other
- 25 hand, we are also now we are seeing people that we wouldn't

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- have thought was necessarily a heavy exposure. Maybe it was
- 2 a heavy exposure and we didn't know it. But that are
- 3 progressing within ten years or so of their last exposure, or
- 4 what we can identify as their last significant exposure.
- $\,\,$ See, we don't even know some of these people aren't still
- 6 being exposed.
- 7 Q. What group of patients in this group of exhibits that you
- $\ensuremath{\mathtt{8}}$ $\ensuremath{\mathtt{have}}$ have here, are the group of patients that you are relying on
- $9\,$ $\,$ for your opinion that the people that I defined, that you
- 10 defined earlier, people who are you are seeing that are
- 11 younger and whose disease is less severe.
- 12 A. Yes.
- 13 Q. What specific patients are you relying upon for your view
- 14 that those individuals are going to progress in the same
- fashion as the individuals from the worker cohort who worked
- 16 at the mine?
- 17 A. I didn't say they would necessarily progress in the same
- 18 fashion. I don't know the answer to that. I do know that we
- 19 have people that have had just pleural plaques, or some
- 20 degree of diffuse pleural thickening, that have progressed
- 21 that were environmental exposures.
- 22 Q. Which patients in these lists, can you identify a patient
- $23\,$ $\,$ for me that just has pleural plaques, just environmental
- 24 exposure, and has progression?
- 25 A. Sure. What was the number of that -- it was four that

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- 1 was the list that I gave you of progressive disease?
- 2 Q. Yes, rapid progression and pleural deaths?
 - A. Yes.

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- 4 Q. Yes.
- 5 A. If I can find it. Ron Masters.
- 6 Q. Ron Masters?
- 7 A. Clinton Hagen. Those two both have had just pleural
- 8 plaques that are progressing. Probably Ken Moss. Larry Hill
- 9 had nothing but some pleural plaques about five or six years
- 10 ago and is really rapidly progressing. If you look at those,
- 11 $\,\,$ I think that will tell you a lot.
- 12 Q. So those are the community exposed individuals?
- 13 A. Those are community exposed people, yes.
- 14 Q. That you are relying upon --
- 15 $\,$ A. $\,$ That's not all that I am relying on, I am relying on a
- 16 whole host of people I have seen, but these are the ones that
- 17 progressed rather remarkably quickly.
- 18 Q. And just had plaque?

23

- 19 A. Well, at the beginning they just had plaques or they had
- 20 some diffuse pleural thickening, but it wasn't very marked
- 21 and then all of a sudden it just exploded. Then they got
- 22 blunting of their angles and loss of lung volume and a lot of
- 24 what would have been defined as plagues, although I think on

fibrosis in a very short period of time. It started out with

25 CT you would have seen there was some more diffuse stuff than

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- that. Some of these people had nothing but just isolated
- plagues along the chest walls.
- You say said fibrosis in the pleural, fibrosis of the
- pleura or fibrosis in the interstitia?
- No, I am talking about fibrosis in the pleura.
 - I would like to take a one minute break to make a phone
- call. I need to tell my wife I am not going to home for
- dinner. I live almost an hour away.
- 9 MR. HEBERLING: Off the record, please.
- VIDEOGRAPHER: We are going off the record at 5:50 p.m. 10
 - (Off the record from 5:50 to 5:53.)
- VIDEOGRAPHER: We are back on the record at 5:53 p.m. 12
- (BY MS. HARDING) I just want to make sure I have a
- 14 couple things right, Dr. Whitehouse.
- 15 In your 2007, July 2007 report in this case, you stated
- that you base your diagnosis of asbestos disease on the
- quidelines established by the American Thoracic Society, 17
- 18 correct?

11

- 19 A. That's correct.
- 20 O. With respect to differential diagnosis, Doctor. If you
- 21 have a patient that has a history of important medical events
- that could potentially bear on the diagnosis of the 22
- individual as having an asbestos-related disease, or
- something else, do you request the medical records of the
- 25 individual so you can make the differential diagnosis?

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- Well, some of it, yes, sometimes I do, but sometimes if
- it's very remote I might not. And it also depends on what
- the patient is telling me as well. But I certainly take that
- into consideration every tomorrow.
- For instance what are some examples of the kinds of
- events or important historical medical information that if a
- patient had those previous medical conditions would be
- important to you to review their records before you made a
- 9 differential diagnosis of asbestos disease?
- I will tell you one where it's probably not. I have had 10
- 11 a number of people that come you to and say they have lupus.
- And then when you talk to them about it, they have a positive 12
- LE or they have a positive anti-nuclear factor but no signs
- 14 or symptoms of lupus, have never really even had significant
- disease. So what happens is that -- this is unfortunately 15
- common in Spokane, somebody comes in with a lot of minor 17 symptoms like fibromvalgia or something and the Doc does an
- 18 anti-nuclear factor that's positive. Voila, you can tell the
- patient you have lupus and the patient is satisfied. I have
- 2.0 had that happen a number of times.
- 21 What about, for instance, pneumonia, what if an
- 22 individual has several previous occasions or instances of
- pneumonia in their history?
- Well, it depends on whether they have anything on their 24
- 25 x-ray that's left over that may be related to it. Most

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- pneumonia leaves nothing in the x-rays and leaves no loss of
- pulmonary function. There are really bad pneumonias that
- will leave scars, bad looking scars, and cause a lot of
- pulmonary function. That's generally evident between a 4
- combination of the x-ray and what the patient tells you about
- it. For example, in Libby, and this is true about a lot of 6
- hospitals that are community hospitals, somebody gets a cold
- 8 and is coughing and has some bronchitis and they stick the
- patient in the hospital and they have, quotes, walking
- pneumonia. Or they have pneumonia. You look at the x-rays 10
- there is no evidence of anything on them. I have couple of those when I looked -- I actually looked at the films in the
- 13 hospital and they were identical to the films that I had,
- 14 that were just showing the asbestos changes. So a lot of
- times you don't need to get records or anything like that,
- 16 you just need to get the x-rays, talk to the patient about
- 17 it. And then there is times that you really do need the
- records, and you probably occasional see that in my files.
- 19 Ο. What kinds of occasions would you need to get the
- 20 records?

11

- 21 A. Basically, somebody that's had cancer and has had
 - surgery. Somebody that tells you they had a mass in their
- lungs and had a biopsy but it wasn't cancer. I had that
- happen a lots of times. And it's atelectasis. I have gotten 2.4
- the reports and old x-rays and I think of one person I didn't

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- see very long ago actually did have a mass and a surgeon
- resected it and looked at his chest x-ray did not show a mass
- now, but it was clearly there and it was an area of rounded
- atelectasis. Those are the sort of examples where you might
- want to get the x-rays sand see what had gone on.
- O. Did you have an understanding of the average age of death
- in the Libby or Lincoln County area?
- A. The average age of death?
- O. Uh-huh.
- I do not know that? 10
- Do you have any information on the average age of death 11
- of your patients?
- 13 No. I have had a fair number of them die. In that 123 I
- have had about 25 or 26, 27 die. In that whole 490 database 14
- I added it up and I had about 60 some odd that had died. I
- 16 am not really sure that's excessive deaths or just related to
- their age or what it is. I don't have the data on that.
- Q. You haven't looked at the kind of average age of deaths 19 of your patients at the CARD Clinic?
- There is two factors in there, one is when they die, but
- the other is how sick they are for how long before they die. 21
- That's probably worse than dying.
- 23 Q. Have you analyzed any of the data that you have provided
- 2.4 in your expert reports to attempt to get an average age of
- 25

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Page 265 Α. No. 2 Ο. That's actually something that you could do with a couple of your exhibits, correct? Probably. I am not sure what I would do with it though. 4 But from the data that you have reported in your exhibits, you could get an average age of death, correct? Probably. Α. 8 MS. HARDING: All right. 9 THE WITNESS: Are we done? MS. HARDING: We are done. 10 11 MR. HEBERLING: I will reserve my questions until the 12 time of trial. VIDEOGRAPHER: This will conclude tape number eight of 14 eight and it's now 6:00 p.m.) 15 16 17 18 19 20 21 22 23 24 25

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2 3 9 10 11 12 13 14 15 16 17 18 19 I have read the foregoing 264 pages of $my\ testimony\ and$ I have read the foregoing 204 pages of mmy testimony I declare (or certify) under penalty of perjury under the laws of the State of Washington that the foregoing is true and correct, except for the corrections noted above. 20 21 Dated at this 22 _day of_____, 2007. 2.3 ALAN C. WHITEHOUSE, M.D. 24 25

Page 268 STATE OF WASHINGTON 1 ss: Reporter's Certificate COUNTY OF SPOKANE 2 I, Osmund D. Miller, a Certified Shorthand Reporter and 4 Notary Public in and for the State of Washington. DO HEREBY CERTIFY: That the foregoing is a true and correct transcription 6 of my shorthand notes as taken upon the deposition of ALAN C. Я WHITEHOUSE, M.D. on the date and at the time and place as shown on Page 1 hereto, 10 That the witness was sworn upon his oath to tell the 11 truth, the whole truth and nothing but the truth, and did thereafter make answers as appear herein, 12 13 That I am not related to any of the parties to this litigation and have no interest in the outcome of said 15 litigation, Witness my hand and seal this 23rd day of October, 2007. 17 18 RPR, CCR No. 2280 OSMUND D. MILLER Certified Shorthand Reporter and Notary 19 Public in and for the State of Washington, residing in Spokane. My commission expires December 15, 2008. 20 21 22 23 24

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